



Procurement Contract Annual Report

For the Period
Commencing April 1, 2015 and Ending March 31, 2016

June 22, 2016



NEW YORK
STATE OF
OPPORTUNITY™

DASNY

Procurement Contract Annual Report
For the Period Commencing
April 1, 2015 and Ending March 31, 2016
Executive Summary
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INTRODUCTION

Section 2879 of the Public Authorities Law requires The Dormitory Authority State of New York (DASNY) to prepare and submit DASNY's Procurement Contract Annual Report (the "Report") for Board consideration annually.

The Report lists detailed information on all DASNY contracts open on April 1, 2015 and those opened during the period commencing April 1, 2015 and ending March 31, 2016. The Report also includes DASNY's Procurement Policy and Procurement Contract Guidelines.

The Report includes all purchases made by DASNY by contract, purchase order or other written agreement, with a value of \$5,000 or more. The Report also includes certain payments in excess of \$5,000 made by DASNY to vendors selected by customers, where DASNY does not hold a contract. Examples include certain closing costs associated with financings and paid directly to a vendor from bond proceeds, such as title insurance and institution counsel. The Report excludes certain non-contractual obligations of DASNY, including reimbursement of expenses paid to private institutions, grants, insurance settlements, fees paid to state regulatory agencies, land purchases, payroll and fringe benefits, and items under \$5,000.

This Executive Summary combines and analyzes the Report's data in four broad areas: Construction Services, Public Finance and Financial Services, Counsel's Office, and Administration and Operations Services. In addition, due to the large volume of data related to Construction Services, we have added an Appendix to this Executive Summary which details all awards made during the year for professional service contracts, construction contracts, and purchase orders.

This Executive Summary analyzes summary award and expenditure data and includes comparative information to the 2015 and 2016 fiscal years. The fiscal years ending March 31, 2015 and 2016 are referred to as 2015 and 2016, respectively, within the Executive Summary.

SECTION I – CONSTRUCTION SERVICES AWARDS

◆ PROFESSIONAL SERVICE

- PROFESSIONAL SERVICE CONTRACTS
- TERM CONTRACTS

◆ CONSTRUCTION

- CONSTRUCTION CONTRACTS
- JOB ORDERING CONTRACTS (JOC)

◆ PURCHASING

- PURCHASE ORDERS
- TERM PURCHASE ORDERS

◆ MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES

- PROFESSIONAL SERVICES CONTRACTS
- TERM CONTRACTS
- CONSTRUCTION CONTRACTS
- JOB ORDERING CONTRACTS (JOC)
- PURCHASE ORDERS
- TERM PURCHASE ORDERS

SECTION II – EXPENDITURES

◆ PUBLIC FINANCE AND FINANCIAL SERVICES

◆ COUNSEL'S OFFICE

◆ ADMINISTRATION & OPERATIONS SERVICES

THE PROCUREMENT POLICY AND PROCUREMENT CONTRACT GUIDELINES DESCRIBE THE OVERRIDING POLICIES AND PROCEDURES OF DASNY REGARDING THE USE, AWARD, MONITORING, AND REPORTING OF PROCUREMENT CONTRACTS. THE PROCUREMENT POLICY AND THE PROCUREMENT CONTRACT GUIDELINES WERE LAST AMENDED ON DECEMBER 9, 2015.

HIGHLIGHTS

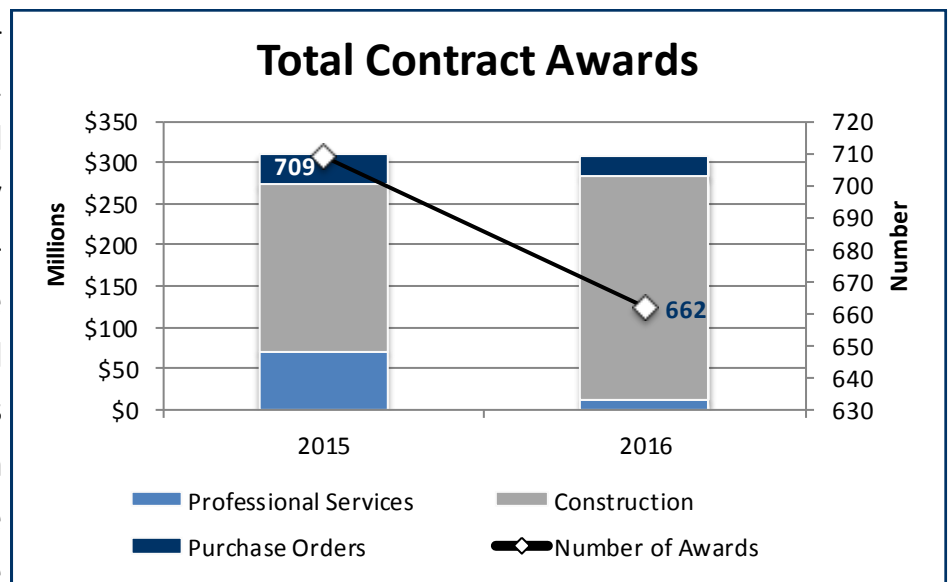
SECTION I – AWARDS

CONSTRUCTION SERVICES SUMMARY

Section I presents a summary on Construction Services Contract awards including Professional Services Contracts, Construction Contracts, and Purchase Order awards . Additionally Job Order Contracts, Term Contracts, and Term Purchase Orders are presented by the amount of outstanding contracts.

The following chart and three tables provide a year to year summary of construction services contract awards and those made to New York State Vendors. From 2015 to 2016, the total dollar value of awards decreased by \$3.4 million, or 1 percent, while the overall number of awards decreased by 42 or approximately five percent.

The dollar value decrease occurred in both Professional Services and Purchase Orders awards, while Construction Contract awards increased. The changes are explained in the detail tables for each category of award that follow.



2015/2016 CONSTRUCTION SERVICES SUMMARY OF CONTRACT AWARDS

	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
Professional Services	\$70,507,754	23%	17	2%	\$11,701,545	4	19	3%
Construction	202,149,373	65	104	15	271,847,894	89	106	16
Purchase Orders	37,078,227	12	588	83	22,790,034	7	537	81
Total	\$309,735,354	100%	709	100%	\$306,339,473	100%	662	100%

SECTION I—AWARDS

CONSTRUCTION SERVICES AWARDS

AWARDS TO NEW YORK STATE VENDORS

The definition of “New York State Vendor” is different for services and goods, which results in the vast percentage differences between contracts (services) and purchase orders (primarily goods). Services are considered New York if the service is substantially performed in New York. Goods are considered New York if the goods are substantially manufactured, produced, and assembled in New York. Non-New York State Vendors are specifically identified in Appendix C. For 2016, 93 percent of the dollar value of contracts awarded went to New York State Vendors .

2015 AWARDS TO NEW YORK STATE VENDORS

	New York	Non-New York	Total	% New York
Professional Service	\$70,507,754	\$0	\$70,507,754	100%
Construction	\$202,149,373	\$0	\$202,149,373	100%
Purchase Orders	\$2,798,495	\$34,279,732	\$37,078,227	8%
Total	\$275,455,622	\$34,279,732	\$309,735,354	89%

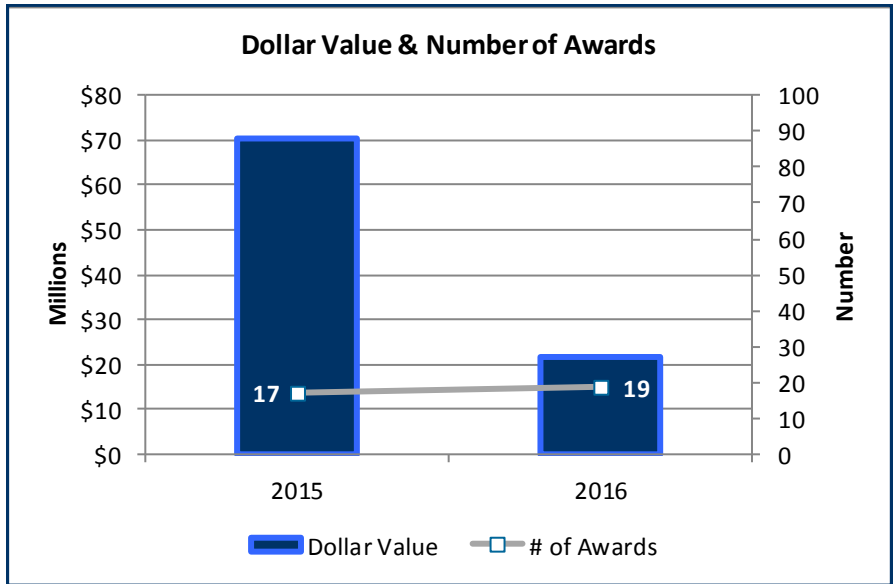
2016 AWARDS TO NEW YORK STATE VENDORS

	New York	Non-New York	Total	% New York
Professional Service	\$21,834,808	\$0	\$21,834,808	100%
Construction	\$261,714,631	\$0	\$261,714,631	100%
Purchase Orders	\$1,714,188	\$21,075,846	\$22,790,034	8%
Total	\$285,263,627	\$21,075,846	\$306,339,473	93%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PROFESSIONAL SERVICES CONTRACTS

Professional Service Contracts are for services of a consulting, technical and professional nature and include such services as architecture, engineering, construction management, and construction manager (CM) at risk contracts, whereby the construction manager holds the construction contracts. Professional Service Contracts are procured and awarded through a qualifications based request for proposal (RFP) process.

The dollar value of awards decreased by \$58.8 million, or 83.4 percent, from 2015 to 2016. The number of awards increased by 2, or 11.7 percent, from 2015 to 2016. **The dollar value decrease is mainly due to a reclassification of Energy Performance Project Contracts from the Professional Services category to the Construction Contracts category. This was done as the majority of expenses related to these contracts are of a construction nature.** (Table 1).



AWARDS BY TYPE

Table 1 summarizes by broad service category the dollar value and number of all professional service contracts awarded during the last two years.

TABLE 1: DOLLAR VALUE & NUMBER OF PROFESSIONAL SERVICE CONTRACTS AWARDED BY TYPE OF SERVICE

Type of Service	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
Architect	\$9,928,488	14%	4	24%	\$2,156,273	10%	5	25%
CM at Risk	14,264,306	20	3	18	5,383,089	25	2	10
Construction Management	11,404,760	16	6	35	2,817,840	13	7	35
Energy Performance	33,924,476	48	3	18	0	0	0	0
Engineering	0	0	0	0	59,738	0	1	5
Other	985,724	1	1	6	1,284,605	6	4	20
Total	\$70,507,754	100%	17	100%	\$11,701,545	100%	19	100%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PROFESSIONAL SERVICES CONTRACTS

AWARDS BY CLIENT PROGRAM

Table 2 summarizes by client program the dollar value and number of professional service contracts awarded during the last two years. The decrease in awards for CUNY is primarily due the reclassification of Energy Performance Project Contracts from Professional Services to Construction Contracts as previously noted.

TABLE 2: DOLLAR VALUE & NUMBER OF PROFESSIONAL SERVICE CONTRACTS AWARDED

Program	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
CUNY	\$45,883,073	65%	10	59	\$1,363,114	12%	10	53
MH/DOH	8,549,198	12	1	6	503,964	4	1	5
HHC	0	0	0	0	99,385	1	1	5
SUNY	16,075,483	23	6	35	8,595,076	73	6	32
Other					1,140,006	10	1	5
Total	\$70,507,754	100%	17	100%	\$11,701,545	100%	19	100%

KEY:

CUNY: City University of New York

MH/DOH: Mental Hygiene/Department of Health

HHC: Health and Hospital Corp

SUNY: State University of New York

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PROFESSIONAL SERVICES CONTRACTS

LARGEST CONTRACTORS AND VENDORS

Tables 3 & 4 list information on all professional services contractors awarded more than \$1 million in contracts during the last two years. Such contractors represented 96 percent and 69 percent of the total dollar value of professional service contracts awarded in 2015 and 2016, respectively. The contracts amounted to 71 percent and 16 percent of the total number of professional services contracts awarded in 2015 and 2016, respectively. The percentage decrease reflects a higher reliance on term contracts for design work as opposed to the use of standard project specific Professional Services contracts. Additionally it reflects a reduction of larger value construction manager and CM@Risk contract awards.

TABLE 3: 2015 PROFESSIONAL SERVICES CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$1 MILLION

Contractor	Value	Number
Genesys Engineering P.C.	\$32,353,064	1
LPCiminelli, Inc.	9,992,724	3
STV Incorporated	8,891,448	2
TDX Construction Corp	8,062,088	2
Kirchhoff-Consigli Construction	5,093,830	2
URS Corporation—New York	2,058,349	1
Fulcrum Facilities Services LLC	1,265,762	1
Total	\$67,717,265	12
Percent of Total 2015 Awards	96%	71%

TABLE 4: 2016 PROFESSIONAL SERVICES CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$1 MILLION

Contractor	Value	Number
Kirchhoff-Consigli Construction	\$5,208,254	1
Cannon Design Architecture & Engineering	1,736,835	1
Gilbane Building Company	1,140,006	1
Total	\$8,085,095	3
Percent of Total 2016 Awards	69%	16%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PROFESSIONAL SERVICES CONTRACTS
TERM CONTRACTING PROGRAM

Term contracts are zero dollar based competitively procured professional services contracts issued for a specified period of time. Contracts for these services include architectural design, engineering, testing, asbestos abatement design and other specialized professional services. Term contracts are typically issued with a term of two years with two one-year renewal options. The specific scope of work and location where the services are to be provided are added to the contract via a work authorization. The contract amounts shown on Table 5 represent the total value of work authorizations issued against all open term contracts from their inception to date. The dollar value of outstanding contracts increased by \$85.5 million, or 13.4 percent, from 2015 to 2016. The large outstanding value reflects the significant use of term contracts to perform design services, commensurate with the number of smaller projects DASNY is managing.

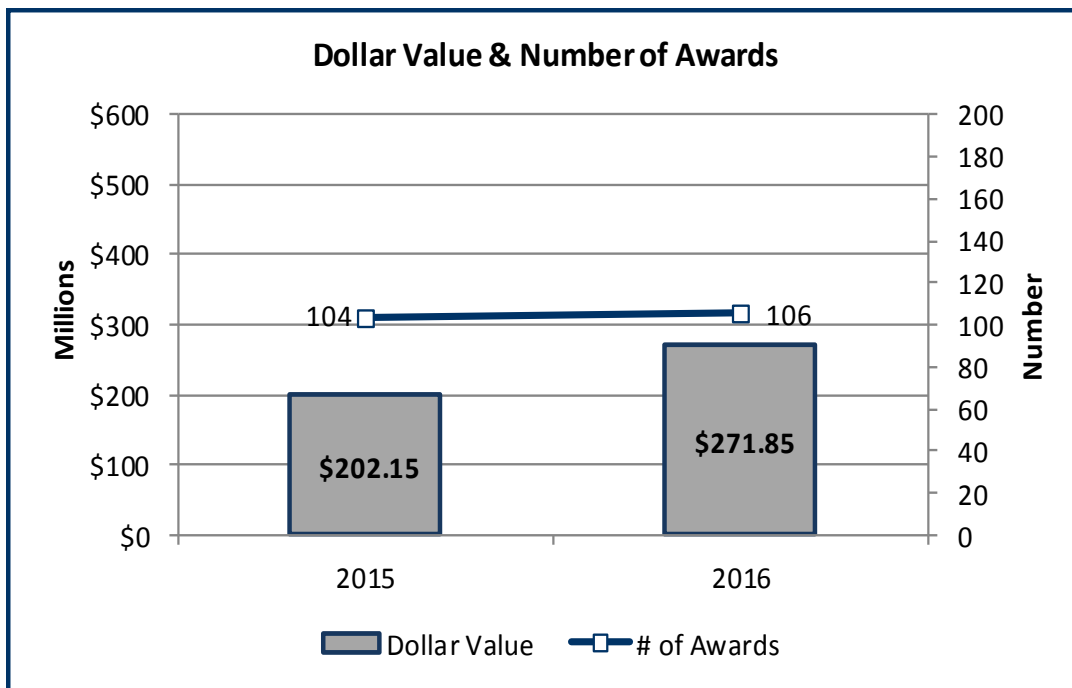
TABLE 5: SUMMARY OF TERM CONTRACT PROGRAM

	2014	2015	2016
Number of Contracts	253	272	258
Number of Contractors	172	182	178
Dollar Value of Open Contracts	\$451,649,286	\$551,969,311	\$637,566,541
Payments to Date	\$346,160,328	\$460,664,628	\$552,153,654

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

Construction Contracts are contracts for the phases of construction, such as site work, general construction and the building trades (structural, plumbing, electrical and mechanical, and heating, ventilating and cooling). These contracts are generally awarded through a public competitive bidding process.

From 2015 to 2016, the dollar value of awards increased by \$69.6 million, or 34.4 percent, while the number of awards increased by two. **The increase is due mainly to the addition of Energy Performance Contracts to the Construction award category.** In the absence of this reporting change the dollar value of construction awards is down approximately 9%.



SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

AWARDS BY TYPE

Table 6 summarizes by broad service category the dollar value and number of all construction contracts awarded during the last two years. From 2015 to 2016 the dollar value of overall awards increased by \$69.6 million, or 34.4% due to the reclassification of Energy Performance project installation agreements that are now being reported as Construction Service contracts rather than Professional Services contracts.

TABLE 6: DOLLAR VALUE & NUMBER OF CONSTRUCTION CONTRACTS AWARDED BY TYPE OF SERVICE

Type of Service	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
Asbestos Abatement	\$2,264,497	1%	3	3%	\$788,000	0%	1	1%
Demolition	0	0	0	0	99,717	0	1	0
Electrical/Communications	2,608,163	1	7	7	33,607,630	11	8	8
Elevators	1,098,000	1	2	2	0	0	0	0
*Energy Performance	0	0	0	0	87,672,865	32	6	6
Fire Protection	0	0	0	0	736,216	0	5	5
General Construction	185,137,868	92	76	73	111,366,275	43	67	62
Heating	2,442,685	1	3	3	2,232,120	1	2	2
Mechanical	1,175,822	1	4	4	16,102,000	6	5	5
Plumbing	509,498	0	1	1	800,580	0	4	4
Roofing	2,837,561	1	2	2	3,283,811	1	3	3
Site Work	961,900	0	2	2	15,158,680	6	4	4
Other	3,113,379	2	4	4				
Total	\$202,149,373	100%	104	100%	\$271,847,894	100%	106	100%

*Energy Performance Construction contracts are originally procured as term contracts, however upon execution of the construction phase of the work, a project installation agreement is issued which is similar to a standard construction contract, therefore is now being reported in this category. In 2015 these contracts were reported in the Professional Services category.

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

AWARDS BY CLIENT PROGRAM

Table 7 summarizes by client program the dollar value and number of construction contracts awarded during the last two years. From 2015 to 2016, the overall dollar value and number of awards increased primarily due to the award of new Energy Performance Construction Contracts for CUNY and OMH.

TABLE 7: DOLLAR VALUE & NUMBER OF CONSTRUCTION CONTRACTS AWARDED

Program	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
CUNY	\$123,231,232	61%	22	21%	\$187,067,432	68%	31	28%
MH/DOH	25,606,302	13	46	44	34,465,896	13	39	37
HHC	0	0	0	0	0	0	0	0
SUNY	44,460,758	22	32	31	44,711,230	17	30	29
Other	8,851,081	4	4	4	5,603,336	2	6	6
Total	\$202,149,373	100%	104	100%	\$271,847,894	100%	106	100%

KEY:

CUNY: City University of New York

MH/DOH: Mental Hygiene/Department of Health

HHC: Health and Hospital Corp

SUNY: State University of New York

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

Tables 8 & 9 list information on all construction contractors awarded more than \$3 million in contracts during the last two years. Such contractors represented 73 percent and 85 percent of the total dollar value of construction contracts awarded in 2015 and 2016, respectively.

LARGEST CONTRACT AWARDS BY VENDOR

TABLE 8: 2015 CONSTRUCTION CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$3 MILLION

Contractor	Value	Number
Enclos Corporation	\$45,284,472	1
Minelli Construction Co., Inc.	39,859,450	2
Stalco Construction Inc.	14,620,000	2
Pac & Associates of Oswego Inc.	8,359,000	1
Bette & Cring, LLC	7,811,000	1
Rok-Built Construction Inc.	7,359,900	1
Advancetec LLC	5,867,978	2
Hilt Construction Inc.	3,989,891	1
Sevenson Environmental Services Inc.	3,933,700	1
Resetarits Construction Corporation	3,490,000	2
G & M Earth Moving Inc.	3,445,000	1
Arris Contracting Company Inc.	3,190,000	1
Total	\$147,210,391	16
Percent of Total 2016 Awards	73%	15%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

TABLE 9: 2016 CONSTRUCTION CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$3 MILLION

Contractor	Value	Number
Genesys Engineering P.C.	\$77,539,602	5
Dynamic Mechanical Contractors Inc.	32,882,000	1
Fratello Construction Corp	11,675,000	1
Forte Construction Corp	11,357,000	1
Constructiomics LLC	10,408,657	3
O'Brien & Gere/Dewberry Joint Venture	10,133,263	1
NY Asphalt Inc.	10,061,000	1
AKS International Inc.	9,716,000	1
AOW Associates, Inc.	9,519,586	2
FAHS Construction Group, Inc.	6,674,000	2
Jemco Electrical Contractors Inc.	6,649,500	1
Knightsbridge Construction Corp	5,472,000	2
Murnane Building Contractors Inc.	5,198,400	2
North Central Mechanical Inc.	3,727,000	2
Phillip Ross Industries Inc.	3,675,000	1
Coppola Paving & Landscaping Corp	3,593,680	1
Manning Squires Hennig Co., Inc.	3,512,000	3
Posylio GC Corp	3,489,000	1
Minelli Construction Co., Inc.	3,381,335	1
M&J Electrical Contractors Corp	3,280,000	3
Total	\$231,944,023	35
Percent of Total 2016 Awards	85%	33%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS
JOB ORDER CONTRACTS (JOC)

Job Order Contracts (“JOC”) are a critical and effective contracting tool use in helping DASNY achieve its construction mission. JOC’s enables DASNY to rapidly engage contractors to perform construction services for emergencies, critical maintenance, and small value projects. The project limits for JOC’s use are \$350,000 upstate and \$500,000 downstate. JOC contracts are term contracts set up regionally by trade. The initial term is one year with two one-year renewal options.

Contractors competitively bid two adjustment factors (normal work hours and other than normal work hours) that will be applied to the unit prices in the JOC construction price books.

The dollar value of Job Order Contracts outstanding decreased by \$45.6 million, or 20 percent from 2015 to 2016.

As indicated elsewhere in this report, JOCs continue to be a significant source of MWBE utilization for DASNY (Tables 25 & 26).

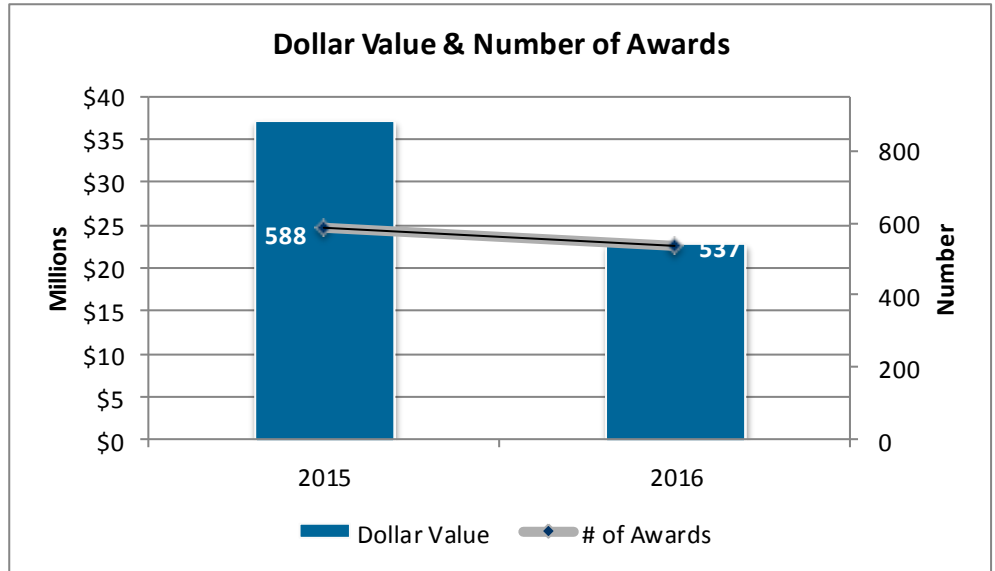
TABLE 10: SUMMARY OF JOC PROGRAM

	2014	2015	2016
Number of Contracts	80	81	75
Number of Contractors	51	53	48
Dollar Value of Open Contracts	\$171,526,539	\$230,176,891	\$184,532,466
Payments to Date	\$164,502,478	\$217,025,352	\$174,715,357

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS

Purchase Orders are issued for the commodities of furniture and fixtures for buildings, such as dormitory and office furniture, medical equipment, computer equipment and laboratory equipment. Purchase Orders are generally awarded through competitive quoting and bidding processes or through the use of centralized government contracts.

From 2015 to 2016, the dollar value of awards decreased by \$14.2 million, or 38.5 percent, and the number of awards decreased by 51.



AWARDS BY TYPE

Table 11 summarizes by broad service categories the dollar value and number of all purchase orders awarded during the last two years. The category of Furniture/Furnishings decreased significantly, due primarily to the completion of a major size project at Bronx MHR and various CUNY campuses.

TABLE 11: DOLLAR VALUE & NUMBER OF PURCHASE ORDERS AWARDED BY TYPE OF SERVICE

Type of Service	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
Construction Supplies & Services	\$1,555,396	4%	34	6%	\$2,196,298	10%	44	8%
Furniture/Furnishings	15,295,740	41	347	59	7,865,736	34	207	39
Medical, Scientific & Lab	9,694,862	26	51	9	6,083,599	27	133	25
Office, A/V, & Computer	7,968,839	21	102	17	3,027,386	13	99	18
Miscellaneous	2,563,390	7	54	9	3,617,015	16	54	10
Total	\$37,078,227	100%	588	100%	\$22,790,034	100%	537	100%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS

AWARDS BY CLIENT PROGRAM

Table 12 summarizes by client program the dollar value and number of purchase orders awarded during the last two years.

TABLE 12: DOLLAR VALUE & NUMBER OF PURCHASE ORDERS AWARDED

Program	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
CUNY	\$19,684,107	53%	240	41%	\$11,930,267	53%	246	46%
MH/DOH	8,824,349	24	189	32	3,931,237	17	96	18
HHC	139,253	0	11	2	0	0	0	0
OCA	94,019	0	6	1	0	0	0	0
SUNY	8,330,484	22	141	24	6,153,733	27	173	32
Other	6,015	0	1	0	774,797	3	22	4
Total	\$37,078,227	100%	588	100%	\$22,790,034	100%	537	100%

KEY:

CUNY: City University of New York

MH/DOH: Mental Hygiene/Department of Health

OCA: Office of Court Administration

HHC: Health and Hospital Corp

SUNY: State University of New York

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS

LARGEST CONTRACTORS AND VENDORS

Tables 13 & 14 list information on the top twenty vendors awarded the largest total dollar value of purchase orders during the last two years. Such vendors represented 61 percent and 51 percent of the total dollar value of purchase orders issued in both 2015 and 2016 respectively.

TABLE 13: 2015 20 VENDORS WITH THE LARGEST VALUE OF PURCHASE ORDER AWARDS

Vendor	Value
Bruker Biospin Corporation	*\$3,999,000
Currimbhoy & Cassidy LLC	2,541,533
Compulink Technologies Inc.	1,923,748
Allentown Inc.	1,790,672
Butler Woodcrafters, Inc.	1,355,190
Bruker Daltonics Inc.	988,528
Intergra Inc.	985,064
Argent Associates Inc.	971,299
Oxford Instruments America Inc.	914,700
Hewlett Packard Company	768,531
John Savoy & Son Inc.	766,471
Adirondack Cabling Inc.	764,278
Presidio Networked Solutions	750,943
Eminence Group	731,897
Edart GTI Inc.	706,754
Krueger International Inc.	693,015
Liberty Moving & Storage Co., Inc.	536,444
Borroughs Corporation	484,936
Ergonom Corporation	431,185
Library Interiors Inc.	425,727
Total	\$22,529,915
Percent of Total 2015 Awards	61%

* City College - ASRC Scientific Equipment

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS

TABLE 14: 2016 20 VENDORS WITH THE LARGEST VALUE OF PURCHASE ORDER AWARDS

Vendor	Value
Physical Electronics USA Inc.	\$1,998,000
Presidio Networked Solutions Inc.	1,350,186
John Savoy & Son Inc.	960,424
Environmental Tectonics Corporation	876,480
Miami Wall Systems Inc.	838,281
Spectra Services Inc.	678,317
Steelcase Inc.	501,136
Sauder Manufacturing Co.	478,580
Ergonom Corporation	452,932
Agati Inc.	400,111
Herman Miller Inc.	397,469
Electronic Business Products Inc.	375,848
Transformations by Wieland Inc.	370,930
Annese & Associates Inc.	369,401
B&H Photo & electronics Corp	350,490
Vandis Inc.	275,526
Landscape Forms Inc.	258,581
New York State Industries for the Disabled	257,485
Fisher Scientific Company LLC	247,125
Foliot Furniture Inc.	234,691
Total	\$11,671,993
Percent of Total 2016 Awards	51%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS
TERM PURCHASE ORDERS

Term purchase orders are used to competitively procure frequently purchased commodities for a specified period of time. These commodities include dormitory furniture, mattresses, metal bed frames, window treatments and lamps to support the SUNY Equipment Replacement Program. Typical term purchase orders are three years with a two year renewal option. The specific amount, type, and location where the commodities are to be provided is added to each purchase order authorized. The purchase order amounts shown represent the value issued against all purchase orders from inception to date.

TABLE 15: SUMMARY OF TERM PURCHASE ORDER PROGRAM

	2014	2015	2016
Number of Contracts	15	12	37
Number of Vendors	10	11	9
Dollar Value Outstanding	\$10,007,666	\$8,025,789	\$6,301,635
Payments to Date	\$9,438,723	\$7,722,047	\$5,496,970

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES

Tables 16 through 31 provide information on total Professional Service, Construction and Purchase Orders awards to prime minority (MBE) and women (WBE) owned businesses enterprises during the last two years. From 2015 to 2016 the overall number of M/WBE awards decreased, however the overall dollar value increased. The number decline is attributed to a reduction of Purchase Order awards to M/WBE.

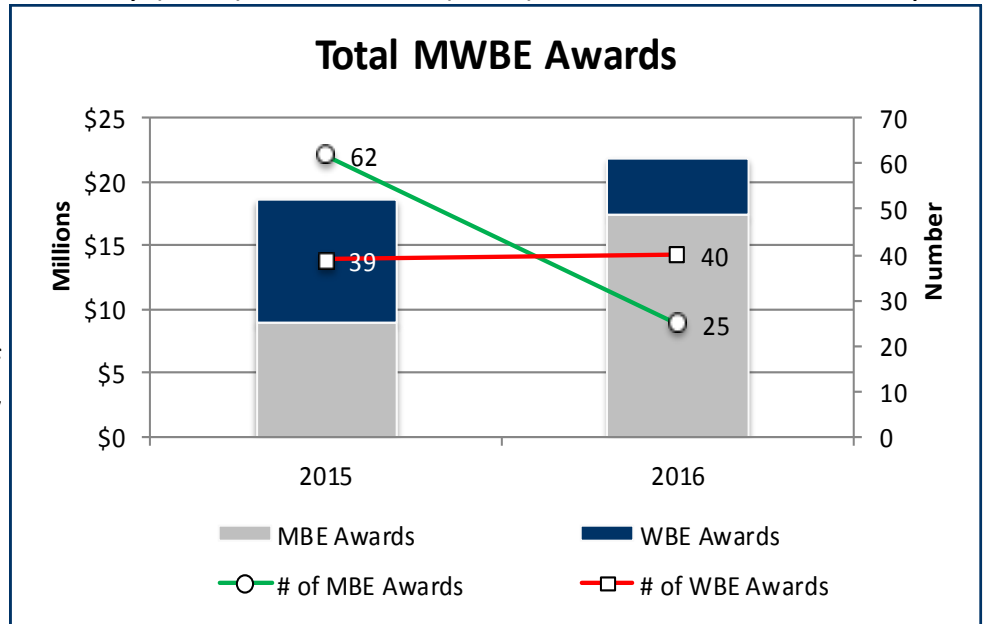


TABLE 16: DOLLAR VALUE & NUMBER OF TOTAL MWBE AWARDS

Designation	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
MBE Awards	\$9,031,517	2.9%	62	8.7%	\$17,403,055	6.0%	25	3.8%
WBE Awards	\$9,636,348	3.1%	39	5.5%	\$4,476,768	1.0%	40	6.0%
Total	\$18,667,865	6.0%	101	14.2%	\$21,879,823	7.0%	65	9.8%
Total Awards	\$309,735,354		709		\$306,339,473		662	

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PROFESSIONAL SERVICE CONTRACTS

Tables 17 through 21 provide information on MWBE professional service contract awards.

TABLE 17: DOLLAR VALUE & NUMBER OF MWBE PROFESSIONAL SERVICE CONTRACTS AWARDED

Designation	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
MBE Awards	\$0	0%	0	0%	\$0	0%	0	0%
WBE Awards	\$988,929	1.4%	1	5.8%	\$124,551	0.6%	2	10%
Total	\$988,929	1.4%	1	5.8%	\$124,551	0.6%	2	10%
Total Awards	\$70,507,754		17		\$21,834,808		20	

TABLE 18: 2015 MWBE PROFESSIONAL SERVICE CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$500K

Designation	Contractor	Dollar Value	Number
WBE	JMZ Architects and Planners P.C.	\$988,929	1
Total		\$988,929	1

TABLE 19: 2016 MWBE PROFESSIONAL SERVICE CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$500K

Designation	Contractor	Dollar Value	Number
WBE	*	\$0	0
Total		\$0	0

There were no MWBE professional service contracts awarded over \$500K

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PROFESSIONAL SERVICE CONTRACTS
TERM CONTRACTS

Term Contracts are a significant source of M/WBE participation in the Professional Services area. The following two tables show the amounts committed to M/WBE Term Consultants.

TABLE 20: 2015 MWBE TERM CONTRACTORS WITH TOTAL OUTSTANDING CONTRACTS IN EXCESS OF \$500K

Designation	Contractor	Dollar Value	Number
MBE	Ahuja Partnership Architects	\$11,121,271	2
WBE	Pathfinder Engineers And Architects LLP	6,398,809	4
WBE	John Martin Associates Inc.	5,269,582	2
MBE	Foit Albert	3,820,972	2
WBE	Goshow Architects LLP	3,654,127	1
WBE	Atlantic Testing Laboratories, Limited	3,395,583	3
WBE	Environmental Planning & Management Inc.	3,301,906	2
WBE	Interior Move Consultants, Inc.	3,225,367	1
MBE	HAKS Engineers Architects & Land Surveyors	2,026,148	1
MBE	Watts Architecture & Engineering PC	1,603,619	2
WBE	Curtis+Ginsberg Architects LLP	1,011,379	1
MBE	WM Group Engineers PC	1,005,930	1
WBE	CME Associates, Inc.	850,454	1
MBE	Razak Associates Architecture Engineering Land Surveyors	760,459	1
MBE	Maitra Associates PC	680,551	1
MBE	MP Engineers PC	662,143	1
Total		\$48,788,300	26

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PROFESSIONAL SERVICE CONTRACTS
TERM CONTRACTS

TABLE 21: 2016 MWBE TERM CONTRACTORS WITH TOTAL OUTSTANDING CONTRACTS IN EXCESS OF \$500K

Designation	Contractor	Dollar Value	Number
MBE	Ahuja Partnership Architects	\$12,733,975	2
WBE	John Martin Associates Inc.	5,269,582	2
WBE	Pathfinder Engineers And Architects LLP	4,124,261	2
WBE	Goshow Architects LLP	3,767,978	1
WBE	Environmental Planning & Management Inc.	3,767,675	2
WBE	Atlantic Testing Laboratories, Limited	3,650,167	2
WBE	Interior Move Consultants, Inc.	3,279,849	1
WBE	Curtis+Ginsberg Architects LLP	2,328,844	1
MBE	Watts Architecture & Engineering PC	2,217,157	2
MBE	HAKS Engineers Architects & Land Surveyors	2,133,921	1
WBE	Foit Albert	1,534,957	1
WBE	Omni Architects PC	1,103,025	1
MBE	WM Group Engineers PC	1,005,929	1
MBE	Razak Associates Architecture Engineering Land Surveyors	847,669	1
WBE	CME Associates, Inc.	822,047	1
MBE	MP Engineers PC	763,367	1
MBE	Maitra Associates PC	696,760	1
Total		\$50,047,163	23

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
CONSTRUCTION CONTRACTS

Tables 22 through 26 provide information on MWBE construction contract awards.

TABLE 22: DOLLAR VALUE & NUMBER OF MWBE CONSTRUCTION CONTRACTS AWARDED

Designation	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
MBE Awards	\$3,989,891	2%	1	1%	\$17,089,336	7%	14	13%
WBE Awards	\$6,740,377	3%	8	8%	\$2,983,032	1%	5	5%
Total	\$10,730,268	5%	9	9%	\$20,072,368	8%	19	18%
Total Awards	\$202,149,373		104		\$261,714,631		105	

For 2016 DASNY experienced a 329% increase in the dollar value and a 1400% increase in the number of Construction contract awards to MBE’s. Overall the percentage of awards made to MWBE’s doubled to 18% of total awards, up from 9% the prior year.

TABLE 23: 2015 MWBE CONSTRUCTION CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$500 THOUSAND

Designation	Contractor	Dollar Value	Number
MBE	Hilt Construction Inc.	\$3,989,891	1
WBE	G & M Earth Moving Inc.	3,445,000	1
WBE	Mark Cerrone Inc.	1,470,000	2
WBE	Amcon Contracting Corp	574,900	1
WBE	E Contracting Inc.	505,700	2
Total		\$9,985,491	7

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
CONSTRUCTION CONTRACTS

TABLE 24: 2016 MWBE CONSTRUCTION CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$500 THOUSAND

Designation	Contractor	Dollar Value	Number
MBE	Constructomics LLC	\$10,408,657	3
MBE	PMY Construction Corp	2,044,134	2
MBE	Ameri Restoration Inc.	1,783,000	1
MBE	HandyAndy Home Improvements	1,480,700	1
WBE	Marfi Contracting Corp	1,037,000	1
WBE	Mark Cerrone Inc.	788,000	1
WBE	Evergreen Mtn Contracting Inc.	678,910	1
MBE	K&V Construction Inc.	567,695	1
Total		\$18,788,096	11

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
CONSTRUCTION CONTRACTS
JOB ORDERING CONTRACTS (JOC)

Tables 25 & 26 demonstrate how Job Order Contracts (“JOCs”) continue to be a significant source of MWBE utilization at DASNY.

TABLE 25: 2015 MWBE JOC CONTRACTORS WITH TOTAL OUTSTANDING CONTRACTS IN EXCESS OF \$500K

Designation	Contractor	Dollar Value	Number
MBE	AGA Environmental Inc.	\$6,993,172	2
MBE	Geomatrix Services Inc.	3,825,647	2
MBE	Charan Electrical Enterprises Inc.	3,679,705	2
WBE	International Asbestos Removal Inc.	2,905,019	1
MBE	Sierra Mechanical Contracting Inc.	2,673,116	2
MBE	D&K Construction Co Inc.	1,664,250	1
WBE	Wilkins Mechanical Inc.	1,593,771	2
WBE	Innovative Mechanical Systems Inc.	1,490,762	2
MBE	Laser Electrical Contracting Inc.	1,164,497	1
WBE	Neoplanta Restoration Inc.	572,565	1
Total		\$26,562,504	16

TABLE 26: 2016 MWBE JOC CONTRACTORS WITH TOTAL OUTSTANDING CONTRACTS IN EXCESS OF \$500K

Designation	Contractor	Dollar Value	Number
WBE	Corbex Inc.	\$10,795,851	1
MBE	Sierra Mechanical Contracting Inc.	7,007,678	2
MBE	Geomatrix Services Inc.	4,410,969	2
MBE	Charan Electrical Enterprises Inc.	4,322,765	2
MBE	AGA Environmental Inc.	3,255,883	1
WBE	International Asbestos Removal Inc.	2,903,454	1
WBE	Wilkins Mechanical Inc.	1,982,960	3
MBE	D&K Construction Co Inc.	1,664,248	1
MBE	Laser Electrical Contracting Inc.	1,330,794	1
MBE	Venus Group Inc.	825,293	2
WBE	Neoplanta Restoration Inc.	594,133	1
Total		\$39,094,028	17

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PURCHASE ORDERS

Tables 27 through 31 provide information on MWBE purchase order awards.

TABLE 27: DOLLAR VALUE & NUMBER OF MWBE PURCHASE ORDERS AWARDED

Designation	2015	% of Total	2015	% of Total	2016	% of Total	2016	% of Total
MBE Awards	\$5,041,626	14%	61	10%	\$313,719	1%	11	2%
WBE Awards	\$1,907,042	5%	30	5%	\$1,369,185	6%	33	6%
Total	\$6,948,668	19%	91	15%	\$1,682,904	7%	44	8%
Total Awards	\$37,078,227		588		\$22,790,034		537	

TABLE 28: 2015 MWBE VENDORS AWARDED PURCHASE ORDERS TOTALING OVER \$100 THOUSAND

Designation	Contractor	Dollar Value	Number
MBE	Currimbhoy & Cassidy LLC	\$2,541,533	44
MBE	Compulink Technologies Inc.	1,923,748	1
WBE	Argent Associates Inc.	971,299	1
WBE	Waldners Business Environments Inc.	294,131	5
MBE	Derwyn Corp	237,322	2
MBE	JFD Sales Consulting Services Corp	128,996	4
WBE	City Electric Co., Inc.	125,518	3
Total		\$6,222,547	60

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PURCHASE ORDERS

TABLE 29: 2016 MWBE VENDORS AWARDED PURCHASE ORDERS TOTALING OVER \$100 THOUSAND

Designation	Contractor	Dollar Value	Number
WBE	Annese & Associates	\$369,401	5
WBE	Systems Management Planning Inc.	211,966	1
MBE	Right Price Companies Inc.	205,776	2
WBE	GLB Interiors LLC	167,747	1
WBE	Nickerson Corporation	110,464	2
Total		\$1,065,354	11

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
CONSTRUCTION CONTRACTS
TERM PURCHASE ORDERS

**TABLE 30: 2015 MWBE TERM PURCHASE ORDER VENDORS WITH
TOTAL OUTSTANDING PURCHASE ORDERS IN EXCESS OF \$250 THOUSAND**

Designation	Vendor	Dollar Value	Number
WBE	*	\$0	
WBE		\$0	
Total		\$0	

*There were no MWBE Term Purchase Orders contacts awarded over \$250K

**TABLE 31: 2016 MWBE TERM PURCHASE ORDER VENDORS WITH
TOTAL OUTSTANDING PURCHASE ORDERS IN EXCESS OF \$250 THOUSAND**

Designation	Vendor	Dollar Value	Number
WBE	*	\$0	
Total		\$0	

*There were no MWBE Term Purchase Orders contacts awarded over \$250K

SECTION II—EXPENDITURES

PUBLIC FINANCE AND FINANCIAL SERVICES

For purposes of this analysis, Public Finance and Financial Services have been combined because in many instances vendors and subject matter overlap. Expenditures primarily include underwriting/remarketing, mortgage banking and mortgage servicing fees if any, credit enhancement, and rating agency fees.

For Public Finance and Financial Services most items relate to costs of issuance incurred in connection with DASNY’s financing process, a series of individual fixed price transactions rather than a multi-year contract and therefore expenditures made during the period have been summarized. As noted in the Introduction, this Report also includes payments in excess of \$5,000 made by DASNY to vendors selected by DASNY’s customers (e.g., borrowers), where DASNY did not select the vendor and does not hold a contract with the vendor. For example, certain expenditures summarized below such as those paid for certain credit enhancement, financial/swap advisor, ratings and other were paid to vendors selected by DASNY’s customers from bond proceeds in connection with financings undertaken by DASNY for those customers.

TABLE 32: SUMMARY OF 2015 EXPENDITURES — PUBLIC FINANCE AND FINANCIAL SERVICES

Category	Amount	% of Total
Underwriting/Remarketing 1	\$23,392,614	71%
Credit Enhancement	5,556,054	17
Ratings	1,451,939	5
Financial/Swap Advisors	1,311,895	4
Trustee/Custody	450,925	1
Other	771,498	2
Total	\$32,934,925	100%

TABLE 33: SUMMARY OF 2016 EXPENDITURES — PUBLIC FINANCE AND FINANCIAL SERVICES

Category	Amount	% of Total
Underwriting/Remarketing 1	\$33,007,902	74%
Credit Enhancement	4,057,509	9
Ratings	2,921,765	7
Financial/Swap Advisors	2,642,222	6
Trustee/Custody	517,914	1
Other	1,442,948	3
Total	\$44,590,260	100%

1. Underwriters are generally compensated by utilizing a discount on the purchase of bonds and the amount of that discount includes expenses incurred by the underwriter including underwriter’s counsel fee.

SECTION II—EXPENDITURES
PUBLIC FINANCE AND FINANCIAL SERVICES

Tables 34 through 38 highlight all firms receiving more than \$1 million during the past two years. Such firms represented 71 & 72 percent of the total dollar value for 2015 and 2016 respectively.

TABLE 34: SUMMARY OF MAJOR EXPENDITURES

	2015	2016
Total Vendors – Over \$1 Million	\$23,405,477	\$31,975,881
Total Expenditures – Public Finance and Finance	\$32,934,925	\$44,590,260
Vendors Over \$1 Million as a Percentage of Total Payments	71%	72%

SECTION II—EXPENDITURES

PUBLIC FINANCE AND FINANCIAL SERVICES

Tables 35 and 36: Amounts represent total underwriter’s compensation that is ultimately allocated amongst the syndicate of underwriters.

TABLE 35: 2015 UNDERWRITING/REMARKETING AND MORTGAGE BANKING - EXPENDITURES OVER \$1 MILLION

Senior Manager	Amount
Merrill Lynch Pierce Fenner & Smith Inc.	\$8,821,245
Barclays Capital Inc.	4,810,881
J.P. Morgan Securities, Inc.	2,323,562
RBC Dain Rauscher Inc. dba RBC Capital	1,239,294
Wells Fargo Securities	1,204,656
Stifel, Nicolaus & Company Inc.	1,121,397
Municipal Capital Markets Group Inc.	1,005,184
Total	\$20,526,219
Percent Total Expenditures — Public Finance & Financial Services	62%

TABLE 36: 2016 UNDERWRITING/REMARKETING AND MORTGAGE BANKING - EXPENDITURES OVER \$1 MILLION

Senior Manager	Amount
Morgan Stanley Domestic Holdings Inc.	\$10,242,976
Citigroup Global Markets Inc.	6,037,914
J.P. Morgan Securities, Inc.	5,284,754
Merrill Lynch Pierce Fenner & Smith Inc.	2,004,706
JP Morgan Broker-Dealer Holdings Inc.	1,647,371
Siebert Brandford Shank & Co., LLC	1,363,294
RBC Capital Markets LLC	1,263,364
Raymond James & Associates Inc.	1,198,417
Total	\$29,042,796
Percent Total Expenditures — Public Finance & Financial Services	65%

SECTION II—EXPENDITURES
PUBLIC FINANCE AND FINANCIAL SERVICES

TABLE 37: 2015 CREDIT ENHANCEMENT — EXPENDITURES OVER \$1 MILLION

Providers	Amount
Bank of America	\$2,665,086
Total	\$2,665,086
Percent Total Expenditures — Public Finance & Financial Services	8%

TABLE 38: 2016 CREDIT ENHANCEMENT — EXPENDITURES OVER \$1 MILLION

Providers	Amount
Bank of America	\$1,567,329
Total	\$1,567,329
Percent Total Expenditures — Public Finance & Financial Services	4%

SECTION II—EXPENDITURES

PUBLIC FINANCE AND FINANCIAL SERVICES

Underwriters on negotiated transactions related to public clients are generally selected by DASNY from the list of underwriters determined through DASNY’s competitive RFP process. Private clients generally select underwriters on their transactions. Tables 39 and 40 detail underwriting fees by type of client.

The Fee per \$1,000 of bonds issued is higher, as expected, on private client transactions than on public client transactions due to generally increased complexity and relatively smaller size. The Fee per \$1,000 of bonds issued decreased from 2015 to 2016 for private and public issues.

The overall average issue size increased and average fee per issue increased from 2015 to 2016.

TABLE 39: SUMMARY OF 2015 UNDERWRITING FEES BY TYPE OF CLIENT

	Fees	Percent of Total	Bonds Issued	Number of Issues	Average Size	Fee Per \$1,000	Average Fee Per Issue
Private	\$5,768,472	25%	\$655,760,000	14	\$46,840,000	\$8.80	\$412,034
Public	\$16,906,375	75%	\$4,025,790,000	6	\$670,965,000	\$4.20	\$2,817,729
Total	\$22,674,847	100%	\$4,681,550,000	20	\$234,077,500	\$4.84	\$1,133,742

TABLE 40: SUMMARY OF 2016 UNDERWRITING FEES BY TYPE OF CLIENT

	Fees	Percent of Total	Bonds Issued	Number of Issues	Average Size	Fee Per \$1,000	Average Fee Per Issue
Private	\$13,024,421	41%	\$2,689,010,000	18	\$149,389,444	\$4.84	\$723,579
Public	\$19,114,241	59%	\$5,593,135,000	10	\$559,313,500	\$3.42	\$1,911,424
Total	\$32,138,662	100%	\$8,282,145,000	28	\$295,790,893	\$3.88	\$1,147,809

SECTION II—EXPENDITURES

COUNSEL’S OFFICE

For Counsel’s Office, most items relate to costs of issuance incurred in connection with DASNY’s financing process, a series of individual fixed price transactions rather than a multi-year contract, and therefore, expenditures made during the period have been summarized.

As noted in the Introduction, this Report also includes certain payments in excess of \$5,000 made by DASNY to vendors selected by DASNY’s customers (e.g., borrowers), where DASNY did not select the vendor and does not hold a contract with the vendor. For example, certain expenditures summarized below, such as those paid for borrower’s counsel, title insurance, and insurer/swap counsel, if any, were paid to vendors selected by DASNY’s customers from bond proceeds in connection with financings undertaken by DASNY for those customers. Additionally, the payments listed in Tables 41 through 45 below are reported on a cash basis, and not the accrual basis used by DASNY for financial reporting purposes.

TABLE 41: SUMMARY OF 2015 EXPENDITURES — COUNSEL’S OFFICE

Category	Amount	Percent of Total
Bond Counsel	\$4,054,580	39%
Institutions Counsel	2,210,859	21
Title Insurance	1,319,199	13
Special Counsel	1,316,163	13
Litigation Counsel	1,234,302	12
Real Estate Counsel	155,129	1
Defeasance Counsel	114,220	1
Other	15,760	0
Total	\$10,420,212	100%

TABLE 42: SUMMARY OF 2016 EXPENDITURES — COUNSEL’S OFFICE

Category	Amount	Percent of Total
Bond Counsel	\$5,560,193	43%
Institutions Counsel	2,415,571	18
Litigation Counsel	1,887,332	15
Title Insurance	1,501,432	12
Special Counsel	744,000	6
Real Estate Counsel	189,879	1
Defeasance Counsel	83,000	1
Other	580,592	4
Total	\$12,967,779	100%

SECTION II—EXPENDITURES

COUNSEL’S OFFICE

Tables 43 through 47 highlight the ten highest paid firms, which represented 59 percent and 71 percent of all expenditures listed in the Report within the Counsel’s Office section for 2015 and 2016, respectively.

TABLE 43: SUMMARY OF MAJOR EXPENDITURES

	2015	2016
Total — Ten Highest Paid Legal Firms and All Title Costs	\$7,334,927	\$9,239,762
Total Expenditures — Counsel's Office	\$10,420,212	\$12,961,999
Ten Highest Paid Legal Firms and All Title Costs as a Percentage of Total Expenditures	59%	71%

SECTION II—EXPENDITURES

COUNSEL’S OFFICE

TABLE 44: 2015 TEN HIGHEST PAID LEGAL FIRMS

Firm	Amount
Hawkins, Delafield & Wood LLP	\$1,688,709
Berger & Webb LLP	834,397
Holland & Knight LLP	685,494
Orrick, Herrington & Sutcliffe LLP	685,067
Schiff Hardin LLP	452,777
Bryant Rabbino LLP	433,657
Hiscock & Barclay LLP	391,451
Sidley Austin LLP	302,882
Nixon Peabody, LLP	295,541
Couch White LLP	245,703
Total	\$6,015,678
Percent Total Expenditures — Counsel's Office	58%

TABLE 45: 2016 TEN HIGHEST PAID LEGAL FIRMS

Firm	Amount
Hawkins, Delafield & Wood LLP	\$2,162,103
Orrick, Herrington & Sutcliffe LLP	1,038,874
Nixon Peabody, LLP	955,857
Schiff Hardin LLP	833,012
Squire Patton Boggs LLP	621,178
Holland & Knight LLP	616,938
Harris Beach PLLC	464,425
Greenberg Traurig, LLP	399,123
Bryant Rabbino LLP	318,433
Barclay Damon LLP	299,387
Total	\$7,709,330
Percent Total Expenditures — Counsel's Office	59%

SECTION II—EXPENDITURES
COUNSEL’S OFFICE

TABLE 46: 2015 TITLE EXPENDITURES

Provider	Amount
Stewart Title Insurance Company	\$396,043
Commonwealth Land Title Insurance Co.	339,704
Eugene Cauciella dba GCA Abstract	296,090
First American Title Insurance Company	150,705
Barrister Land LLC	89,758
Chaves & Perlowitz LLP	30,000
Sneeringer Monahan Provost Redgrave	16,949
All Title Costs	\$1,319,249
Percent Total Expenditures – Counsel’s Office	13%

TABLE 47: 2016 TITLE EXPENDITURES

Provider	Amount
Title Works Abstract Inc.	\$549,837
Fidelity National Title Insurance Company	439,315
First American Title Insurance Company	227,005
CITI Abstract Copr	154,647
Eugene Cauciella dba GCA Abstract	115,863
Lanc & Tully Engineering	14,765
All Title Costs	\$1,501,432
Percent Total Expenditures – Counsel’s Office	12%

SECTION II—EXPENDITURES

ADMINISTRATION AND OPERATIONS SERVICES

Administration and Operations Services includes costs incurred for the ongoing administration and operations of DASNY , such as occupancy, information services and telecommunications, and risk management expenses. These items are included within the Operating Budget or are paid from other program operating funds and have also been summarized by expenditures made during the period.

Tables 48 and 49 summarize 2015 and 2016 expenditures by major category. Expenditures relate to both program and DASNY operations. The reduction in the Risk Management category is due to the fact that in 2015 there were two full years of property premiums paid to Marsh USA compared to one in 2016.

TABLE 48: SUMMARY OF 2015 EXPENDITURES BY CATEGORY

Category	Amount	Percent of Total
Risk Management	\$22,877,541	70%
One Penn Plaza	2,986,210	9
I/S & Telecommunications	2,105,089	7
Financial Management	574,025	2
Building/Utilities	558,954	2
Travel	547,668	2
Office Expense	316,564	1
Parking	305,766	1
Vehicle/Maintenance	205,921	1
Executive Initiatives	167,563	1
Human Resources/Benefits	26,236	0
Other	1,238,213	4
Total	\$31,909,750	100%

SECTION II—EXPENDITURES
ADMINISTRATION AND OPERATIONS SERVICES

TABLE 49: SUMMARY OF 2016 EXPENDITURES BY CATEGORY

Category	Amount	Percent of Total
Risk Management	\$11,239,172	58%
One Penn Plaza	3,006,794	16
I/S & Telecommunications	1,886,989	10
Building/Utilities	643,711	3
Financial Management	497,954	3
Travel	451,547	2
Parking	284,736	1
Vehicle/Maintenance	184,838	1
Executive Initiatives	154,500	1
Office Expense	130,558	1
Human Resources/Benefits	35,891	0
Other	798,895	4
Total	\$19,315,585	100%

SECTION II—EXPENDITURES

ADMINISTRATION AND OPERATIONS SERVICES

Tables 50 through 52 highlight all vendors paid more than \$100,000, which represented 92 percent, and 85 percent of all expenditures listed in the Administration and Operations Services section for 2015 and 2016, respectively.

TABLE 50: SUMMARY OF MAJOR EXPENDITURES

Total Administration & Operations Services	2015	2016
Vendors Over \$100,000	\$29,261,311	\$16,463,387
Total Expenditures – Administration & Operations	\$31,909,750	\$19,315,585
Vendors Over \$100,000 as a Percentage of Total Administration & Operations Services	92%	85%

SECTION II—EXPENDITURES
ADMINISTRATION AND OPERATIONS SERVICES

TABLE 51: 2015 VENDORS OVER \$100,000

Vendor	Amount
Marsh USA Inc.	*\$21,032,312
One Penn Plaza LLC	2,986,210
Rose & Kiernan Inc.	968,863
Health Research Inc.	392,854
KPMG LLP	381,695
Crawford & Company	377,750
Citibank	300,339
Albany Parking Authority	300,306
National Grid	295,858
Sentry Insurance	283,983
40 West 20th Street Condominium	275,327
Oracle America Inc.	259,813
Dell Marketing L.P.	247,291
Webster Ford Inc. dba Henderson Ford	168,958
Systems Management Planning, Inc.	149,457
Wright Express FSC	139,539
NYS Office of General Services	126,205
Key Bank National Association	126,070
Treasurer, City of Albany	125,000
Verizon Wireless (VAW) LLC	110,294
SHI International Corp	108,163
NYS Department of Labor	105,024
Total Vendors Over \$100,000	\$29,261,311
Percent Total Expenditures - Administration & Operations Services	91%

*Due to the timing of payments, the 2015 amount paid to Marsh USA, Inc. includes two full years of property insurance premiums.

SECTION II—EXPENDITURES
ADMINISTRATION AND OPERATIONS SERVICES

TABLE 52: 2016 VENDORS OVER \$100,000

Vendor	Amount
Marsh USA Inc.	\$9,217,989
One Penn Plaza LLC	3,006,794
Rose & Kiernan Inc.	927,363
Custard Insurance Adjusters Inc.	409,250
Sentry Insurance	382,155
KPMG LLP	375,001
Albany Parking Authority	275,936
40 West 20th Street Condominium	275,327
Citibank	247,399
National Grid	239,648
Systems Management Planning, Inc.	180,615
Oracle America Inc.	161,935
Dell Marketing L.P.	153,012
Verizon Wireless (VAW) LLC	136,194
Treasurer, City of Albany	125,000
Loadspring Solutions Inc.	124,920
Webster Ford Inc. dba Henderson Ford	114,664
Wright Express FSC	110,185
Total Vendors Over \$100,000	\$16,463,387
Percent Total Expenditures - Administration & Operations Services	85%

D A S N Y
 Procurement Contract Annual Report
 for the Period Commencing
 April 1, 2015 and Ending March 31, 2016

CONSTRUCTION SERVICES
SUMMARY OF AWARDS

		Original Emcumbered Value	Current Emcumbered Value	Fiscal Period Payments	All Payments To-Date
19	Professional Service Contracts	11,701,545	12,516,757	8,001,440	8,001,440
106	Construction Contracts	271,847,894	277,024,559	45,016,630	45,016,630
537	Purchase Orders (Construction)	22,790,034	23,173,824	14,030,454	14,030,454
662		306,339,473	312,715,140	67,048,524	67,048,524

Executive Summary - APPENDIX A
Awarded Professional ServicesProcurement Report
April 1, 2015 - March 31, 2016

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE	Bids
Professional Service Contracts													
07/14/15	06/20/20	AMBIENT WATER TREATMENT CONSULTING IN	00187090	400	E	197,239	197,239	0	0	Y	40		
06/04/15	01/31/16	BARBARA LEWANDOWSKA DBA LEWANDOWSK	00185807	255	E	64,813	64,813	20,900	20,900	Y	40	X	
07/10/15	12/31/15	CANNON DESIGN ARCHITECTURE & ENGINEER	00187121	255	A2	1,736,835	1,745,714	629,565	629,565	Y	52		4
06/04/15	06/02/16	FACILITY LEADERS IN ARCHITECTURAL	00187419	250	E	39,396	39,396	0	0	Y	40		
05/05/15	03/31/16	GILBANE BUILDING COMPANY	00183984	302	E	1,140,006	1,140,006	987,362	987,362	Y	29		
03/03/16	05/31/17	HOFFMANN ARCHITECTS INC	00189325	250	E	235,130	235,130	0	0	Y	41		
04/14/15	08/09/15	KIRCHHOFF-CONSIGLI CONSTRUCTION	00185964	307	A1	5,208,254	5,625,619	5,100,004	5,100,004	Y	52		
06/05/15	08/15/15	KIRCHHOFF-CONSIGLI CONSTRUCTION	00187029	302	A2	467,493	467,493	381,505	381,505	Y	52		
11/18/15	03/01/16	LIRO ARCHITECTS & PLANNERS INC.	00189463	255	E	137,856	137,856	85,409	85,409	Y	40		
07/13/15	05/02/16	LPCIMINELLI, INC.	00187569	307	A2	174,835	194,835	0	0	Y	52		
03/10/16	12/01/18	MACH ARCHITECTURE PC	00191320	400	A1	947,921	947,921	0	0	Y	52		
03/17/16	08/01/16	MARBLE FAIRBANKS	00191172	255	E	60,980	60,980	0	0	Y	40		
10/28/15	01/01/17	MICHIELLI & WYETZNER ARCHITECTS	00189270	400	E	40,060	40,060	0	0	Y	41		
06/19/15	06/30/15	OUTSOURCE CONSULTANTS, INC.	00187710	400	E	99,385	99,385	28,176	28,176	Y	33		
04/16/15	11/30/15	TDX CONSTRUCTION CORP.	00185491	302	A2	503,964	872,932	650,506	650,506	Y	28		
10/08/15	12/31/16	TDX CONSTRUCTION CORP.	00189212	302	A2	291,069	291,069	0	0	Y	40		12
04/16/15	06/30/16	TEK ARCHITECTS P.C.	00184331	255	E	155,789	155,789	66,431	66,431	Y	40		
05/29/15	12/31/16	TROWBRIDGE WOLF MICHAELS LANDSCAPE	00187570	275	A2	59,738	59,738	42,485	42,485	Y	52	X	10
08/17/15	01/01/17	URS CORPORATION - NEW YORK	00184804	306	A2	140,782	140,782	9,097	9,097	Y	41		
Total Awarded Professional Service Contracts						11,701,545	12,516,757	8,001,440	8,001,440				

Executive Summary - APPENDIX B
Awarded Construction ContractsProcurement Report
April 1, 2015 - March 31, 2016

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE	Bids
07/16/15	04/30/16	AERO PLUMBING & HEATING CO INC	00188790	805	A1	24,400	24,400	7,942	7,942	Y	28		1
07/15/15	04/30/16	AIR SYSTEMS MAINTENANCE, INC.	00188747	600	A1	510,000	516,139	161,973	161,973	Y	28		3
03/18/16	10/31/16	AKAL BUILDERS OF NY INC	00191801	600	A1	1,145,000	1,145,000	0	0	Y	40		7
07/15/15	09/23/16	AKS INTERNATIONAL INC.	00188766	MEC	A1	9,716,000	11,732,000	683,658	683,658	Y	41		6
04/01/15	06/30/16	AMERI RESTORATION INC	00186958	600	A1	1,783,000	1,829,898	1,543,070	1,543,070	Y	40	X	8
06/12/15	01/15/16	ANDREW R. MANCINI ASSOC., INC.	00188200	600	A1	342,700	345,744	167,362	167,362	Y	28		4
01/12/16	08/13/18	AOW ASSOCIATES, INC.	00191023	600	A1	4,591,000	4,591,000	0	0	Y	52		1
06/08/15	07/15/16	AOW ASSOCIATES, INC.	00188085	600	A1E	4,928,586	5,373,786	3,506,601	3,506,601	Y	52		6
05/20/15	09/01/15	ARMSTRONG ROOFING CORP	00187855	615	A1	1,770,000	1,807,699	1,442,793	1,442,793	Y	40		8
04/24/15	09/01/15	ASC CONTRACTING CORP.	00187327	SIT	A1	1,015,000	1,098,655	1,015,895	1,015,895	Y	40		7
02/10/16	08/05/16	BOLAND'S EXCAVATING & TOPSOIL INC.	00191333	SIT	A1	489,000	489,000	0	0	Y	52		2
01/29/16	06/15/16	BPI MECHANICAL, INC.	00191242	800	A1E	94,000	94,000	0	0	Y	01		
05/21/15	09/15/15	CCI COMPANIES INC	00187873	600	A1	588,426	588,426	413,215	413,215	Y	29		3
01/05/16	10/28/16	CDE ELECTRIC INC.	00190943	700	A1	33,400	289,930	0	0	Y	28		2
02/12/16	04/30/17	CONSTRUCTOMICS LLC	00191372	600	A1	1,549,410	1,549,410	0	0	Y	40	X	4
03/17/16	05/31/17	CONSTRUCTOMICS LLC	00191789	600	A1	7,216,822	7,216,822	0	0	Y	41	X	7
09/09/15	06/03/16	CONSTRUCTOMICS LLC	00189464	600	A1	1,642,425	1,642,425	952,793	952,793	Y	40	X	2
04/28/15	07/31/16	COPPOLA PAVING & LANDSCAPING CORP.	00187422	500	A1	3,593,680	3,640,862	1,608,268	1,608,268	Y	41		7
04/24/15	08/31/15	CORPORATE CONSTRUCTION & PROJECT	00187335	600	A1	159,300	159,300	73,356	73,356	Y	28	X	3
04/24/15	06/30/15	CORPORATE CONSTRUCTION & PROJECT	00187337	600	A1	129,900	129,900	68,333	68,333	Y	28	X	3
12/28/15	07/15/16	COYLE INDUSTRIES, INC	00190857	600	A1	781,500	781,500	0	0	Y	29		4
02/20/16	04/01/16	DAVIS-ULMER SPRINKLER CO., INC.	00191102	803	E	29,144	29,144	27,409	27,409	Y	52		
06/30/15	08/15/17	DAVIS-ULMER SPRINKLER CO., INC.	00187936	803	E	196,814	204,513	194,280	194,280	Y	52		
02/22/16	05/27/16	DIGESARE MECHANICAL, INC.	00191280	803	E	48,000	48,000	0	0	Y	52		
07/09/15	08/05/16	DOW ELECTRIC INC.	00188676	600	A1	1,914,999	1,914,999	1,023,625	1,023,625	Y	52		5
08/14/15	02/01/18	DYNAMIC MECHANICAL CONTRACTORS INC	00189141	700	A1	32,882,000	32,882,000	1,640,113	1,640,113	Y	41		4
10/19/15	12/21/16	E. CONTRACTING INC DBA ERIE CONTRACTING	00190013	600	E	304,963	304,963	200,556	200,556	Y	52	X	
04/15/15	11/02/15	EASTCOAST ELECTRIC, LLC.	00187126	700	A1	379,000	426,507	380,900	380,900	Y	21		7
10/06/15	06/15/16	EVERGREEN MOUNTAIN CONTRACTING, INC.	00189829	600	A1	678,910	678,910	0	0	Y	29		4
03/02/16	08/05/16	FAHS CONSTRUCTION GROUP, INC.	00191560	600	A1	871,000	871,000	0	0	Y	52		3
11/09/15	07/01/16	FAHS CONSTRUCTION GROUP, INC.	00190277	600	A1	5,803,000	5,807,780	481,213	481,213	Y	52		9
06/17/15	07/01/15	FANSHAW INC. DBA ROCKLAND ELECTRIC	00188278	ALA	A1	101,475	369,500	116,943	116,943	Y	28		2
07/14/15	04/30/16	FANSHAW INC. DBA ROCKLAND ELECTRIC	00188740	700	A1	61,900	66,579	2,565	2,565	Y	28		2
07/30/15	08/31/16	FANSHAW INC. DBA ROCKLAND ELECTRIC	00188742	600	A2	196,888	357,000	124,381	124,381	Y	28		1
07/30/15	08/31/16	FANSHAW INC. DBA ROCKLAND ELECTRIC	00188955	600	A1	175,000	355,000	118,370	118,370	Y	28		2
12/16/15	01/22/16	FANSHAW INC. DBA ROCKLAND ELECTRIC	00190720	700	A1	43,130	209,700	0	0	Y	28		

Executive Summary - APPENDIX B
Awarded Construction Contracts

Procurement Report
April 1, 2015 - March 31, 2016

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE	Bids
03/24/16	12/31/17	FORTE CONSTRUCTION CORP	00191894	600	A1	11,357,000	11,357,000	0	0	Y	40		11
02/23/16	12/01/16	FRANK J. MARIANACCI, INC.	00191467	600	A1	148,070	285,000	0	0	Y	28		2
06/10/15	07/01/16	FRANK J. MARIANACCI, INC.	00188159	600	A1	1,030,000	1,030,000	904,067	904,067	Y	28		8
08/06/15	08/01/16	FRATELLO CONSTRUCTION CORP	00189088	600	A1	11,675,000	11,675,000	1,621,693	1,621,693	Y	28		5
01/22/16	05/31/17	GENESYS ENGINEERING P.C.	00190631	266	A1	3,904,371	3,904,371	0	0	Y	40		
07/30/15	12/31/17	GENESYS ENGINEERING P.C.	00188645	266	A2	43,242,031	43,242,031	3,684,759	3,684,759	Y	40		
08/28/15	07/01/16	GENESYS ENGINEERING P.C.	00189001	266	A1	1,643,516	1,643,516	956,800	956,800	Y	41		
11/16/15	03/01/17	GENESYS ENGINEERING P.C.	00189895	266	A1	19,336,857	19,336,857	0	0	Y	41		
12/15/15	08/30/17	GENESYS ENGINEERING P.C.	00190271	266	A1	9,412,827	9,412,827	0	0	Y	40		
12/14/15	08/05/16	GROVE ROOFING SERVICES, INC.	00190659	600	A1	794,400	794,400	14,250	14,250	Y	52		4
10/26/15	11/15/16	H.J. BRANDELES CORPORATION	00190109	805	A1	227,000	227,000	42,750	42,750	Y	28		2
10/28/15	11/15/16	H.J. BRANDELES CORPORATION	00190155	804	A1	2,164,720	2,164,720	71,155	71,155	Y	28		2
07/15/15	03/31/16	H2H ASSOCIATES, LLC	00188659	623	A1	0	287,637	141,568	141,568	Y	28	X	
03/24/16	10/12/16	INNISS CONSTRUCTION, INC.	00191895	600	A1	1,480,700	1,480,700	0	0	Y	40	X	4
03/03/16	08/05/16	JAVEN CONSTRUCTION CO., INC.	00191558	600	A1E	1,155,000	1,155,000	0	0	Y	52		3
05/04/15	08/05/16	JEMCO ELECTRICAL CONTRACTORS, INC.	00187532	600	A1E	6,649,500	6,111,370	5,660,355	5,660,355	Y	52		2
10/30/15	03/31/16	JOHNSON CONTROLS INC	00189029	803	E	360,783	360,783	0	0	Y	41		
05/20/15	08/07/15	JOS. A. SANDERS & SONS, INC.	00187854	600	A1	174,159	176,501	157,216	157,216	Y	28	X	4
07/27/15	09/25/15	K. & V. CONSTRUCTION, INC.	00188951	600	A1	567,695	567,695	512,064	512,064	Y	40	X	6
05/22/15	01/15/16	KIMBLE, INC	00187898	804	A1	67,400	71,960	46,217	46,217	Y	28		2
04/15/15	12/31/16	KNIGHTSBRIDGE CONSTRUCTION CORP.	00187125	800	A1	2,861,000	2,861,000	876,038	876,038	Y	40		6
04/17/15	02/01/17	KNIGHTSBRIDGE CONSTRUCTION CORP.	00187184	800	A1	2,611,000	2,611,000	249,762	249,762	Y	40		2
12/15/15	07/29/16	LANDRY MECHANICAL CONTRACTORS, INC.	00190667	600	A1	1,664,000	1,664,000	29,450	29,450	Y	52		8
01/20/16	07/01/16	M & J ELECTRICAL CONTRACTORS CORP.	00191139	600	A1	1,937,000	1,937,000	0	0	Y	40		4
06/10/15	10/01/15	M & J ELECTRICAL CONTRACTORS CORP.	00188167	600	A1	496,000	496,000	171,663	171,663	Y	28		3
11/03/15	05/31/16	M & J ELECTRICAL CONTRACTORS CORP.	00190222	600	A1	847,000	847,000	0	0	Y	40		2
03/03/16	08/05/16	MANNING SQUIRES HENNIG CO., INC.	00191559	600	A1E	1,645,000	1,645,000	0	0	Y	52		3
03/03/16	08/05/16	MANNING SQUIRES HENNIG CO., INC.	00191561	600	A1E	1,488,000	1,488,000	0	0	Y	52		4
04/14/15	11/02/15	MANNING SQUIRES HENNIG CO., INC.	00187116	600	A1	379,000	386,041	366,263	366,263	Y	21		2
11/03/15	06/30/16	MARFI CONTRACTING CORP.	00190218	615	A1	1,037,000	1,037,000	0	0	Y	28	X	7
01/14/16	08/05/16	MARK CERRONE INC.	00191075	906	A1E	788,000	788,000	0	0	Y	52	X	4
04/22/15	06/01/15	MATCO ELECTRIC CORPORATION	00185698	ELC	E	36,000	55,612	55,612	55,612	Y	52		
06/01/15	12/18/15	MATCO ELECTRIC CORPORATION	00187995	600	A1	217,000	251,972	239,373	239,373	Y	28		6
05/12/15	08/07/15	MID-STATE INDUSTRIES, LTD.	00187663	615	A1E	476,811	496,211	496,211	496,211	Y	52		4
10/20/15	12/21/16	MID-STATE INDUSTRIES, LTD.	00190033	600	E	25,000	25,000	0	0	Y	52		
11/10/15	07/22/16	MID-STATE INDUSTRIES, LTD.	00190284	805	A1	362,303	362,303	0	0	Y	28		2
11/02/15	12/30/16	MINELLI CONSTRUCTION CO., INC.	00190217	600	A1	3,381,335	3,381,335	95,341	95,341	Y	40		2
05/01/15	02/28/16	MONGIOVE ASSOCIATES LTD	00187521	600	A1	2,217,978	2,258,606	518,271	518,271	Y	40		2

Executive Summary - APPENDIX B
Awarded Construction Contracts

Procurement Report
April 1, 2015 - March 31, 2016

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE	Bids
05/01/15	07/03/15	MORRIS MASONRY RESTORATION LLC	00187509	600	A1	73,540	77,325	77,325	77,325	Y	28		6
01/12/16	07/29/16	MURNANE BUILDING CONTRACTORS INC	00191025	600	A1	2,186,600	2,186,600	0	0	Y	52		4
05/18/15	10/30/15	MURNANE BUILDING CONTRACTORS INC	00187334	600	A1	3,011,800	3,011,800	1,858,580	1,858,580	Y	29		3
03/18/16	08/05/16	MURNANE BUILDING CONTRACTORS, INC.	00191797	600	A1	206,400	206,400	0	0	Y	52		2
05/04/15	01/15/16	NELCORP ELECTRICAL CONTRACTING CORP.	00187536	700	A1	42,200	42,200	25,582	25,582	Y	28		1
10/02/15	11/08/15	NICHTER CONSTRUCTION, INC.	00189812	600	A1	352,400	352,400	48,896	48,896	Y	28		1
02/22/16	09/01/16	NORTH CENTRAL MECHANICAL, INC.	00191455	600	A1E	2,907,000	2,907,000	0	0	Y	52		5
12/18/15	08/01/16	NORTH CENTRAL MECHANICAL, INC.	00190783	800	A1	820,000	820,000	18,620	18,620	Y	52		4
05/12/15	08/03/15	NORTHERN TIER CONTRACTING, INC.	00187648	600	A1	364,400	364,400	364,400	364,400	Y	52		3
02/24/16	08/01/16	NY ASPHALT INC	00191479	SIT	A1	10,061,000	10,061,000	0	0	Y	28		3
09/08/15	01/05/17	O'BRIEN & GERE/DEWBERRY JOINT VENTURE	00188019	266	A	10,133,263	10,133,263	2,604,921	2,604,921	Y	40		
10/01/15	12/01/16	PAC & ASSOCIATES OF OSWEGO, INC.	00189761	600	A1	2,046,820	2,046,820	109,322	109,322	Y	52		3
10/08/15	11/15/16	PATRICIA ELECTRIC, INC.	00189881	700	A1	130,000	132,508	17,394	17,394	Y	28		5
06/02/15	01/15/16	PETCOSKY & SONS PLUMBING AND HEATING I	00188037	805	A1	186,877	212,655	92,459	92,459	Y	28		3
09/01/15	03/21/16	PHILLIP ROSS INDUSTRIES INC	00189378	600	A1	3,675,000	3,675,000	486,383	486,383	Y	41		
04/21/15	07/31/15	PMY CONSTRUCTION CORP.	00187235	600	A1	1,282,644	1,282,644	0	0	Y	40	X	4
05/07/15	09/30/15	PMY CONSTRUCTION CORP.	00187603	600	A1	761,490	761,490	661,101	661,101	Y	28	X	4
05/12/15	07/31/15	POSYLIO GC CORP.	00187666	600	A1	3,489,000	3,607,000	2,883,891	2,883,891	Y	40		9
04/21/15	09/30/15	PRECISION ROOFING, INC.	00187236	600	A1	939,000	939,000	0	0	Y	40		6
08/03/15	12/01/16	RAYMOND E. KELLEY, INC.	00189007	600	A1E	1,252,000	1,271,594	304,006	304,006	Y	52		5
03/09/16	03/31/16	RICHARDS PLUMBING & HEATING CO. INC.	00191618	600	E	74,095	74,095	74,095	74,095	Y	40		
06/25/15	06/30/15	RICK'S CONSTRUCTION & IRON WORKS, INC.	00188433	600	A1	139,450	139,450	109,271	109,271	Y	28	X	5
06/17/15	09/04/15	S & W CONTRACTING OF WESTERN NY INC.	00188298	600	A1	112,000	123,103	98,709	98,709	Y	28	X	3
02/23/16	08/31/16	SICOLI CONSTRUCTION SERVICES, INC.	00191463	600	A1	448,700	448,700	0	0	Y	29		7
04/23/15	08/07/15	SICOLI CONSTRUCTION SERVICES, INC.	00187293	600	A1	328,800	333,032	309,985	309,985	Y	52		2
06/19/15	08/31/15	SL ASSOCIATES OF ROCHESTER INC DBA	00188267	600	E	125,493	125,493	125,493	125,493	Y	52		
03/04/16	10/28/16	SUBURBAN RESTORATION CO INC.	00191566	600	A1	122,525	904,378	0	0	Y	28		1
05/20/15	10/07/15	THE ENVIRONMENTAL SERVICE GROUP (NY) IN	00187839	600	A1	1,985,452	2,192,593	1,856,928	1,856,928	Y	28		12
07/15/15	12/31/15	VALOR CONSTRUCTION CORP	00188683	623	A1	0	205,960	22,777	22,777	Y	28		
03/18/16	08/05/16	WEAVER METAL & ROOFING INC.	00191799	600	A1	575,500	575,500	0	0	Y	52		4
02/11/16	04/01/16	WELCH CONSTRUCTION, INC.	00191213	501	E	99,717	99,717	0	0	Y	21		
12/08/15	12/30/15	ZIAUR BHUIYAN DBA DYNAMIC CONSTRUCTIO	00190572	600	A1E	264,500	264,500	0	0	Y	28	X	
Total Awarded Construction Contracts						271,847,894	277,024,559	45,016,630	45,016,630				

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Purchase Orders (Construction)													
03/09/16	04/27/16	ACCENT COMMERCIAL FURNITURE INC.	00191671	20915	A1	13,296	13,296	0	0	N	41		2
03/07/16	03/07/16	ADWAR VIDEO	00191601	12099	B1	5,574	5,574	0	0	N	40		1
04/08/15	04/08/15	ADWAR VIDEO	00187076	38212	B1	18,254	18,254	18,254	18,254	N	40		1
06/17/15	06/17/15	ADWAR VIDEO	00188276	38212	B1	18,395	18,395	18,395	18,395	N	40		1
06/18/15	06/18/15	ADWAR VIDEO	00188323	38200	B1	46,282	46,282	46,282	46,282	N	28		1
08/19/15	08/19/15	ADWAR VIDEO	00189154	38200	B1	26,905	26,905	26,905	26,905	N	28		1
12/30/15	12/30/15	AEI TECHNOLOGIES INC	00190870	12099	C	42,000	42,000	42,000	42,000	N	40		1
05/27/15	05/27/15	AGATI INC.	00187924	21501	B1	18,616	18,616	18,616	18,616	N	52		1
12/17/15	12/17/15	AGATI INC.	00190766	20915	B1	381,495	381,495	0	0	N	41		1
12/30/15	12/30/15	AGILENT TECHNOLOGIES INC.	00190872	12099	B1	49,084	49,084	49,084	49,084	N	40		1
11/05/15	11/05/15	ALARM SYSTEMS DISTRIBUTORS	00190254	79504	A1	7,876	7,876	7,876	7,876	N	01		1
05/04/15	05/04/15	ALIANZA SERVICES LLC	00187533	20915	A1	16,213	16,213	16,213	16,213	N	40	X	3
06/19/15	06/19/15	ALIANZA SERVICES LLC	00188353	20915	A1	28,640	28,640	28,640	28,640	N	40	X	3
06/03/15	06/03/15	ALL A BOARD, INC.	00188050	21501	A1	7,107	7,107	7,107	7,107	N	52		1
10/01/15	10/01/15	ALLSTEEL INC.	00189760	20915	B1	7,052	6,822	0	0	N	55		1
01/22/16	01/22/16	AMERICAN HOTEL REGISTER COMPANY	00191178	22700	C	6,153	6,153	0	0	N	52		1
12/14/15	12/14/15	AMERICAN HOTEL REGISTER COMPANY	00190665	22700	C	212,910	212,910	0	0	N	52		1
04/15/15	05/18/15	AMERICAN HOUSEHOLD STORAGE COMPANY	00187136	79004	A1	14,600	14,600	14,600	14,600	Y	52		2
03/04/16	03/04/16	ANIXTER INC.	00191568	75318	B1	17,585	17,585	0	0	N	28		1
09/24/15	09/24/15	ANIXTER INC.	00189685	77007	B1	9,646	11,126	11,126	11,126	N	28		1
01/27/16	01/27/16	ANIXTER, INC.	00191227	77001	B1	5,490	5,490	5,490	5,490	N	40		1
04/23/15	04/23/15	ANIXTER, INC.	00187310	77001	B1	19,136	19,136	19,136	19,136	N	40		1
05/18/15	05/21/15	ANIXTER, INC.	00187798	38789	B1	5,767	5,767	5,767	5,767	N	40		1
05/27/15	05/27/15	ANNESE & ASSOCIATES INC.	00187934	60003	B1	120,110	120,110	120,110	120,110	N	52	X	1
05/27/15	05/27/15	ANNESE & ASSOCIATES INC.	00187938	60003	B1	25,825	25,825	25,825	25,825	N	52	X	1
05/27/15	05/27/15	ANNESE & ASSOCIATES INC.	00187939	60003	B1	19,150	19,150	19,150	19,150	N	52	X	1
05/28/15	05/28/15	ANNESE & ASSOCIATES INC.	00187947	60003	B1	177,912	177,912	177,912	177,912	N	52	X	1
11/30/15	11/30/15	ANNESE & ASSOCIATES INC.	00190464	38200	B1	26,404	66,010	66,010	66,010	N	28	X	1
04/08/15	04/08/15	APPLE INC.	00187075	75314	B1	7,479	7,479	7,479	7,479	N	40		1
04/09/15	04/09/15	APPLE INC.	00187082	75314	B1	18,046	18,046	18,046	18,046	N	40		1
05/26/15	05/26/15	APPLE INC.	00187916	85110	B8	11,383	11,433	11,433	11,433	N	40		1
08/11/15	08/11/15	APPLE INC.	00189115	85110	B1	35,854	35,854	35,854	35,854	N	40		1
09/30/15	09/30/15	APPLE INC.	00189749	85110	B1	19,734	19,734	19,734	19,734	N	40		1
10/26/15	12/03/15	APPLE INC.	00190112	78265	B8	71,324	72,772	72,772	72,772	N	40		1
12/01/15	12/01/15	APPLE INC.	00190469	85110	B8	6,109	6,109	6,109	6,109	N	40		1
04/27/15	04/27/15	ARCADIA CHAIR COMPANY	00187375	21501	B1	8,976	8,976	8,976	8,976	N	52		1

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07/08/15	07/08/15	ARIES BUILDING SYSTEMS, LLC	00188665	42301	A1	69,998	69,998	69,998	69,998	N	29		3
09/28/15	09/28/15	ARIES BUILDING SYSTEMS, LLC	00189713	96000	A1	9,400	9,400	9,400	9,400	N	29		1
10/26/15	10/26/15	ARIES BUILDING SYSTEMS, LLC	00190117	42301	A1	7,565	7,565	7,565	7,565	N	29		1
10/01/15	10/05/15	ARMSTRONG MEDICAL INDUSTRIES INC.	00189789	10132	E	14,017	22,361	21,499	21,499	N	28		1
07/24/15	07/24/15	AUDIO VIDEO CORP.	00188918	38210	A1	6,810	6,810	6,810	6,810	N	52		1
10/09/15	10/09/15	AUDIO VIDEO CORP.	00189898	38210	A1	13,968	15,127	15,127	15,127	N	28		2
01/20/16	01/20/16	B&H FOTO & ELECTRONICS CORP DBA B&H	00191129	38212	C	13,880	13,880	13,880	13,880	N	40		1
01/27/16	01/27/16	B&H FOTO & ELECTRONICS CORP DBA B&H	00191222	38212	C	21,957	21,957	0	0	N	40		1
02/05/16	02/05/16	B&H FOTO & ELECTRONICS CORP DBA B&H	00191310	85110	C	9,908	9,908	0	0	N	40		1
03/09/16	03/09/16	B&H FOTO & ELECTRONICS CORP DBA B&H	00191663	38212	C	8,400	8,400	0	0	N	40		1
04/23/15	04/23/15	B&H FOTO & ELECTRONICS CORP DBA B&H	00187301	38212	C	10,975	10,975	10,975	10,975	N	40		1
07/14/15	07/14/15	B&H FOTO & ELECTRONICS CORP DBA B&H	00188738	38212	C	105,514	105,514	105,514	105,514	N	40		1
08/04/15	08/04/15	B&H FOTO & ELECTRONICS CORP DBA B&H	00189050	38212	C	98,087	98,087	98,087	98,087	N	40		1
08/07/15	08/07/15	B&H FOTO & ELECTRONICS CORP DBA B&H	00189086	38212	C	22,800	22,800	22,800	22,800	N	40		1
10/06/15	10/06/15	B&H FOTO & ELECTRONICS CORP DBA B&H	00189837	85110	C	9,246	9,246	9,246	9,246	N	40		1
10/26/15	10/26/15	B&H FOTO & ELECTRONICS CORP DBA B&H	00190119	38212	C	26,684	26,684	26,684	26,684	N	40		1
10/26/15	10/26/15	B&H FOTO & ELECTRONICS CORP DBA B&H	00190123	38212	C	8,939	8,939	8,939	8,939	N	40		1
11/18/15	11/18/15	B&H FOTO & ELECTRONICS CORP DBA B&H	00190387	38212	C	14,100	14,100	14,100	14,100	N	40		1
12/10/15	12/10/15	BACKSCATTER	00190643	12099	C	20,320	20,320	20,320	20,320	N	40		1
01/20/16	01/20/16	BARJAN MANUFACTURING LTD.	00191143	12010	C	48,274	48,274	0	0	N	40		1
06/01/15	06/01/15	BEACH TRADING CORP	00188002	21710	A1	8,077	8,077	8,077	8,077	N	28		1
01/25/16	03/25/16	BECKMAN COULTER, INC.	00191185	12099	C	28,195	28,329	0	0	N	40		1
04/22/15	04/22/15	BECKMAN COULTER, INC.	00187288	12099	C	38,827	38,827	38,827	38,827	N	40		1
07/28/15	07/28/15	BECTON, DICKINSON & COMPANY	00188947	12099	C	8,217	8,217	8,217	8,217	N	40		1
03/21/16	03/21/16	BER-NATIONAL CONTROLS, INC.	00191844	20915	C	26,520	26,520	0	0	N	55		1
07/05/15	09/11/15	BIOFIT ENGINEERED PRODUCTS LIMITED PART	00188603	20915	B1	6,641	6,641	6,641	6,641	N	28		1
08/03/15	08/03/15	BIOLIN SCIENTIFIC INC	00189003	12099	C	27,960	27,960	27,960	27,960	N	40		1
05/08/15	08/04/15	BIO-RAD LABORATORIES INC.	00187620	12099	C	9,356	9,739	9,739	9,739	N	40		1
08/03/15	08/03/15	BIO-RAD LABORATORIES INC.	00189005	12001	C	6,640	6,640	0	0	N	40		1
09/14/15	11/17/15	BIO-RAD LABORATORIES INC.	00189557	12099	C	9,845	10,238	10,238	10,238	N	40		1
01/11/16	01/11/16	BIO SIGNAL GROUP	00191018	12099	C	17,650	17,650	0	0	N	40		1
02/29/16	04/11/16	BIOTAGE LLC	00191520	12099	C	49,344	49,344	0	0	N	40		1
04/06/15	04/06/15	BLOCKHOUSE CO., INC.	00187037	21501	B1	9,402	8,929	8,929	8,929	N	52		1
05/27/15	05/27/15	BLOCKHOUSE CO., INC.	00187923	21501	B1	88,211	88,211	88,211	88,211	N	52		1
05/27/15	06/01/15	BLOCKHOUSE CO., INC.	00187930	21501	B1	6,630	6,630	6,630	6,630	N	52		1
05/28/15	05/28/15	BLOCKHOUSE CO., INC.	00187944	21501	B1	25,714	25,997	25,997	25,997	N	52		1
06/03/15	07/27/15	BLOCKHOUSE CO., INC.	00188049	21501	B1	7,458	7,458	7,458	7,458	N	52		1
06/08/15	06/08/15	BLOCKHOUSE CO., INC.	00188088	21501	B1	87,487	87,487	87,487	87,487	N	52		1

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08/05/15	08/05/15	BLOCKHOUSE CO., INC.	00189082	21501	B1	5,029	5,029	5,029	5,029	N	52		1
08/04/15	08/04/15	BRAIN VISION LLC	00189031	12001	C	48,007	48,007	48,007	48,007	N	40		1
12/22/15	12/22/15	BROADCASTERS GENERAL STORE INC	00190800	38212	C	22,786	22,786	22,786	22,786	N	40		1
04/20/15	04/20/15	BRUKER NANO INC DBA BRUKER NANO SURFA	00187232	12099	C	191,978	191,978	191,978	191,978	N	40		1
04/14/15	04/14/15	BUEHLER A DIVISION OF ILLINOIS TOOL	00187113	12001	C	5,726	5,726	5,726	5,726	N	40		1
04/22/15	06/15/15	BUSINESS FURNITURE, INC. DBA BFI	00187271	20915	C	6,810	6,810	6,810	6,810	N	40		1
04/07/15	04/07/15	BUTLER WOODCRAFTERS, INC.	00187044	21501	B1	82,129	82,129	82,129	82,129	N	52		1
05/26/15	05/26/15	BUTLER WOODCRAFTERS, INC.	00187922	35700	B1	10,138	10,138	10,138	10,138	N	52		1
07/06/15	07/06/15	BUTLER WOODCRAFTERS, INC.	00188630	21501	B1	29,814	29,814	29,814	29,814	N	52		1
07/10/15	07/10/15	BUTLER WOODCRAFTERS, INC.	00188703	21501	B1	16,849	16,847	12,754	12,754	N	52		1
07/17/15	07/17/15	BUTLER WOODCRAFTERS, INC.	00188816	21501	B1	11,137	15,229	15,229	15,229	N	52		1
10/07/15	10/07/15	BUTLER WOODCRAFTERS, INC.	00189875	21501	B1	6,098	6,098	6,098	6,098	N	52		1
10/29/15	10/29/15	BUTLER WOODCRAFTERS, INC.	00190182	21501	B1	20,519	20,519	20,519	20,519	N	52		1
07/09/15	07/09/15	CALLANAN INDUSTRIES, INC.	00188691	95000	B1	19,845	19,845	19,845	19,845	Y	21		1
12/10/15	12/10/15	CAMPBELL SCIENTIFIC, INC.	00190641	12099	C	98,353	98,353	98,353	98,353	N	40		1
04/10/15	04/10/15	CAPINTEC INC	00187085	20915	C	6,368	6,497	6,497	6,497	N	40		1
01/15/16	01/15/16	CARAHSOFT TECHNOLOGY CORPORATION	00191095	76050	B2	139,600	139,600	0	0	N	40		1
08/27/15	08/27/15	CARL ZEISS MICROSCOPY LLC	00189323	12099	C	189,959	189,959	186,306	186,306	N	40		1
09/02/15	09/02/15	CBORD GROUP INC.	00189392	77201	C	37,201	37,146	37,146	37,146	Y	52		1
12/23/15	12/23/15	CDW LLC DBA CDW GOVERNMENT	00190830	77503	C	6,739	6,739	6,739	6,739	N	40		1
09/16/15	09/17/15	CEM HOLDINGS CORPORATION DBA CEM	00189570	12099	C	95,360	95,360	0	0	N	40		1
04/15/15	04/15/15	CHAMPION MOVING & STORAGE INC.	00187128	79004	B1	31,493	31,493	31,493	31,493	Y	52		1
07/16/15	07/16/15	CHEF'S DEPOT INC. D/B/A CULINARY DEPOT	00188811	36111	A1	12,759	46,621	46,621	46,621	Y	28		2
05/04/15	09/15/15	CLAFLIN SERVICE COMPANY DBA RSI	00187542	10132	A1	6,978	6,978	6,978	6,978	N	28		1
05/04/15	09/15/15	CLAFLIN SERVICE COMPANY DBA RSI	00187547	10132	A1	13,535	13,535	13,535	13,535	N	28		2
08/03/15	08/03/15	CLAFLIN SERVICE COMPANY DBA RSI	00189019	10132	A1	5,996	107,256	107,256	107,256	N	28		1
06/17/15	06/17/15	COINMACH CORPORATION DBA ASI, MAC-GRA	00188275	36501	A1	27,693	27,693	27,693	27,693	N	52		3
04/07/15	04/07/15	COLE-PARMER INSTRUMENT CO.	00187054	12099	C	9,462	9,462	9,462	9,462	N	40		1
12/23/15	12/23/15	CONTROL NETWORK COMMUNICATIONS INC	00190835	85000	A1	29,990	36,500	0	0	Y	52		3
04/13/15	04/13/15	CORPORATE COMPUTER SOLUTIONS, INC	00187100	75511	B1	40,004	40,004	40,004	40,004	N	28	X	1
04/13/15	04/13/15	CORPORATE COMPUTER SOLUTIONS, INC	00187101	75511	B1	10,460	10,460	10,460	10,460	N	28	X	1
04/13/15	04/13/15	CORPORATE COMPUTER SOLUTIONS, INC	00187102	75511	B1	5,536	5,536	5,536	5,536	N	28	X	1
04/13/15	04/13/15	CORPORATE COMPUTER SOLUTIONS, INC	00187103	75511	B1	15,384	15,384	15,384	15,384	N	28	X	1
06/22/15	06/22/15	CORPORATE COMPUTER SOLUTIONS, INC	00188371	77050	B1	8,856	8,856	8,856	8,856	N	40	X	1
05/07/15	09/01/15	CURRIMBHOY & CASSIDY LLC	00187596	20915	B1	6,180	6,180	6,180	6,180	N	28	X	1
05/08/15	09/01/15	CURRIMBHOY & CASSIDY LLC	00187618	20915	B1	15,321	15,321	15,321	15,321	N	28	X	1
09/18/15	09/18/15	CURRIMBHOY & CASSIDY LLC	00189620	20915	B	6,290	6,290	6,290	6,290	N	28	X	1
10/15/15	10/15/15	CURRIMBHOY & CASSIDY LLC	00189975	20915	B	8,735	8,735	8,735	8,735	N	28	X	1

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05/13/15	05/13/15	D & R JONES CONSTRUCTION CORP.	00187672	92070	C	135,900	139,270	139,270	139,270	N	52		1
04/08/15	04/08/15	DALE ELECTRONICS CORP	00187066	38212	C	6,441	6,441	6,441	6,441	N	40		1
09/25/15	09/25/15	DEBRINO CAULKING ASSOC, INC.	00189700	85000	A1	6,731	6,731	6,731	6,731	N	52		1
01/12/16	01/12/16	DELL MARKETING L.P.	00191046	85110	C	6,969	6,969	0	0	N	40		1
02/01/16	02/01/16	DELL MARKETING L.P.	00191250	85110	B1	107,306	122,408	0	0	N	40		1
02/01/16	02/01/16	DELL MARKETING L.P.	00191255	75302	C	6,237	6,237	6,237	6,237	N	40		1
04/16/15	04/16/15	DELL MARKETING L.P.	00187140	85110	B1	29,999	29,999	29,999	29,999	N	40		1
07/30/15	07/30/15	DELL MARKETING L.P.	00188980	85110	B1	18,580	18,580	18,580	18,580	N	40		1
08/27/15	08/27/15	DELL MARKETING L.P.	00189309	85110	B1	7,888	7,888	7,888	7,888	N	40		1
09/24/15	09/24/15	DELL MARKETING L.P.	00189679	85110	B1	43,959	43,959	0	0	N	40		1
08/10/15	08/10/15	DERWYN CORP.	00189099	20915	C	7,557	7,557	7,557	7,557	N	40	X	1
04/20/15	05/18/15	DESIGN SPECIALISTS INC. D/B/A CORPORATE	00187230	24400	A1	10,560	12,381	12,381	12,381	N	52	X	2
06/04/15	06/04/15	DIRTT ENVIRONMENTAL SOLUTIONS INC	00188064	21501	B1	52,826	52,826	52,826	52,826	Y	52		1
05/13/15	05/13/15	DITTO SALES INC DBA VERSTEEL	00187688	21501	B1	17,896	17,896	17,896	17,896	N	52		1
02/17/16	06/01/16	DIVERSIFIED HEAT TRANSFER INC	00191412	38789	A1	189,900	189,900	0	0	Y	21		1
06/08/15	06/08/15	DRAPERY INDUSTRIES INC.	00188087	21200	A1	1,830	8,150	8,150	8,150	N	52		1
10/07/15	10/07/15	DRB BUSINESS INTERIORS INC.	00189878	21804	B1	49,887	49,887	49,887	49,887	N	01	X	1
10/07/15	10/07/15	DRB BUSINESS INTERIORS INC.	00189879	21804	B1	22,895	22,895	22,895	22,895	N	01	X	1
01/25/16	01/25/16	DYNTEK SERVICES INC.	00191182	85110	B2	69,820	69,820	0	0	N	40		1
05/15/15	05/15/15	DYNTEK SERVICES INC.	00187761	38789	B1	16,015	16,015	16,015	16,015	Y	40		1
02/01/16	02/01/16	E A FISCHIONE INSTRUMENTS INC	00191270	12099	C	74,709	74,709	0	0	N	40		1
02/01/16	02/01/16	E A FISCHIONE INSTRUMENTS INC	00191271	12099	C	47,669	47,669	0	0	N	40		1
10/29/15	02/17/16	EC PRO VIDEO SYSTEMS INC	00190172	38212	C	8,055	8,055	8,055	8,055	N	40		1
11/03/15	11/03/15	EC PRO VIDEO SYSTEMS INC	00190220	38212	C	11,138	11,138	11,138	11,138	N	40		1
12/22/15	12/22/15	EC PRO VIDEO SYSTEMS INC	00190798	38212	C	9,588	9,588	9,588	9,588	N	40		1
04/14/15	06/15/15	EGAN VISUAL INTERNATIONAL INC.	00187119	20915	B1	8,780	8,556	8,556	8,556	N	40		1
04/07/15	04/07/15	EIA DATACOM INC.	00187056	77007	B1	34,099	34,099	34,099	34,099	N	40		1
07/08/15	07/08/15	EIA DATACOM INC.	00188672	77007	B1	16,773	16,773	16,773	16,773	N	40		1
07/09/15	07/09/15	EIA DATACOM INC.	00188681	77007	B1	6,618	6,618	6,618	6,618	N	40		1
09/03/15	09/03/15	EIA DATACOM INC.	00189427	77007	B1	5,800	5,800	5,800	5,800	N	40		1
06/24/15	06/24/15	ELECTRONIC BUSINESS PRODUCTS INC.	00188430	50050	B1	73,990	73,990	73,990	73,990	N	01		1
10/16/15	10/16/15	ELECTRONIC BUSINESS PRODUCTS INC.	00189991	22424	B1	67,507	67,507	67,507	67,507	N	01		1
11/02/15	11/02/15	ELECTRONIC BUSINESS PRODUCTS INC.	00190197	22424	B1	31,830	31,830	31,830	31,830	N	01		1
11/02/15	11/02/15	ELECTRONIC BUSINESS PRODUCTS INC.	00190198	22424	B1	48,393	48,393	48,393	48,393	N	01		1
11/10/15	11/10/15	ELECTRONIC BUSINESS PRODUCTS INC.	00190285	22424	B1	24,865	24,865	24,865	24,865	N	01		1
11/23/15	11/23/15	ELECTRONIC BUSINESS PRODUCTS INC.	00190446	22424	B1	117,479	117,479	117,479	117,479	N	01		1
12/22/15	12/22/15	ELECTRONIC BUSINESS PRODUCTS INC.	00190795	22424	B1	11,784	11,784	11,784	11,784	N	01		1
06/16/15	06/16/15	ELLI NY DESIGN CORP	00188248	85000	A1	7,914	7,914	0	0	Y	28		1

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06/17/15	06/17/15	EMC CORPORATION	00188306	77530	B1	34,958	34,958	34,958	34,958	N	40		1
10/02/15	10/05/15	EMERGENCY SKILLS INC	00189817	10132	B1	35,454	55,712	55,712	55,712	N	28	X	1
10/05/15	10/05/15	EMERGENCY SKILLS INC	00189828	10132	B1	13,556	13,556	13,556	13,556	N	28	X	1
10/08/15	10/09/15	EMINENCE GROUP DBA COLECRAFT COMMERCIAL	00189897	20915	B1	13,965	13,965	13,965	13,965	Y	28		1
04/10/15	04/10/15	EMPIRE OFFICE INC.	00187091	20915	C	9,520	9,520	9,520	9,520	N	40		1
04/13/15	04/13/15	EMPIRE OFFICE INC.	00187106	20915	C	5,470	5,470	0	0	N	40		1
10/09/15	10/09/15	ENERGY SAVERS OF CHEMUNG LTD	00189899	21501	A1	8,960	8,960	8,960	8,960	N	52		1
08/26/15	09/01/15	ENVIRONMENTAL TECTONICS CORPORATION	00189300	38789	A1	876,480	876,480	85,200	85,200	N	21		2
11/03/15	11/10/15	EPLUS TECHNOLOGY INC	00190219	34401	B1	16,414	16,414	16,414	16,414	N	52		1
02/25/16	02/25/16	EPPENDORF NORTH AMERICA INC	00191498	12099	C	35,683	35,683	0	0	N	40		1
05/22/15	06/25/15	EPPENDORF NORTH AMERICA INC	00187895	12099	C	9,042	9,118	9,118	9,118	N	40		1
03/17/16	07/25/16	ERGONOM CORPORATION D/B/A ERG INTERNATIONAL	00191793	21807	B1	226,486	226,486	0	0	N	53		1
05/13/15	05/13/15	ERGONOM CORPORATION D/B/A ERG INTERNATIONAL	00187678	20915	B1	188,639	187,302	187,302	187,302	N	52		1
06/29/15	09/14/15	ERGONOM CORPORATION D/B/A ERG INTERNATIONAL	00188501	20915	B1	20,352	20,352	20,352	20,352	N	60		1
07/27/15	07/27/15	ERGONOM CORPORATION D/B/A ERG INTERNATIONAL	00188942	20915	B1	17,455	17,455	17,455	17,455	N	52		1
05/13/15	05/13/15	EVENSON BEST LLC	00187695	21501	A1	8,585	8,585	8,585	8,585	N	52		1
05/18/15	05/18/15	EVENSON BEST LLC	00187774	21501	C	17,656	17,656	17,656	17,656	N	52		1
04/08/15	04/08/15	EVERTZ MICROSYSTEMS LTD	00187070	38212	C	27,380	27,380	27,380	27,380	N	40		1
12/04/15	12/04/15	EVERTZ MICROSYSTEMS LTD	00190558	38212	C	11,295	11,295	11,295	11,295	N	40		1
04/27/15	04/27/15	EXEMPLIS CORPORATION	00187373	21501	B1	5,813	5,813	5,813	5,813	N	52		1
05/08/15	05/08/15	EXXACT CORPORATION	00187619	12099	B2	10,054	10,054	10,054	10,054	N	40		1
01/12/16	01/12/16	FAIRWAY FLOOR COVERING INC	00191041	20700	A1	8,252	8,252	0	0	N	52		1
02/16/16	02/16/16	FAIRWAY FLOOR COVERING INC	00191393	20700	A1	5,669	5,669	0	0	Y	52		1
11/12/15	11/12/15	FERGUSON ENTERPRISES INC	00190310	10132	A1	9,591	9,591	9,591	9,591	N	28		1
04/10/15	04/10/15	FISHER SCIENTIFIC COMPANY LLC	00187092	12099	C	12,799	12,799	12,799	12,799	N	40		1
04/30/15	04/30/15	FISHER SCIENTIFIC COMPANY LLC	00187500	12099	B1	55,678	55,678	55,678	55,678	N	40		1
05/12/15	05/12/15	FISHER SCIENTIFIC COMPANY LLC	00187657	12099	C	10,153	10,153	10,153	10,153	N	40		1
05/15/15	05/15/15	FISHER SCIENTIFIC COMPANY LLC	00187763	12099	C	18,736	18,736	18,736	18,736	N	40		1
05/15/15	05/15/15	FISHER SCIENTIFIC COMPANY LLC	00187765	12099	C	9,400	9,400	9,400	9,400	N	40		1
05/27/15	05/27/15	FISHER SCIENTIFIC COMPANY LLC	00187937	12099	B1	17,277	17,277	17,277	17,277	N	40		1
06/16/15	06/16/15	FISHER SCIENTIFIC COMPANY LLC	00188268	12099	C	6,968	6,968	6,968	6,968	N	40		1
07/31/15	12/11/15	FISHER SCIENTIFIC COMPANY LLC	00188996	12099	B1	6,597	6,246	6,246	6,246	N	40		1
09/01/15	09/01/15	FISHER SCIENTIFIC COMPANY LLC	00189377	12099	B1	5,124	5,124	0	0	N	40		1
09/02/15	09/02/15	FISHER SCIENTIFIC COMPANY LLC	00189415	12099	B1	5,969	6,222	3,548	3,548	N	40		1
10/19/15	10/19/15	FISHER SCIENTIFIC COMPANY LLC	00190002	12099	B1	6,502	6,502	2,790	2,790	N	40		1
10/20/15	10/20/15	FISHER SCIENTIFIC COMPANY LLC	00190019	12099	B1	9,994	9,994	9,994	9,994	N	40		1
10/20/15	10/20/15	FISHER SCIENTIFIC COMPANY LLC	00190032	12007	C	6,676	6,676	6,676	6,676	N	40		1
10/29/15	02/19/16	FISHER SCIENTIFIC COMPANY LLC	00190184	12099	C	27,945	28,144	28,144	28,144	N	40		1

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12/03/15	12/03/15	FISHER SCIENTIFIC COMPANY LLC	00190536	12099	B1	47,307	47,307	47,307	47,307	N	40		1
05/13/15	05/13/15	FLOORING ENVIRONMENT INC.	00187677	92070	B1	79,619	79,619	79,619	79,619	N	52	X	1
03/21/16	03/21/16	FM OFFICE EXPRESS INC DBA FM OFFICE	00191845	26500	A1	9,124	9,124	0	0	N	52	X	1
02/29/16	02/29/16	FOLIOT FURNITURE INC.	00191521	21501	C	33,633	33,633	0	0	N	52		1
03/04/16	07/18/16	FOLIOT FURNITURE INC.	00191587	20915	B1	125,541	125,541	0	0	N	53		1
03/15/16	07/11/16	FOLIOT FURNITURE INC.	00191752	20915	A1	8,959	8,959	0	0	N	53		1
06/05/15	06/05/15	FOLIOT FURNITURE INC.	00188075	21501	B1	5,900	5,900	5,900	5,900	N	52		1
07/17/15	07/17/15	FOLIOT FURNITURE INC.	00188814	21501	B1	47,715	47,715	47,715	47,715	N	52		1
08/18/15	08/18/15	FOLIOT FURNITURE INC.	00189152	21501	B1	12,943	12,943	12,943	12,943	N	52		1
06/02/15	06/02/15	FRONTRUNNER NETWORK SYSTEMS CORP	00188022	60003	B1	17,667	17,667	17,667	17,667	N	52		1
07/31/15	07/31/15	GAMRY INSTRUMENTS INC.	00188995	12099	C	14,244	14,244	14,244	14,244	N	40		1
01/06/16	01/06/16	GARY M DOMENICO DBA GENERAL CODE LLC	00190952	85110	E	199,100	199,100	0	0	N	28		1
01/11/16	01/11/16	GAZEPOINT RESEARCH INC	00191019	12099	C	5,232	5,232	5,232	5,232	N	40		1
07/06/15	07/06/15	GE HEALTHCARE BIO-SCIENCES CORP	00188636	12099	C	41,410	41,410	41,410	41,410	N	40		1
01/19/16	01/19/16	GENERAL ELECTRIC COMPANY	00191123	25200	A1	7,240	7,240	7,240	7,240	N	52		1
07/13/15	07/13/15	GENERAL ELECTRIC COMPANY	00188732	25200	A1	14,480	14,480	14,480	14,480	N	52		2
07/13/15	07/13/15	GENERAL ELECTRIC COMPANY	00188733	24103	A1	5,920	5,920	5,920	5,920	N	52		1
06/15/15	06/15/15	GENERAL PHOTONICS CORPORATION	00188237	20915	C	8,024	8,024	8,024	8,024	N	40		1
12/22/15	03/30/16	GLB INTERIORS LLC DBA INNERSPACE OFFICE	00190802	20915	B1	167,747	167,747	0	0	N	41	X	1
03/15/16	03/15/16	GRAYBAR ELECTRIC CO., INC.	00191766	34401	B1	7,467	7,551	0	0	N	52		1
05/13/15	05/13/15	GROUPE LACASSE LLC	00187679	20915	B1	7,531	7,507	7,507	7,507	N	52		1
05/14/15	05/14/15	GROUPE LACASSE LLC	00187713	20915	B1	132,799	132,027	132,027	132,027	N	52		1
05/11/15	05/11/15	HARDEN FURNITURE INC.	00187645	21501	B1	15,279	15,279	15,279	15,279	N	52		1
07/20/15	07/20/15	HASKRIS COMPANY	00188846	12099	C	68,286	67,644	67,644	67,644	N	40		1
08/11/15	08/11/15	HASKRIS COMPANY	00189112	12099	C	18,695	18,695	18,695	18,695	N	40		1
02/11/16	03/19/16	HAVE INC.	00191371	38212	C	18,368	18,368	0	0	N	40	X	1
06/08/15	06/08/15	HAZARD EVALUATION LABORATORIES INC	00188091	20915	C	24,338	24,338	24,338	24,338	N	40		1
01/20/16	01/20/16	HEALTHCARE INTERNATIONAL INC	00191132	10100	C	6,309	6,309	6,309	6,309	N	40		1
09/08/15	09/08/15	HENRICKSEN & COMPANY INC	00189453	20915	C	8,728	8,728	8,728	8,728	N	40		1
05/01/15	07/01/15	HENRY SCHEIN INC.	00187511	10800	A1	97,701	97,701	97,701	97,701	N	28		3
05/05/15	09/15/15	HENRY SCHEIN INC.	00187550	10132	A1	18,887	18,887	18,887	18,887	N	28		3
05/06/15	09/15/15	HENRY SCHEIN INC.	00187580	10132	A1	8,459	12,304	12,304	12,304	N	28		1
05/06/15	09/15/15	HENRY SCHEIN INC.	00187583	10132	A1	3,003	48,048	48,048	48,048	N	28		1
05/06/15	09/15/15	HENRY SCHEIN INC.	00187589	10132	A1	8,432	12,264	12,264	12,264	N	28		1
11/10/15	11/10/15	HENRY SCHEIN INC.	00190294	12099	A1	5,088	5,088	5,088	5,088	N	28		1
12/02/15	12/02/15	HENRY SCHEIN INC.	00190516	10800	E	41,965	25,975	25,975	25,975	N	28		1
03/16/16	03/16/16	HERITAGE CONTRACT FLOORING LLC	00191779	20700	B1	5,425	5,425	0	0	N	52		1
10/07/15	10/07/15	HERITAGE CONTRACT FLOORING LLC	00189851	20700	A1	9,925	9,925	9,925	9,925	N	52		1

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04/21/15	06/15/15	HERMAN MILLER INC DBA HERMAN MILLER FO	00187241	20915	B1	230,264	229,512	229,512	229,512	N	40		1
04/27/15	04/27/15	HERMAN MILLER INC DBA HERMAN MILLER FO	00187357	20915	B1	8,682	8,682	8,682	8,682	N	28		1
05/05/15	05/05/15	HERMAN MILLER INC DBA HERMAN MILLER FO	00187563	20915	B1	89,823	89,823	89,823	89,823	N	40		1
06/08/15	06/08/15	HERMAN MILLER INC DBA HERMAN MILLER FO	00188090	20915	B1	33,276	33,276	33,276	33,276	N	28		1
06/29/15	06/29/15	HERMAN MILLER INC DBA HERMAN MILLER FO	00188509	20915	B1	19,765	20,315	20,315	20,315	N	28		1
08/28/15	08/28/15	HERMAN MILLER INC DBA HERMAN MILLER FO	00189327	20915	C	9,861	6,312	6,312	6,312	N	40		1
12/09/15	12/09/15	HERMAN MILLER INC DBA HERMAN MILLER FO	00190635	20915	B1	5,798	5,798	5,798	5,798	N	28		1
05/26/15	05/26/15	HOLSAG CANADA	00187918	21501	C	12,153	12,153	12,153	12,153	N	52		1
06/03/15	06/03/15	HOLSAG CANADA	00188048	21501	A1	5,279	5,279	5,279	5,279	N	52		1
01/12/16	01/12/16	HORIBA INSTRUMENTS INCORPORATED	00191047	12099	C	20,776	20,776	0	0	N	40		1
11/16/15	11/16/15	HOWARD D WHITE DBA FREEZE-EM	00190316	12099	C	25,000	25,000	0	0	N	40		1
06/25/15	04/13/16	HUDSON VALLEY DATANET LLC DBA LIGHTOW	00188447	77001	B1	19,600	19,600	0	0	N	40		1
07/05/15	09/11/15	HUMANSKALE CORPORATION	00188602	20915	B1	30,759	29,680	29,680	29,680	N	28		1
03/30/16	03/30/16	HVAC SERVICES LLC	00192070	20915	C	38,700	38,700	0	0	N	40		1
05/11/15	05/11/15	HYDRO SERVICE AND SUPPLIES INC.	00187639	12099	C	21,112	21,112	21,112	21,112	N	40		1
05/19/15	05/19/15	IDESCO CORP.	00187822	77201	B1	168,676	168,676	119,034	119,034	N	40		1
10/19/15	10/19/15	IMMIXTECHNOLOGY, INC.	00190007	77001	A1	12,392	12,392	0	0	N	40		2
01/06/16	04/25/16	INFINITION INC	00190956	12010	C	36,300	36,300	0	0	N	40		1
12/18/15	03/02/16	INNOVATIVE TECHNOLOGY INC DBA INERT	00190787	12010	C	23,400	23,575	23,575	23,575	N	40		1
12/18/15	12/18/15	INTEGRA INC.	00190784	20915	B1	26,924	26,924	26,924	26,924	N	28		1
02/25/16	02/25/16	INTERNATIONAL BUSINESS MACHINES	00191484	75306	B1	29,731	29,731	0	0	Y	01		1
10/19/15	10/19/15	J A C GLAZING INC	00190012	21501	A1	9,775	9,775	9,775	9,775	N	52		1
07/27/15	07/27/15	JAMESTOWN ADVANCED PRODUCTS CORPOR	00188926	21501	B1	6,708	6,708	6,708	6,708	Y	52	X	1
06/16/15	08/25/15	JASCO INC.	00188274	12099	C	11,534	11,547	11,547	11,547	N	40		1
05/13/15	05/13/15	JASPER SEATING COMPANY INC DBA	00187680	20915	B4	5,034	5,034	5,034	5,034	N	52		1
02/29/16	02/29/16	JES LIGHTING INC.	00191517	35706	A1	13,335	13,335	0	0	N	52		2
07/13/15	07/13/15	JES LIGHTING INC.	00188735	35706	A1	21,007	21,007	21,007	21,007	N	52		3
01/19/16	01/19/16	JOHN D. MARCELLA & SON APPLIANCES INC	00191125	25200	A1	8,715	8,715	8,715	8,715	N	52		1
07/13/15	07/13/15	JOHN D. MARCELLA & SON APPLIANCES INC	00188730	25200	A1	11,820	11,820	11,820	11,820	N	52		2
07/13/15	07/13/15	JOHN D. MARCELLA & SON APPLIANCES INC	00188731	25200	A1	6,204	6,204	6,204	6,204	N	52		1
12/29/15	12/29/15	JOHN H. BLACK COMPANY INC.	00190859	31800	C	16,681	16,681	0	0	Y	52		1
01/28/16	01/28/16	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00191233	21501	B1	273,861	273,861	0	0	N	52		1
03/16/16	03/16/16	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00191771	21501	B1	9,565	9,565	0	0	N	52		1
05/06/15	06/15/15	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00187567	21501	B1	99,040	100,735	100,735	100,735	N	52		1
05/22/15	05/22/15	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00187899	21501	B1	72,784	72,784	72,784	72,784	N	52		1
06/10/15	06/10/15	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00188160	21501	B1	80,191	80,191	80,191	80,191	N	52		1
06/17/15	06/17/15	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00188282	21501	B1	52,761	52,761	52,761	52,761	N	52		1
06/17/15	06/17/15	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00188287	21501	B1	25,146	25,146	25,146	25,146	N	52		1

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07/07/15	07/07/15	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00188637	21501	C	11,018	11,018	11,018	11,018	N	52		1
08/10/15	08/10/15	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00189100	21501	B1	52,145	52,145	52,145	52,145	N	52		1
12/28/15	12/28/15	JOHN SAVOY & SON INC. DBA SAVOY CONTRA	00190852	21501	B1	283,913	283,913	0	0	N	52		1
12/03/15	12/03/15	JRT ASSOCIATES	00190550	12099	C	25,990	25,990	25,990	25,990	N	40		1
01/11/16	03/30/16	JSJ FURNITURE CORPORATION DBA IZZY PLUS	00191008	20915	B1	7,874	7,874	0	0	N	41		1
05/13/15	05/13/15	JSJ FURNITURE CORPORATION DBA IZZY PLUS	00187676	21501	B1	8,102	8,102	8,102	8,102	N	52		1
07/24/15	07/24/15	KNIGHT MARKETING CORP OF NY	00188912	38789	B1	20,231	20,231	20,231	20,231	Y	28		1
10/02/15	10/30/15	KNIGHT MARKETING CORP OF NY	00189820	38789	B1	8,008	8,008	8,008	8,008	Y	28		1
10/02/15	10/30/15	KNIGHT MARKETING CORP OF NY	00189821	38789	B1	5,407	5,407	5,407	5,407	Y	28		1
10/05/15	10/30/15	KNIGHT MARKETING CORP OF NY	00189826	38789	B1	8,569	8,569	8,569	8,569	Y	28		1
10/29/15	10/29/15	KNIGHT MARKETING CORP OF NY	00190166	10100	A1	7,012	7,012	7,012	7,012	N	28		1
10/30/15	11/02/15	KNIGHT MARKETING CORP OF NY	00190194	10132	A1	7,697	7,697	7,697	7,697	N	28		1
10/30/15	11/02/15	KNIGHT MARKETING CORP OF NY	00190196	10132	A1	7,026	7,026	7,026	7,026	N	28		1
11/02/15	11/02/15	KNIGHT MARKETING CORP OF NY	00190200	10132	A1	5,006	5,006	5,006	5,006	N	28		1
02/01/16	04/15/16	KNOLL, INC.	00191253	20915	B1	98,531	98,531	0	0	N	41		1
04/14/15	06/15/15	KNOLL, INC.	00187123	20915	B1	40,054	40,052	0	0	N	40		1
05/11/15	05/11/15	KNOLL, INC.	00187636	21501	B1	18,979	18,979	18,979	18,979	N	52		1
06/18/15	06/18/15	KNOLL, INC.	00188321	21501	B1	12,403	12,403	10,880	10,880	N	52		1
10/29/15	10/29/15	KNOLL, INC.	00190185	21501	B1	9,972	9,966	0	0	N	52		1
07/21/15	07/21/15	KOVALSKY CARR ELECTRIC SUPPLY CO INC	00188864	93040	A1	6,300	6,300	6,300	6,300	N	52		1
02/19/16	02/19/16	KRACKELER SCIENTIFIC, INC.	00191444	12099	C	21,398	21,398	0	0	N	40		1
05/04/15	09/15/15	KRACKELER SCIENTIFIC, INC.	00187548	10132	A1	11,672	11,672	11,672	11,672	N	28		2
12/02/15	12/02/15	KRACKELER SCIENTIFIC, INC.	00190522	12099	B1	7,492	7,492	7,492	7,492	N	40		1
10/07/15	10/07/15	KRISTT KELLY OFFICE SYSTEMS CORP DBA	00189843	20915	A1	8,901	8,901	8,901	8,901	N	60		1
03/09/16	03/09/16	KRUEGER INTERNATIONAL INC DBA KI	00191659	21501	B1	29,670	29,670	0	0	N	52		1
04/03/15	04/07/15	KRUEGER INTERNATIONAL INC DBA KI	00187004	20915	B1	28,718	28,718	28,718	28,718	N	52		1
04/27/15	05/21/15	KRUEGER INTERNATIONAL INC DBA KI	00187377	20915	B1	16,220	18,106	18,106	18,106	N	52		1
05/20/15	05/20/15	KRUEGER INTERNATIONAL INC DBA KI	00187844	21501	B1	54,654	61,213	61,213	61,213	N	52		1
06/29/15	09/14/15	KRUEGER INTERNATIONAL INC DBA KI	00188502	20915	B1	14,333	14,333	14,333	14,333	N	60		1
07/14/15	07/14/15	KRUEGER INTERNATIONAL INC DBA KI	00188741	21501	B1	29,160	29,160	29,160	29,160	N	52		1
01/19/16	04/15/16	LANDSCAPE FORMS INC	00191119	20915	B1	217,119	217,119	0	0	N	41		1
03/24/16	03/24/16	LANDSCAPE FORMS INC	00191897	21501	B1	10,624	10,624	0	0	N	52		1
07/13/15	07/13/15	LANDSCAPE FORMS INC	00188734	20915	B1	20,452	20,452	20,452	20,452	N	52		1
07/16/15	07/16/15	LANDSCAPE FORMS INC	00188810	21501	B1	10,386	10,386	10,386	10,386	N	52		1
05/11/15	05/11/15	LAURELL TECHNOLOGIES CORP.	00187632	12010	C	5,632	5,632	5,632	5,632	N	40		1
01/12/16	01/12/16	LEICA MICROSYSTEMS, INC.	00191022	12010	C	19,931	19,931	19,931	19,931	N	40		1
03/28/16	03/28/16	LEICA MICROSYSTEMS, INC.	00191944	12010	C	54,129	54,129	0	0	N	40		1
06/10/15	06/10/15	LEICA MICROSYSTEMS, INC.	00188164	12099	C	22,696	22,696	22,696	22,696	N	40		1

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04/30/15	04/30/15	LELAND INTERNATIONAL INC	00187505	20915	B1	25,842	25,842	25,842	25,842	N	40		1
04/16/15	04/16/15	LENA FOUNDATION DBA LENA RESEARCH	00187147	12010	C	10,734	10,734	10,734	10,734	N	40		1
06/04/15	06/04/15	LI-COR, INC.	00188067	12099	C	53,756	53,756	53,756	53,756	N	40		1
05/07/15	05/07/15	LIFE TECHNOLOGIES CORPORATION	00187608	12099	B1	20,029	20,029	20,029	20,029	N	40		1
05/21/15	07/22/15	LIFE TECHNOLOGIES CORPORATION	00187885	12099	C	95,531	95,548	95,548	95,548	N	40		1
05/05/15	09/15/15	LOMBART INSTRUMENT CO.	00187554	10132	A1	9,990	9,990	9,990	9,990	N	28		1
05/15/15	09/15/15	LOMBART INSTRUMENT CO.	00187757	10132	A1	9,217	9,217	9,217	9,217	N	28		1
10/30/15	10/30/15	LOMBART INSTRUMENT CO.	00190189	10132	A1	10,490	10,490	10,490	10,490	N	28		2
09/02/15	09/02/15	LPKF DISTRIBUTION INC DBA LPKF LASER &	00189416	12099	C	29,450	29,450	29,450	29,450	N	40		1
07/01/15	07/01/15	M & W TELECOMMUNICATIONS	00188555	38789	A1	8,000	8,000	8,000	8,000	Y	40		1
05/06/15	05/06/15	MANNINGTON MILLS INC DBA MANNINGTON	00187578	21501	B1	5,102	5,819	5,819	5,819	N	52		1
03/16/16	03/16/16	MARSH USA INC.	00191776	81050	A1	2,927	35,045	0	0	N	21		1
10/16/15	10/16/15	MATPHIL TECHNOLOGIES INC DBA ACCUTEK	00189993	12099	C	5,994	5,994	5,994	5,994	N	40		1
03/17/16	03/17/16	MAYLINE COMPANY LLC	00191786	21501	B1	7,680	7,680	0	0	N	52		1
03/09/16	03/09/16	MED ASSOCIATES INC	00191651	12099	C	12,471	12,471	0	0	N	40		1
05/15/15	05/15/15	MEDIA CONNECT LLC	00187740	20915	C	5,820	12,820	12,820	12,820	N	52		1
03/25/16	01/10/17	MIAMI WALL SYSTEMS, INC.	00191907	38789	A1	838,281	838,281	0	0	N	28		1
12/14/15	12/14/15	MICRO-OPTICS PRECISION INSTRUMENTS INC.	00190666	12010	C	13,860	13,860	0	0	N	40		1
01/20/16	01/20/16	MICROWARE RADIO COMMUNICATIONS DBA	00191142	38212	C	7,584	7,584	7,584	7,584	N	40		1
09/08/15	11/18/15	MICROWAY INC.	00189446	22992	C	15,272	15,497	15,497	15,497	N	40		1
12/28/15	02/01/16	MICROWAY INC.	00190858	12010	C	44,463	44,463	44,463	44,463	N	40		1
05/19/15	05/19/15	MID-CITY OFFICE EQUIPMENT, INC.	00187824	21501	B1	33,687	33,687	33,687	33,687	N	52	X	1
05/20/15	05/20/15	MID-CITY OFFICE EQUIPMENT, INC.	00187837	21501	B1	5,392	5,392	5,392	5,392	N	52	X	1
05/20/15	05/20/15	MID-CITY OFFICE EQUIPMENT, INC.	00187838	21501	A1	6,422	6,422	6,422	6,422	N	52	X	1
05/21/15	05/21/15	MID-CITY OFFICE EQUIPMENT, INC.	00187872	21501	B1	51,630	53,054	53,054	53,054	N	52	X	1
04/02/15	04/02/15	MIGALI INDUSTRIES INC	00186964	24200	E	16,095	16,095	16,095	16,095	N	28		1
08/27/15	08/27/15	MIGALI INDUSTRIES INC	00189310	24208	A1	5,614	5,614	5,614	5,614	N	28		1
06/29/15	09/14/15	MJ INDUSTRIES INC.	00188500	20915	B1	48,107	48,107	48,107	48,107	N	60		1
07/14/15	07/14/15	MOBILITY ELEVATOR & LIFT CO.	00188743	95050	A1	23,500	23,950	23,950	23,950	N	40		3
09/08/15	09/08/15	MOBILITY ELEVATOR & LIFT CO.	00189448	95050	A1	22,500	22,500	0	0	N	40		3
07/06/15	07/06/15	MODERN CUSTODIAL SERVICES INC.	00188631	71008	A1	9,883	9,883	9,883	9,883	N	52	X	1
02/02/16	02/02/16	MODUFORM LIBRARY BUREAU INC	00191275	20915	B1	5,498	5,498	0	0	N	28		1
05/05/15	05/05/15	MODUFORM LIBRARY BUREAU INC	00187561	21501	B1	69,444	69,444	69,444	69,444	N	52		1
01/08/16	01/08/16	MOLECULAR DEVICES LLC	00190997	12099	C	28,077	28,077	0	0	N	40		1
05/18/15	07/07/15	MOLECULAR DEVICES LLC	00187800	12099	C	6,999	6,487	6,487	6,487	N	40		1
08/24/15	10/21/15	MOLECULAR DEVICES LLC	00189271	12099	C	31,541	31,891	31,891	31,891	N	40		1
08/20/15	08/20/15	MORETRENCH AMERICAN CORPORATION	00189209	38789	A1	10,500	10,500	0	0	N	28		1
10/26/15	10/26/15	MORRELL INSTRUMENT CO., INC	00190116	77001	C	13,190	13,190	0	0	N	40		1

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10/26/15	10/26/15	MORRELL INSTRUMENT CO., INC	00190118	77001	C	36,763	36,763	0	0	N	40		1
05/06/15	05/06/15	MULVEY CONTRACTING, INC.	00187577	85000	E	11,170	11,170	11,170	11,170	N	40	X	1
11/25/15	11/25/15	NATIONAL BUSINESS FURNITURE LLC	00190460	21501	A1	5,047	5,047	5,047	5,047	N	52		1
02/18/16	02/18/16	NET AT WORK INC.	00191433	22990	A1	26,172	26,172	0	0	N	40		3
02/02/16	02/02/16	NEW ENGLAND WOODCRAFT INC.	00191274	31804	B1	20,700	20,700	0	0	N	52		1
10/16/15	10/16/15	NEW ENGLAND WOODCRAFT INC.	00190000	21501	B1	12,529	12,529	12,529	12,529	N	52		1
03/18/16	03/18/16	NEW YORK STATE INDUSTRIES FOR THE	00191814	31607	B4	14,122	14,122	0	0	Y	52		1
03/25/16	03/25/16	NEW YORK STATE INDUSTRIES FOR THE	00191906	22200	B4	15,906	15,906	0	0	Y	52		1
05/13/15	05/13/15	NEW YORK STATE INDUSTRIES FOR THE	00187682	22200	B4	35,503	30,121	30,121	30,121	Y	52		1
05/13/15	05/13/15	NEW YORK STATE INDUSTRIES FOR THE	00187684	22200	B4	34,940	26,311	26,311	26,311	Y	52		1
05/14/15	05/14/15	NEW YORK STATE INDUSTRIES FOR THE	00187722	31607	B4	113,277	106,751	106,751	106,751	Y	52		1
06/01/15	06/01/15	NEW YORK STATE INDUSTRIES FOR THE	00188000	31607	B4	43,737	43,737	43,737	43,737	Y	52		1
06/03/15	06/03/15	NICKERSON CORPORATION	00188044	20915	B1	4,320	7,733	7,733	7,733	N	28	X	1
06/16/15	06/16/15	NICKERSON CORPORATION	00188271	20915	E1	106,144	106,144	106,144	106,144	N	40	X	3
02/23/16	02/23/16	NOLDUS INFORMATION TECHNOLOGY INC.	00191465	12099	C	16,424	16,424	0	0	N	40		1
03/16/16	03/16/16	NORIX GROUP, INC.	00191772	20915	B1	43,688	43,688	0	0	N	28		1
04/21/15	04/21/15	NUCRAFT FURNITURE COMPANY	00187239	20915	B1	6,318	6,318	6,318	6,318	N	40		1
01/11/16	03/30/16	OFS BRANDS INC.	00191021	20915	B1	32,736	32,736	0	0	N	41		1
04/21/15	06/15/15	OFS BRANDS INC.	00187242	20915	B1	48,324	47,721	47,721	47,721	N	40		1
05/07/15	05/07/15	OFS BRANDS INC.	00187600	21501	B1	6,184	6,184	6,184	6,184	N	52		1
05/22/15	05/22/15	OFS BRANDS INC.	00187906	21501	B1	5,724	5,675	5,675	5,675	N	52		1
03/04/16	03/04/16	OLYMPUS AMERICA INC.	00191578	12099	C	45,858	45,858	0	0	N	40		1
05/28/15	05/28/15	ORVILLE'S APPLIANCES	00187940	25200	A1	29,244	29,244	29,244	29,244	N	52		3
11/20/15	11/20/15	OTIS ELEVATOR COMPANY	00190440	71004	A1	9,702	9,702	9,702	9,702	Y	52		1
08/12/15	08/12/15	OXFORD INSTRUMENTS AMERICA, INC.	00189127	12099	C	75,000	75,000	0	0	N	40		1
06/29/15	09/14/15	PALMIERI FURNITURE LIMITED	00188499	20915	B1	11,750	11,750	11,750	11,750	N	60		1
07/24/15	07/24/15	PAMPER DECORATING SERVICE	00188922	21200	A1	8,302	8,302	8,302	8,302	Y	52		1
08/06/15	08/06/15	PARK PLACE INSTALLATIONS INC.	00189087	38200	A1	9,400	9,400	9,400	9,400	Y	52		1
04/27/15	04/27/15	PATTERSON DENTAL SUPPLY INC	00187370	20915	A1	8,799	8,799	8,799	8,799	N	28		1
11/10/15	11/10/15	PATTERSON DENTAL SUPPLY INC	00190293	12099	A1	6,579	6,579	6,579	6,579	N	28		1
11/24/15	11/24/15	PATTERSON DENTAL SUPPLY INC	00190452	10132	A1	12,738	12,738	10,892	10,892	N	28		2
04/01/15	06/01/15	PATTERSON MEDICAL SUPPLY INC	00186959	10100	A1	20,314	20,314	20,314	20,314	N	28		3
08/31/15	08/31/15	PATTERSON MEDICAL SUPPLY INC	00189336	10100	A1	6,508	6,508	6,508	6,508	N	28		1
01/13/16	01/13/16	PFEIFFER VACUUM INC	00191061	12099	C	10,269	10,269	0	0	N	40		1
11/18/15	11/18/15	PHOENIX NMR	00190374	12099	C	96,500	106,156	10,615	10,615	N	40		1
04/16/15	04/16/15	PHYSICAL ELECTRONICS USA INC	00187138	12099	C	1,998,000	1,998,000	1,998,000	1,998,000	N	40		1
06/15/15	06/15/15	PINE RESEARCH INSTRUMENTATION INC.	00188244	12099	C	17,865	17,865	17,865	17,865	N	40		1
07/21/15	07/21/15	PLURA BROADCAST INC.	00188849	38212	C	6,041	6,041	6,041	6,041	N	40		1

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05/01/15	05/01/15	POWER IT USA INC	00187510	12099	C	5,495	5,495	5,495	5,495	N	40		1
04/20/15	05/01/15	PRECISION PRODUCTS GROUP INC DBA EUCLI	00187223	10100	E	15,358	16,090	16,090	16,090	N	28		1
01/21/16	03/30/16	PRESENTATION PRODUCTS INC.	00191156	38200	B2	74,240	74,240	0	0	N	41		1
01/21/16	03/30/16	PRESENTATION PRODUCTS INC.	00191157	38200	A1	9,881	9,881	0	0	N	41		1
01/28/16	03/30/16	PRESENTATION PRODUCTS INC.	00191231	38200	A1	9,960	9,960	0	0	N	41		1
02/11/16	02/11/16	PRESENTATION PRODUCTS INC.	00191366	38200	A1	49,810	49,810	0	0	N	41		3
03/15/16	04/27/16	PRESENTATION PRODUCTS INC.	00191756	38200	A1	15,438	15,438	0	0	N	41		3
01/04/16	01/04/16	PRESIDIO NETWORKED SOLUTIONS INC DBA	00190920	85110	B1	987,057	987,057	0	0	N	40		1
05/15/15	05/15/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00187764	38789	B1	8,625	8,625	8,625	8,625	Y	40		1
05/15/15	05/15/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00187766	38789	B1	34,372	34,372	34,372	34,372	Y	40		1
05/21/15	05/21/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00187890	38789	B1	26,981	26,981	26,981	26,981	Y	40		1
06/03/15	06/16/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00188043	38789	B1	37,426	37,426	37,426	37,426	N	40		1
06/18/15	06/18/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00188322	38789	B1	87,374	87,374	87,374	87,374	Y	40		1
06/18/15	06/22/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00188350	38789	B1	95,162	95,162	95,162	95,162	Y	40		1
07/10/15	07/10/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00188725	85110	B1	18,901	18,901	15,024	15,024	N	40		1
07/10/15	07/10/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00188726	85110	B1	27,681	27,681	27,681	27,681	N	40		1
10/23/15	10/23/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00190105	85110	A1	12,711	12,711	0	0	N	40		2
10/23/15	10/23/15	PRESIDIO NETWORKED SOLUTIONS INC DBA	00190108	85110	B1	13,896	13,896	13,896	13,896	N	40		1
01/28/16	01/28/16	PRIDE CARPET INC DBA PRIDE FLOORING	00191236	92070	A1	6,223	6,223	6,223	6,223	N	52	X	1
05/13/15	05/13/15	PRIDE CARPET INC DBA PRIDE FLOORING	00187681	92070	C	47,494	47,494	24,347	24,347	N	52	X	1
05/28/15	05/28/15	PRIDE CARPET INC DBA PRIDE FLOORING	00187953	92070	A1	6,534	6,534	6,534	6,534	N	52	X	1
08/13/15	08/13/15	PRIDE CARPET INC DBA PRIDE FLOORING	00189129	92070	A1	6,223	6,223	6,223	6,223	N	52	X	1
01/11/16	01/11/16	PRIZMATIX LTD	00191007	85110	C	5,625	5,625	0	0	N	40		1
01/25/16	01/25/16	PROMEGA CORPORATION	00191186	12099	C	5,827	5,827	0	0	N	40		1
03/15/16	03/15/16	PSYCHOLOGY SOFTWARE DISTRIBUTION LTD	00191765	85110	C	6,778	6,778	0	0	N	40		1
01/26/16	03/08/16	PUMPKIN INCORPORATED	00191209	12099	C	8,660	8,060	0	0	N	40		1
11/06/15	11/06/15	QUANTEL-USA INC	00190264	12099	C	38,500	38,500	38,500	38,500	N	40		1
02/16/16	02/16/16	QUIETSTAR INDUSTRIES LLC	00191398	85000	C	6,165	6,165	0	0	N	40		1
03/24/16	03/24/16	RED HAWK FIRE & SECURITY (NY) LLC	00191892	85000	B1	44,194	44,194	0	0	Y	28		1
12/15/15	12/15/15	RED SALES CORP DBA RED DIGITAL CINEMA	00190675	38800	C	6,613	6,613	6,613	6,613	N	40		1
03/09/16	03/09/16	REDHAWK EQUIPMENT LLC	00191661	24400	A1	9,986	9,986	0	0	N	52		1
06/22/15	06/22/15	REDHAWK EQUIPMENT LLC	00188373	24400	A1	6,576	6,776	6,776	6,776	N	52		1
01/13/16	01/13/16	RIBER INC DBA RIBER USA	00191057	12099	C	11,700	11,700	0	0	N	40		1
01/05/16	01/05/16	RIGHT PRICE COMPANIES, INC.	00190944	31607	A1	6,600	6,600	6,600	6,600	Y	52	X	1
07/17/15	07/17/15	RIGHT PRICE COMPANIES, INC.	00188817	31608	E1	199,176	178,002	178,002	178,002	Y	52	X	1
09/03/15	09/03/15	ROBERT GREEN AUTO & TRUCK INC	00189422	40400	B1	49,664	49,664	0	0	N	40		1
05/14/15	05/14/15	ROBERTS OFFICE INTERIORS, INC	00187734	30200	A1	9,941	9,941	9,941	9,941	N	52	X	1
08/12/15	08/12/15	ROCHE DIAGNOSTICS CORPORATION	00189122	12099	C	18,300	18,300	18,300	18,300	N	40		1

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12/09/15	12/09/15	ROGERS SERVICE GROUP INC.	00190629	79004	A1	14,100	14,100	14,100	14,100	Y	52		2
05/04/15	05/04/15	RUG FAIR COMM. & INDUST., INC.	00187523	20600	C	62,338	62,338	62,338	62,338	N	52		1
05/04/15	05/04/15	RUG FAIR COMM. & INDUST., INC.	00187528	20600	C	123,674	123,674	123,674	123,674	N	52		1
05/14/15	05/14/15	RUG FAIR COMM. & INDUST., INC.	00187711	92070	C	12,235	12,235	12,235	12,235	N	52		1
01/28/16	01/28/16	SAM TELL AND SON INC	00191239	36100	A1	15,949	15,949	15,949	15,949	N	28		3
10/06/15	10/06/15	SAM TELL AND SON INC	00189830	36100	E1	149,693	151,593	146,577	146,577	N	28		1
05/13/15	05/13/15	SANTIEGO WORLDWIDE INC	00187673	20915	B1	26,880	42,346	42,346	42,346	N	52		1
01/13/16	01/13/16	SAUDER MANUFACTURING CO.	00191053	21501	B1	188,564	188,564	0	0	N	52		1
05/18/15	05/18/15	SAUDER MANUFACTURING CO.	00187782	21501	B1	33,433	33,433	33,433	33,433	N	52		1
05/19/15	05/19/15	SAUDER MANUFACTURING CO.	00187821	21501	B1	116,045	128,810	128,810	128,810	N	52		1
05/27/15	06/01/15	SAUDER MANUFACTURING CO.	00187928	21501	B1	44,883	44,883	44,883	44,883	N	52		1
07/10/15	07/10/15	SAUDER MANUFACTURING CO.	00188706	21501	B1	36,371	36,371	36,371	36,371	N	52		1
07/10/15	07/10/15	SAUDER MANUFACTURING CO.	00188717	21501	B1	54,132	54,132	54,132	54,132	N	52		1
07/20/15	07/20/15	SAUDER MANUFACTURING CO.	00188830	21501	B1	5,152	5,152	5,152	5,152	N	52		1
10/20/15	11/04/15	SCALE-TRONIX, INC.	00190035	10132	A1	26,012	26,012	26,012	26,012	N	28		3
12/02/15	12/02/15	SCHRODINGER INC DBA SCHODINGER LLC	00190519	76050	C	13,770	13,770	13,770	13,770	N	40		1
11/09/15	03/15/16	SCIGLOB INSTRUMENTS AND SCIENTIFIC	00190272	12099	C	36,248	36,248	0	0	N	40		1
09/28/15	10/07/15	SEARCH TECHNOLOGIES CORPORATION	00189714	38789	A1	27,840	27,840	27,164	27,164	N	40		3
09/21/15	09/21/15	SEMTECH SOLUTIONS INC.	00189629	20915	C	28,795	28,795	28,795	28,795	N	40		1
04/30/15	04/30/15	SENATOR INTERNATIONAL INC DBA ALLERMUI	00187471	21501	B1	8,885	8,885	8,885	8,885	N	52		1
07/06/15	07/06/15	SHAW INDUSTRIES INC.	00188625	22700	B1	6,460	6,460	6,460	6,460	N	52		1
05/15/15	05/15/15	SHI INTERNATIONAL CORP	00187759	38789	B1	21,282	21,282	21,282	21,282	N	40		1
06/09/15	06/09/15	SHI INTERNATIONAL CORP	00188148	38789	B1	39,276	39,276	0	0	N	40		1
06/12/15	06/12/15	SHI INTERNATIONAL CORP	00188209	38789	B1	5,993	5,993	5,993	5,993	N	40		1
07/06/15	09/22/15	SHIMADZU SCIENTIFIC INSTRUMENTS, INC.	00188593	12099	C	27,327	27,771	27,771	27,771	N	40		1
12/08/15	03/07/16	SIGMA SPACE CORPORATION	00190594	12099	C	120,775	120,775	0	0	N	40		1
06/29/15	09/14/15	SMITH SYSTEMS MFG. CO.	00188503	20915	B1	7,659	7,659	7,659	7,659	N	60		1
07/01/15	07/01/15	SOURCE INTERNATIONAL CORP.	00188581	20915	B1	20,550	20,550	20,550	20,550	Y	52		1
04/17/15	04/17/15	SOUTHERN TIER ELECTRIC SUPPLY INC	00187213	93040	C	9,960	9,960	9,960	9,960	N	52		1
10/22/15	10/22/15	SP INDUSTRIES INC DBA SP SCIENTIFIC	00190064	12099	C	14,771	14,771	0	0	N	40		1
03/29/16	03/29/16	SPECTRA SERVICES INC.	00191989	12099	A1	678,317	678,317	0	0	N	40		1
06/01/15	06/01/15	STAIB INSTRUMENTS INC	00187996	12099	C	44,325	44,325	44,325	44,325	N	40		1
05/01/15	05/01/15	STATEWIDE MACHINERY INC	00187513	36501	E	111,155	111,155	111,155	111,155	N	52		1
05/01/15	05/01/15	STATEWIDE MACHINERY INC	00187517	36501	E	39,756	39,756	39,756	39,756	N	52		1
03/28/16	06/15/16	STEELCASE INC.	00191931	20915	B1	28,460	28,460	0	0	N	40		1
04/10/15	04/10/15	STEELCASE INC.	00187094	20915	B1	12,835	11,014	11,014	11,014	N	40		1
04/22/15	04/22/15	STEELCASE INC.	00187282	20915	B1	82,026	81,396	81,396	81,396	N	40		1
05/06/15	05/06/15	STEELCASE INC.	00187566	20915	B1	30,661	30,661	0	0	N	40		1

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05/28/15	05/28/15	STEELCASE INC.	00187948	20915	B1	12,835	12,835	0	0	N	40		1
05/29/15	05/29/15	STEELCASE INC.	00187970	20915	B1	95,407	95,407	95,407	95,407	N	40		1
06/01/15	06/01/15	STEELCASE INC.	00187992	20915	B1	61,103	61,103	50,503	50,503	N	40		1
06/08/15	06/08/15	STEELCASE INC.	00188078	20915	B1	17,663	17,663	17,342	17,342	N	40		1
07/05/15	09/11/15	STEELCASE INC.	00188601	20915	B1	21,978	21,978	21,978	21,978	N	28		1
07/05/15	09/11/15	STEELCASE INC.	00188604	20915	B1	131,441	131,441	131,441	131,441	N	28		1
07/15/15	07/15/15	STEELCASE INC.	00188760	20915	C	6,727	6,727	0	0	N	40		1
02/03/16	02/03/16	SUMMIT SECURITY SERVICES INC	00191279	85000	B1	39,909	38,908	0	0	N	28		1
05/05/15	05/05/15	SUTTER INSTRUMENT COMPANY	00187565	12099	C	34,125	34,125	34,125	34,125	N	40		1
05/14/15	05/14/15	SYSTEMS MANAGEMENT PLANNING INC.	00187712	38789	A1	211,966	211,966	211,966	211,966	Y	40	X	1
06/02/15	06/02/15	TDK LAMBDA AMERICAS INC	00188018	12099	C	14,909	14,909	14,909	14,909	N	40		1
06/02/15	08/17/15	TECHNICAL MANUFACTURING CORP.	00188029	12099	C	6,085	9,115	9,115	9,115	N	40		1
10/29/15	10/29/15	TECMAG INC	00190175	77001	C	9,300	9,300	9,300	9,300	N	40		1
02/10/16	02/10/16	TED PELLA, INC.	00191344	12099	C	14,378	14,378	0	0	N	40		1
03/17/16	03/17/16	TEKNION LLC	00191790	21501	B1	11,092	11,092	0	0	N	52		1
05/14/15	05/14/15	TEKNION LLC	00187725	21501	B1	7,632	7,632	7,632	7,632	N	52		1
12/29/15	02/08/16	TEKRAN INSTRUMENTS CORPORATION	00190864	12010	C	25,180	25,180	0	0	N	40		1
12/02/15	12/02/15	TENNANT SALES AND SERVICE COMPANY	00190532	85050	E	42,814	42,814	42,814	42,814	N	28		1
05/14/15	05/14/15	THE ERGONOMIC GROUP, INC.	00187737	38789	B1	121,287	121,287	121,287	121,287	Y	40		1
04/27/15	04/27/15	THE GUNLOCKE COMPANY LLC	00187371	21501	B1	8,811	8,811	8,811	8,811	N	52		1
07/24/15	07/24/15	THE HON COMPANY LLC	00188914	20915	B1	21,462	21,462	21,462	21,462	N	40		1
10/30/15	10/30/15	THE HON COMPANY LLC	00190190	20915	B1	7,286	7,286	0	0	N	40		1
06/10/15	06/10/15	THERMO ELECTRON NORTH AMERICA LLC	00188168	12099	C	13,380	13,380	0	0	N	40		1
08/27/15	08/27/15	THERMO ELECTRON NORTH AMERICA LLC	00189306	12099	C	59,316	59,316	0	0	N	40		1
07/06/15	07/06/15	THOR PERFORMANCE PRODUCTS INC	00188616	92070	A1	10,401	12,401	12,401	12,401	N	52		2
11/13/15	11/13/15	THORPE ELECTRIC SUPPLY INC.	00190314	38789	A1	21,614	21,614	21,614	21,614	N	30		3
03/28/16	03/28/16	THOS MOSER CABINETMAKERS INC	00191978	20915	E	61,648	61,648	0	0	N	40		1
02/25/16	02/25/16	TIME WARNER CABLE OF NYC	00191489	85000	A1	9,526	9,526	0	0	N	28		1
06/29/15	09/14/15	TMC FURNITURE INC	00188492	20915	B1	68,881	68,881	68,881	68,881	N	60		1
10/09/15	10/09/15	TOMCO ELECTRONICS PTY LTD	00189906	20915	C	14,380	14,380	14,380	14,380	N	40		1
09/01/15	09/01/15	TOSHIBA AMERICA BUSINESS SOLUTIONS INC	00189379	38200	E	30,081	30,081	0	0	N	52		1
09/01/15	09/01/15	TOSHIBA AMERICA BUSINESS SOLUTIONS INC	00189383	38200	E	10,647	10,647	0	0	N	52		1
09/02/15	09/02/15	TOSHIBA AMERICA BUSINESS SOLUTIONS INC	00189396	38200	E	26,853	26,853	0	0	N	52		1
09/03/15	09/03/15	TOSHIBA AMERICA BUSINESS SOLUTIONS INC	00189423	38200	E	43,784	43,784	0	0	N	52		1
06/22/15	09/15/15	TOTAL BODY EXPERTS LLC	00188362	10132	A1	25,384	25,384	25,384	25,384	N	28		3
09/29/15	09/29/15	TOTAL BODY EXPERTS LLC	00189741	10132	A1	17,250	14,297	14,297	14,297	N	28		3
04/28/15	06/01/15	TOTAL VIDEO PRODUCTS INC	00187421	38205	A1	11,800	26,550	26,550	26,550	N	28		2
05/19/15	05/19/15	TOTAL VIDEO PRODUCTS INC	00187801	38205	A1	1,040	6,420	6,420	6,420	N	28		1

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03/21/16	03/21/16	TOWER PRODUCTS INC DBA MARKERTEK VIDE	00191846	38212	C	14,217	14,217	0	0	N	40		1
04/08/15	04/08/15	TOWER PRODUCTS INC DBA MARKERTEK VIDE	00187067	38212	C	6,875	6,875	6,875	6,875	N	40		1
04/24/15	04/24/15	TOWER PRODUCTS INC DBA MARKERTEK VIDE	00187347	38212	C	6,875	6,875	6,875	6,875	N	40		1
07/10/15	07/10/15	TOWER PRODUCTS INC DBA MARKERTEK VIDE	00188723	38212	C	6,207	6,207	6,207	6,207	N	40		1
12/23/15	12/23/15	TOWER PRODUCTS INC DBA MARKERTEK VIDE	00190836	38212	C	6,076	6,076	6,076	6,076	N	40		1
04/07/15	04/07/15	TRANSFORMATIONS BY WIELAND, INC.	00187057	21501	B1	10,586	10,586	10,586	10,586	N	52		1
04/28/15	04/28/15	TRANSFORMATIONS BY WIELAND, INC.	00187426	21501	B1	21,039	22,512	22,512	22,512	N	52		1
05/27/15	05/27/15	TRANSFORMATIONS BY WIELAND, INC.	00187925	21501	B1	72,629	80,244	80,244	80,244	N	52		1
05/27/15	05/27/15	TRANSFORMATIONS BY WIELAND, INC.	00187926	21501	B1	10,395	10,395	10,395	10,395	N	52		1
06/03/15	07/27/15	TRANSFORMATIONS BY WIELAND, INC.	00188047	21501	B1	17,150	17,150	17,150	17,150	N	52		1
06/03/15	06/03/15	TRANSFORMATIONS BY WIELAND, INC.	00188052	21501	B1	5,330	5,330	5,330	5,330	N	52		1
06/10/15	06/10/15	TRANSFORMATIONS BY WIELAND, INC.	00188158	21501	B1	154,555	147,555	147,555	147,555	N	52		1
06/12/15	06/12/15	TRANSFORMATIONS BY WIELAND, INC.	00188198	21501	B1	20,601	20,601	20,601	20,601	N	52		1
06/16/15	08/10/15	TRANSFORMATIONS BY WIELAND, INC.	00188272	21501	B1	33,853	33,853	33,853	33,853	N	52		1
07/22/15	07/22/15	TRANSFORMATIONS BY WIELAND, INC.	00188874	21501	B1	24,792	24,792	24,792	24,792	N	52		1
01/12/16	01/12/16	TRENDWAY CORP.	00191024	12010	B1	6,548	6,548	0	0	N	40		1
08/11/15	08/11/15	TRENDWAY CORP.	00189114	20915	B1	26,922	28,213	28,213	28,213	N	40		1
09/10/15	09/10/15	TRIPLE CITIES WINDUSTRIAL COMPANY	00189519	38789	A1	7,904	7,904	7,904	7,904	N	52		1
03/09/16	06/10/16	TROJAN ENERGY SYSTEMS, INC.	00191664	38789	A1	87,895	87,895	0	0	N	21		2
11/02/15	11/02/15	TWI-LAQ INDUSTRIES INC	00190202	10132	A1	7,671	7,671	7,671	7,671	N	28		1
11/02/15	11/02/15	TWI-LAQ INDUSTRIES INC	00190209	10132	A1	6,700	6,700	6,700	6,700	N	28		1
11/02/15	11/02/15	TWI-LAQ INDUSTRIES INC	00190211	10132	A1	19,025	19,025	19,025	19,025	N	28		3
11/02/15	11/02/15	TWI-LAQ INDUSTRIES INC	00190212	10132	A1	16,768	16,768	16,768	16,768	N	28		3
11/02/15	11/02/15	TWI-LAQ INDUSTRIES INC	00190213	10132	A1	30,520	30,520	30,520	30,520	N	28		3
11/02/15	11/02/15	TWI-LAQ INDUSTRIES INC	00190214	10132	A1	22,231	22,231	22,231	22,231	N	28		3
05/08/15	05/08/15	UNITED RENTALS (NORTH AMERICA) INC	00187617	20915	C	7,575	15,172	15,172	15,172	N	40		1
07/21/15	07/21/15	USHERWOOD BUSINESS EQUIPMENT INC D/B/	00188870	22424	A1	9,828	9,828	9,828	9,828	N	52		1
10/13/15	10/13/15	VANDIS INC.	00189922	77001	B1	221,712	221,712	221,712	221,712	N	40		1
10/14/15	10/14/15	VANDIS INC.	00189945	77001	B1	23,400	23,400	9,945	9,945	Y	40		1
11/25/15	11/25/15	VANDIS INC.	00190463	77015	B1	30,414	30,414	30,414	30,414	N	41		1
05/29/15	05/29/15	VERACITY GROUP INC	00187971	24208	E	41,910	41,977	41,977	41,977	N	28		1
02/12/16	02/12/16	VIDEO CORPORATION OF AMERICA	00191389	38212	C	8,028	8,028	0	0	N	40		1
03/01/16	03/01/16	VIDEO CORPORATION OF AMERICA	00191530	38212	C	14,400	14,400	0	0	N	40		1
03/03/16	07/15/16	VIRCO, INC.	00191563	21501	B1	25,197	25,197	0	0	N	53		1
06/02/15	06/02/15	VIRCO, INC.	00188021	21501	B1	13,442	13,442	13,442	13,442	N	52		1
08/18/15	08/18/15	VIRCO, INC.	00189149	21501	B1	10,081	10,081	10,081	10,081	N	52		1
06/08/15	06/19/15	VWR FUNDING INC DBA VWR INTERNATIONAL	00188080	12099	C	8,710	8,710	8,710	8,710	N	40		1
10/30/15	10/30/15	W.W. GRAINGER INC. D/B/A GRAINGER	00190193	10132	A1	13,519	13,519	13,519	13,519	N	28		2

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08/03/15	09/15/15	WALDNER'S BUSINESS ENVIRONMENTS, INC.	00189000	20915	B1	7,604	7,604	0	0	N	40	X	1
04/06/15	04/06/15	WEB HOUSE INC.	00187032	77530	B1	75,760	75,760	75,760	75,760	N	40		1
06/02/15	10/27/15	YALE PICTURE FRAME & MOULDING USA CORP	00188036	70000	A1	18,343	27,986	27,986	27,986	N	28		3
08/26/15	11/02/15	YALE PICTURE FRAME & MOULDING USA CORP	00189299	70000	A1	9,811	16,511	16,511	16,511	N	28		1
08/26/15	11/02/15	YALE PICTURE FRAME & MOULDING USA CORP	00189302	70000	A1	4,220	6,220	6,220	6,220	N	28		1
08/26/15	11/12/15	YALE PICTURE FRAME & MOULDING USA CORP	00189305	70000	A1	5,564	8,008	8,008	8,008	N	28		1
08/28/15	11/02/15	YALE PICTURE FRAME & MOULDING USA CORP	00189326	70000	A1	11,279	16,354	16,354	16,354	N	28		2
Total Awarded Purchase Orders (Construction)						22,790,034	23,173,824	14,030,454	14,030,454				

TOTAL 2015/2016 PROCUREMENT AWARDS

306,339,473	312,715,140	67,048,524	67,048,524
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LEGEND - AWARD CODES

A1	Competitive Bids, RFPs and Quotes:
	Primarily used for the purchase of furnishings, equipment and supplies. Short Form Construction contracts, and for goods or services for DASNY's operations. Awards are made to the best qualified or lowest responsible vendor.
A2	Competitive Proposals:
	Primarily used for architect, engineer, survey, data processing, printing and minority business enterprise consultants; testing, controlled inspections, construction management, management of parking facilities, audit and accounting, training, investment banking contracts, and for goods or services for the Authority's own accounts.
A2E	Competitive proposals received; requiring a post-placement of notice in the New York State Contract Reporter as approved by the President:
	Exemptions from placing a notice in the New York State Contract Reporter are granted in situations where it is determined that there is an emergency or critical need, or on the basis that it is not feasible to place a pre -notice in the New York State Contract Reporter.
B	Government Contracts- Primarily for Agency, Federal and NYS State Centralized Contracts.
	B1 -State Contract, B2 - GSA Contract, B3 - City Contract, B4 - Preferred Source, B5 - DASNY Contract, B6 - Board of Education
	B7 -County Contract, B8 -CUNY Contract, B9 -SUNY Contract
C	Selection Controlled by Client:
	Primarily where the selection process is controlled or designated by the Client and DASNY negotiates and holds the contract and/or purchase order. Occasionally used to identify assigned contracts.
D	Competition Waived by the Authority's Board:
	By resolution of the DASNY's Board, it is determined to be in the best interest of DASNY to waive the competitive procedure for selecting vendors.
E	Exemption from competition and requires post-placement of a notice in the New York State Contract Reporter as approved by the President:
	Primarily used in emergency or unique situations which cannot await the usual competitive procedure, or where the services require a uniquely qualified single or sole source provider.
E1	Certain Discretionary Purchases: Commodities or services from small business, minority or women-owned business enterprises under \$200,000 without formal competitive process.
EC	Completion Contract with exemption from competition and placement of a notice in the New York State Contract Reporter approved by the Executive Director:
	Primarily used for contracts with sub-consultants or sub-contractors when a prime vendor defaults their contract, or when the Surety on a Performance Bond steps in to complete the work following termination of a contract. Occasionally used when the DASNY invokes the "Owner's Right to do Work" provision of the General Conditions, when a vendor is near completion of work but not progressing the work satisfactorily.

PROGRAM NUMBERS - by Client

APPENDIX B

	Client Type	New Program Numbers	Related Operating Funds	Annual Report Column
PUBLIC				
New York Agencies				
	State Education Department	20	SED	NYS Agen
	Department of Health	21	D90, DOH, DVH, RPG	NYS Agen
	CEFAP	22	CEF	NYS Agen
	Child Care Facilities Dev Prg	23	CCF	NYS Agen
	Mental Health - MCFFA	27	MLH	NYS Agen
	Mental Health - DASNY	28	OMR, OAS, OMH, MLH	NYS Agen
	New York State (Pension)	29	PRI	NYS Agen
	Library Facilities	29	LFB	NYS Agen
	Office of General Services (Parking Garage)	29	OGS	NYS Agen
	Office of State Comptroller	29	OSC	NYS Agen
	Albany County (Airport)	29	ACA	NYS Agen
	Pace Judicial	29	PJI	NYS Agen
	Rescue	29	RES	NYS Agen
	PIT	29	NYA	NYS Agen
	Hudson Valley Stadium	29	HVS	NYS Agen
	HHAC	29	HHA	NYS Agen
	CBVH	29	CBV	NYS Agen
Municipal Programs				
	Municipal Health Facilities (PCDC)	30	MUN	Municipal
	Special Act School Districts	31	SAS	Municipal
	Court Facilities	32	SUF, NYC, WES, MUN, UCS	Municipal
	NYC Health and Hospital Corp	33	HHC, HHO, OCM, MUN	Municipal
	Boces	34	MUN	Municipal
	Public School Districts	35	PSD	Municipal
City University				
	CUNY - Senior	40	CSC	CUNY
	CUNY - Community	41	CCC, CSC	CUNY
State University				
	SUNY Ed	50	SYE	SUNY
	SUNY Dorms	52	SYD, UMG, SBK	SUNY
	SUNY Athletic Facilities	54	SYA	SUNY
	Upstates	55	ERI, FIT, UPS	SUNY
Educational and Nonprofit Organizations				
	Educational & Nonprofit Organizations	60	PRI, PCP, PST, SHF	Indep
	853 Schools	61	PRI	Indep
	4201 Schools	62	PRI	Indep
Healthcare				
	Hospitals - DASNY	80	HCR	Healthcare
	Hospitals - MCFFA	81	HCR, MLO	Healthcare
	Nursing Homes - DASNY	82	HCR	Healthcare
	Nursing Homes - MCFFA	83	HCR	Healthcare

PROGRAM NUMBERS - by Client

APPENDIX B

	Client Type	New Program Numbers	Related Operating Funds	Annual Report Column
	Assisted Living Facilities - DASNY	84	HCR	Healthcare
	Assisted Living Facilities - MCFFA	85	HCR	Healthcare
	Adult Day Care (Aids Fac) - DASNY	86	HCR	Healthcare
	Adult Day Care (Aids Fac) - MCFFA	87	HCR	Healthcare
	Diagnostic & Treatment Centers-DASNY	88	HCR	Healthcare
	Diagnostic & Treatment Centers-MCFFA	89	HCR	Healthcare
	Other	99	HCR	Healthcare
OPERATING				
DASNY Operating Funds		01		Authority
	Project Reserve Funds	01		Authority
New York Agencies Operating Funds				
	State Education Program	20		NYS Agen
	DOH Program Funds	21		NYS Agen
	CEFAP	22		NYS Agen
	Child Care Facilities Dev Prg	23		NYS Agen
	Mental Health Program	28		NYS Agen
	Healthcare Restruct Pool	29		NYS Agen
	Med Care Loan AIDS Rev Dev	29		NYS Agen
	Library Facilities	29		NYS Agen
	Misc NYS Agencies (SIP, PIT, Rescue, OSC, Pace Jud, OGS)	29		NYS Agen
Municipal Programs Operating Funds				
	Misc Municipal Fac Programs (PCDC, BOCES, County Courts)	30		Municipal
	Special Act School Districts	31		Municipal
	Court Facilities	32		Municipal
	NYC Health and Hospital and OCME	33		Municipal
	BOCES	34		Municipal
	Public School Districts	35		Municipal
CUNY Operating Funds				
	CUNY Senior Funds	40		CUNY
	CUNY Community College Funds	41		CUNY
SUNY Operating Funds				
	SUNY Ed Program	50		SUNY
	SUNY Dorm Program	52		SUNY
	SUNY Athletic Program	54		SUNY
	Upstates CC Program	55		SUNY
Private & Nonprofit Organization Operating Funds				
	Private Institutions (853 Schools & 4201 Schools)	60		Indep
	SHELF	60		Indep
Healthcare Operating Funds				
	Private Healthcare	80		Healthcare
	Moral Obligations	81		Healthcare

Commodity Codes

APPENDIX C

Commodity

<u>Code</u>	<u>Commodity Description</u>
5400	Lamps, Electric
5500	Fuel, Heating
5600	Fuel, Gasoline
5700	Oil, Motor, High Detergen
5702	Transmission Fluid, Autom
5800	Propane (Liquid Petroleum
5900	Natural Gas
8300	Food Serv. - Milk Coolers
10100	Medical Supplies & Equip
10120	First Aid Kits, All Types
10132	Meical Supplies & Equipme
10133	Basins, Bowls, Buckets-Me
10136	Catheters (Surgical)
10500	Sterilizers, Hosp & Lab
10502	Stills, Hosp & Lab
10610	Medical Research Equipmen
10611	Ultrasound System
10612	Radiology Equipment
10800	Dental Equipment
11000	X-Ray Equipment
11001	X-Ray Equipment Dental
11002	X-Ray, Diffraction
11070	Carillon
11501	X-Ray Accessories
11600	Hospital Equip, Ward & OR,
11601	Hosp. Equip, Ward&OR Stai
11602	Furniture, Healthcare
11603	Cabinets, Medical & Surgi
11604	Tables, Necropsy
11605	Scrub, Sinks
11613	Hospital Equip, Wheeled
11614	Chairs, Wheels
11625	Hydrotherapy Bathing Unit
11800	Lights, Major Surgery
11801	Tables, Operating
11802	Autopsy Equip & Tables
11900	Orthopedic Equipment, Bed
11901	Orthopedic Equip, Bed/Fra
12001	Lab & Pharm Ware, Glass/P

Commodity

<u>Code</u>	<u>Commodity Description</u>
12003	Aquarium, Terror & Acces.
12004	Lab & Teach Supplies
12005	Sewing Equipment
12006	Lab & Pharm Furn, Install
12007	Lab Apparatus, Bal & Weig
12008	Furniture, Pharmacy
12009	Furniture, Lab, Free Stan
12010	Microscopes, Related Item
12011	Binoculars
12012	Microscopes, Surg & Acces
12013	Microscopes, Electron, Ac
12014	Laboratory Casework
12015	Stereotaxic Inst. & Acces
12016	Centrifuges & Access
12018	Specimen Cabinets & Acces
12023	Lab Apparatus (Ovens & In
12024	Furnace, Laboratory
12026	Environmental Rooms/Chamb
12029	Air Compressors
12029A	Laboratory Equip., Vacuum
12034	Laboratory Equip, Notions
12040	Cages, Animal, Metal
12041	Cages, Animal, Non-Metal
12049	Lab Equip, Filters/filtra
12050	Blood Cell Counters & Acc
12055	Clean Rooms
12060	Tissue Processors/Analyze
12061	Cryosstats, Mictotomes, T
12066	Controlled Temp. Chamber
12070	Vacuum Pumping System
12070A	Laboratory Glassware Wash
12071	Lab Cleaners, Ultrasonic
12072	Lab Equip, Cage Washers
12080	Containers-Lab, Hospital
12099	Laboratory Equip. & Appar
12100	Resuscitation Equipment
12201	Anesthesia Equip, Hospita
12300	Oxygen Tents & Accessorie
12301	Infant Care Equipment

Commodity

<u>Code</u>	<u>Commodity Description</u>
12400	Blood Clean/Recovery Equi
12402	Oxygenates & Related Item
12500	Instruments, Operate, Gen.
12502	Instruments & Acces, Diagn
12600	Electronic Monitor. Equip
12601	Electronic Heart Resus. A
12602	Gas Detectors
12604	Physiological Test & Resea
12605	Defibrillator/Electrocard
12606	Electroencephalographs
12700	Oxygenates & Related Item
20000	Publications (Not Books)
20001	Maps & Globes
20020	Periodicals, Serials
20060	Books
20100	Site Work
20301	Safety Side Rails
20302	Furniture, Patient Room,
20304	Furniture, Flotation Ther
20600	Carpets & Rugs
20700	Carpets & Rugs
20700A	Fabric, Upholstery
20701	CPR Manikins & Equipment
20702	Wall Covering, Vinyl
20800	Furniture, Lounge
20801	Chairs, Stacking
20802	Furniture, Reception Room
20803	Furniture, Indoor-Outdoor
20807	Office Accessories
20900	Chairs, Folding
20901	Seating, Lecture Hall
20902	Chairs, Folding
20903	Tables, Folding
20904	Chairs, Conference
20905	Chairs, Stacking, Wood
20906	Chairs, Stacking, Metal
20910	Seating, Auditorium & The
20911	Benches
20915	Furniture, Industrial & I

Commodity Codes

APPENDIX C

Commodity

<u>Code</u>	<u>Commodity Description</u>
20920	Furniture, Courtroom
20921	Court Stenographer Serv
21101	Food Serv Equip - Install
21111	Towel Bar
21200	Draperies
21201	Cubicle Curtains
21202	Curtains, Shower
21210	Fabric, Upholstrey
21300	Fans, Electric, Free Air
21400	Floor Machines, Scrub & P
21401	Floor Maint. Equip, Mop O
21402	Vacuum Cleaners
21403	Carpet Cleaning Equipment
21410	Flooring, Gymnasium
21500	Furniture, Institutional
21501	Furniture, Dormitory
21506	Beds, Dormitory Metal
21507	Panthers, Smokers, Urns
21508	Furniture, Dormitory
21509	Furn, Dorm, Wardrobe/Divi
21510	Tables, Picnic
21512	Furn, Dorm, Chest Beds
21600	Furniture, Household, Woo
21601	Furn, Household,Birch&Map
21602	Chairs, Dining
21603	Furniture, Household Wood
21604	Furn, Household,Wood,Colo
21605	Lecturns
21648	Furn, Household, Wood,OMH
21670	Furn, Household, OMRDD
21701	Furniture, Landscape Offi
21702	Coat Hooks
21703	Cases, Storage
21704	Electric Check Rm Equip
21705	Chairs, Stools, Metal, Of
21706	Tab Accessory Equipment
21707	Furniture, Stools - All T
21708	Visible Index Equipment
21710	Safes

Commodity

<u>Code</u>	<u>Commodity Description</u>
21715	Chairs, Stools, Metal Off
21716	Files, Vertical, Metal
21720	Furniture, Computer
21800	Furn, Office,Wood/Acous S
21801	Furniture,Library-Wood
21802	Carrels, card Catalogues
21803	Furniture,Library-Stacks/
21804	Chairs, Wood, Upholstered
21805	Desks - Office Wood
21806	Tables, Fancy (Lounge & C
21807	Furniture, Lounge
21808	Computer Work Stations
21809	Health Care Furniture
22000	Area Rugs
22001	Window Washing Equipment
22002	Window Film
22200	Upholstery, Fabric
22201	Linens
22202	Upholstrey - Cushions
22220	Window Repair
22221	Window Screens
22414	Typewriters, Electronic
22424	Copiers
22433	Facsilime Equipment
22500	Floor Covering
22501	Wall Covering, Vinyl
22700	Mattresses & Springs
22701	Mattress Pads
22801	Lableing Machines
22802	Check Signing Mach, Perf.
22803	Office Equip.-Paper Shrea
22804	Folding/Inserting Machine
22805	Packaging
22806	Calculators
22809	Ticket Dispensing Systems
22811	Collators & Sorters
22812	Mailing Machines,Postage
22816	Files, Mechanized
22818	Dictating/Transc Equip, S

Commodity

<u>Code</u>	<u>Commodity Description</u>
22826	Word Prcessors
22827	Offset Presses
22830	Accounting/Payroll Machin
22833	Facsimile Equipment
22902	Plants, Artificial
22904	Film Rec, Polaroid Digma
22912	Mailing Machines,Postage
22913	Mailing Stations
22914	Typewriters, Eletronic
22915	Time Clocks/Systems
22916	Clocks
22917	Mailboxes
22921	Cash Registers
22922	Video Expander
22923	Computer Color Printers
22924	Copiers
22925	Office Equipment
22931	Computer, Equip/Maint Rep
22932	Computers
22933	Facsimile Machines
22934	Computer Programs
22936	Computers, Handicapped
22937	Office Equipment
22938	Office Equipment
22940	Software Desktop Computer
22941	Software Maintenance
22942	Software, Network & Telec
22944	Software, Multi User Comp
22950	Consulting-Desktop Comput
22951	Consulting & Design - Lab
22952	Consulting-Network/Teleco
22954	Consulting-Multi User Com
22960	Computers, Desktop
22962	Equip, Network & Telecom
22964	Computers, Multi User
22970	Computer Maint, Desktop
22972	Equip Maint, Network&Tele
22974	Computer Maint, Multi Use
22977	Software, Maint., Desktop

Commodity Codes

APPENDIX C

Commodity

<u>Code</u>	<u>Commodity Description</u>
22978	Software Maint., Multi Us
22979	Software Maint, Multi Use
22980	Computer Parts, Desktop
22982	Parts, Network & Telecom
22984	Computer Parts, Multi Use
22990	Computer, Peripherals Des
22992	Computer, Peripherals Mul
22994	Computer, Periph Network&
23000	Misc. Office Supplies
23023	Time Stamps, Auto, Electr
23026	Floppy Magnetic Disks
23033	Masking Tape
23045	Card Catalogs
23100	Art Crafts School Supplie
23101	Looms, Weaving
23103	Bulletin Boards
23104	Kilns
23106	Tables, Drawing Fancy
23203	Chalkboards Bulletin Boar
23500	Dispensers, Toilet Tissue
24100	Appliances, Stoves Commer
24103	Appliances, Microwave Ove
24104	Fryers, Electric Comm.
24200	Refrigerator, Reach-in
24201	Ice Makers
24202	Refrigeration (Blood Bank
24203	Refrigeration - Vac/Lab
24204	Refrigerators, Freezers,W
24208	Refrigeration Equip, Low
24209	Refrig Equip, Compressors
24213	Refrigeration - Ice Cream
24300	Sewing Machines
24400	Shades & Shade Cloth
24401	Blinds, Venetian
24402	Blinds, Wood
24600	Flatware, Table (Silverwa
24603	Children's Furniture/Toys
24800	Tables, Cafeteria
25100	Glassware, Table Use Only

Commodity

<u>Code</u>	<u>Commodity Description</u>
25101	Glass, Plexiglass
25200	Appliances, Kitchen, Dome
25201	Dishwasher, Commercial
25202	Freezers, Domestic
25203	Washers & Dryers,Domestic
26002	Nicrofilm Equip & Supplie
26500	Water Coolers, Electric
27000	Furniture, School
27200	Cabinets, Kitchen
27500	Shelving & Lockers,Instit
27501	Shelving, Library. Wood
27502	Shelving, Bookshelves - W
27502A	Lockers Coin Operated
27503	Shelving, Cafeteria & Ref
27504	Shelving, Library, Steel
27505	Shelving,Cab.-Chemical St
27506	Shelving, Open Filing
27507	Kitchen Units
28000	Physical Therapy & Rehab.
28001	Ophthalmic Equip & Acces
28002	Hydrotherapy Units, Whirl
28003	Handicapped, Disabled Equ
30000	Air Conditioners
30001	Dehumidifiers
30002	Humidifier
30003	Air Filter Equipment
30004	Boilers & Heating Equipme
30200	Athletic Equipment
30201	Pool Ladders & Railings
30202	Risers, Band
30203	Bleachers
30204	Exercise Machines, Mats&W
30205	Athletic Equipment, Nets
30206	Athletic Equip, Track & F
30207	Tables, Games; i.e. Pinba
30208	Pool Tables
30209	Track & Field Equipment
30210	Scoreboards
30211	Playground Equipment

Commodity

<u>Code</u>	<u>Commodity Description</u>
30212	Gymnasium Floor Covers
30213	Bowling Equipment & Acces
30214	Football Equipment
30215	Tennis Courts
30216	Sauna Equipment
30217	Golf Equipment
30218	Goals, Hockey, Soccer Etc
30219	Gymnasium Equipment
30220	Bike Rack
30221	Tennis Equipment
30222	Ski Equipment
30224	Baseball Equipment
30225	Mats-All (Gym, Office etc
30226	Table Tennis Equipment
30227	Cases, Exhibit & Trophy
30229	Volleyball Equipment
30233	Scoccer Equipment
30300	Batteries, Automotive
30305	Filters, Auto, Oil,Air,Fu
30306	Lamps, Automotive
30600	Tires & Tubes
30700	Barber Shop Equipment
30900	Antifreeze/Coolant, Engin
30901	Gas Line Antifreeze
31600	Furniture, Drafting
31601	Engineering Instruments
31605	Millwork, Custom
31606	Acoustical; Panels, Scree
31607	Furniture Refubishing-Woo
31608	Furniture Refubishing-Met
31609	Rentals Furniture & Equip
31610	Wardrobes
31616	Beds, Bunk-Metal
31618	Bed Accessories, Linens
31800	Building Materials, Gen.
31804	Mirrors & Mirror Light Un
31807	Wood Laminate Products
31817	Shades, Screens Solar
31823	Ceiling & Acoustical Tile

Commodity Codes

APPENDIX C

<u>Commodity</u>		<u>Commodity</u>		<u>Commodity</u>	
<u>Code</u>	<u>Commodity Description</u>	<u>Code</u>	<u>Commodity Description</u>	<u>Code</u>	<u>Commodity Description</u>
31824	Tile, Ceramic & Quarry	35503	Hardware, General	37607	Pipe & Fittings
31825	Slate	35504	Waste Disposal	37610	Hot Water Heater (Electric)
32201	Canning & Food Proc. Equip	35505	Wastebaskets, Metal, Indoor	37802	Signage - Mech. ID
32500	Water Treatment	35508	Doors & Frames, Metal	37807	Bars, Gymnastic, Dance
32501	Filtration System, Water	35510	Doors, Wood	37900	Security Gates
33300	Flags	35511	Door Scopes	38000	Security Systems
33500	Dishwashers, Commercial	35520	Coat Hooks	38000A	Building Materials General
33508	Doors, Metal	35536	Handrails, Grab Bars	38002	Paint, Swimming Pool
33509	Doors, Metal	35700	Lighting Equipment, General	38010	Paint, Latex Base
33713	Concrete Ready Mix	35706	Lamps, Table & Floor	38012	Universal Colorants for A
33800	Passenger Waiting Shelter	35720	Lamps	38100	Photo, Cine, & Theater Equip
33810	Security Screens/Gates	35721	Lighting, Exit-Emergency	38101	Lighting, Theater
33811	Partitions, Relocatable	35845	Parking Gate Systems	38102	Posts & Ropes, Crowd Control
33813	Stanchions, Ropes-Traffic	35846	Parking Booth	38103	Curtains, Stage
33819	Floors, Elevated, Free Access	35847	Parking Garage Repair	38200	Audio & Video Electronics
33828	Toilet Partitions & Compart.	35900	Generators	38201	Security Alarm Systems
34400	Electric Supplies, General	36000	Hose, Fire Fighting	38201A	Audio Equip., General
34401	Electrical Supplies General	36001	Roof Accessory	38202	Intercom System
34402	Electric Equipment Transducers	36100	Food Serv. Equip	38203	Sound System
34403	Cardboard Boxes	36104	Dispensers, Beverage	38205	Audio Video Equipment General
34404	Electrical Service	36106	Food Transport Units	38206	Cables, Coaxial
34406	Panelboards/Switchboards	36111	Utensils, Kitchen	38207	Electric Cords/Surge Suppressor
34408	Transformers	36112	Drying & Storage Racks	38209	Language Lab Equipment
34700	Fire Extinguishers	36113	Urns & Stand (Coffee)	38210	Television Sets
34710	Fire Alarm/Equip Main/Rep	36114	Carts, Mobile Kitchen	38211	Radios, Portable, Hand-Held
34711	Fire Smoke Alarm System	36125	Trans Compactors (Comm&Do)	38212	Television Studio, Sys.&
35000	Garage Equipment, General	36200	Cafeteria Line Equipment	38212A	Radios 2-Way (AM/FM)
35004	Car Washing Equip.	36500	Flatworm Ironed Pads & Co	38213	Testing Instruments & Equip
35099	Shop Cabinets	36501	Washers & Dryers, Commercial	38214	Data Processing Equipment
35100	Work Benches	36502	Laundry Extractors	38215	Video Electronic Equip
35101	Terrazo Benches	37000	Mowing Equip, Walk-Behind,	38217	Tape Recorders, Audio
35101A	Cabinets, Safety & Storage	37002	Snowblowers	38218	Radios (Am/Fm)
35111	Storage/Safety Cabinets	37010	Brush Cutters, Tractor-driven	38219	Video Cassette Tapes
35203	Finger Print Equipment	37500	Lumber	38220	Tape Recorders, Video
35208	Breathing Apparatus, Air	37501	Doors, Accordion Fold	38221	CCTV Systems
35210	Metal Detectors, Walk-Thru	37502	Folding Grills, Metal	38224	Training Equip, Major Tra
35500	Hardware, Builders	37503	Doors, Bi-Fold	38230	Fire/Smoke Alarm Sys & Ac
35501	Locks, Door, Institutional	37600	Plumbing Supplies, General	38300	Musical Instruments
35502	Waste Receptacles, Wire/M	37601	Boilers & Heating Equipment	38310	Organs

Commodity Codes

APPENDIX C

Commodity

<u>Code</u>	<u>Commodity Description</u>
38320	Musical Instruments
38321	Music, Records, Tapes & C
38322	Music, Sheet
38323	Music, Storage Cabinets
38324	Furniture, Music
38600	Signage
38611	Signage
38612	Signage - Outdoor
38700	Air Analysis
38701	Analyzers (Except Blood)
38702	Acoustic Interferometer
38703	Air/Water Monitoring
38708	Breathalyzers
38709	Cabinets & Chambers
38710	Calorimeters
38713	Chromatography
38714	Cryogenic Equipment
38715	Colorimeters
38717	Counters
38719	Cryogenic Equipment
38722	Densitometers, Gas/Liquid
38724	Detectors
38725	Anaerobic Chamber
38728	Electronic Test/Measure I
38730	Environmental Control
38731	Health Consulting
38734	Freeze Drying Equipment
38735	Furnaces (Special)
38736	Gauges
38738	Heaters, Infrared
38746	Lasers & Accessories
38748	Liquid Scintillates
38750	Magnets & Accessories
38751	Manometers
38752	Metallurgical Apparatus
38753	Meteorological Apparatus
38754	Ph Meters & Related Acces
38755	Meteorological Apparatus
38756	Meters, Oil

Commodity

<u>Code</u>	<u>Commodity Description</u>
38758	Monochrometers
38760	Nuclear Instrumentation
38761	Oceanographic
38762	Simulated Coral reed
38763	Optical Instruments
38764	Oscillographs/Scopes
38767	Ovens, Scientific Equip.
38768	Photometers
38773	Potentiometers
38775	Probeye Infrared Viewer
38776	Phycological Testing Equi
38777	Recorders
38782	Spectrometers & Spectroph
38787	Test Equipment
38788	Testing Instruments & Equ
38789	Miscellaneous
38790	Temp. Equipment
38800	Cameras
38802	Darkroom Supplies (Photog
38803	Blue Print Machines
38804	Cameras, Identification
38806	Projectors
38807	Lighting, Studio Access
38808	Book Detection System
38809	Books
38812	Film Editing & Insp Equip
38813	Cabinets, Film
38815	Projection Systems Equipm
38900	Scales
38998	Safety Equipment, Face, H
38999	Maint.Equip.(Brooms,Mops
39000	Tools, Small, General
39001	Anvils/Accessories
39004	Locks/Padlocks, Comb. & K
39006	Scaffold Equipment
39007	Fork Lifts Rough Terrain
39010	Ladders (Metal, Fibergl,W
39011	Cabinets, Tool
39012	Safety Equip, Face/Head/E

Commodity

<u>Code</u>	<u>Commodity Description</u>
39013	Smoke Detectors
39014	Cabinets, Wall/Conference
39023	Hand Tools, Carpenters'
39032	Screwdrivers
39033	Mechanics Tools(Pliers/So
39034	Wrenches, Nut Drivers, Et
39036	Tools, Garden & Lawn
39043	Shovels & Snow Shovels
39100	Hand, Platform, & Pallet
39101	Trucks, Pallet
39102	Moving/trucking Services
39103	Carts, Utility
39104	Tenant Relocation/Removal
39104A	Carts & Laundry
39105	Pallet Racks
39108	Conveyors
39109	Wheel Casters
39600	Fencing, General
39604	Fencing, General
39999	Insulation, Pipes & Valve
40400	Automobiles
40490	Lease Vehicles
40520	School Bus,Lt Duty Types
40521	School Bus,Med Duty Type
40522	Van Conversions & Bus Bod
40523	Transit Buses
40531	Tailgate/Ligtgate
40533	Aerial Trucks
40535	Truck Bodies
40540	Vans, Passenger & Cargo
40550	Sport Utility Vehicles
40553	Ski Lifts
40560	Trucks, Pick-Up
40570	Trucks, Cab Chassis, Lt D
40580	Trucks, Medium Duty
40590	Trucks, Heavy Duty Dump
40600	Tractors, Crawler
40601	Tractors, Load/Backhoes/S
40602	Bulldozer

Commodity Codes

APPENDIX C

<u>Commodity</u>		<u>Commodity</u>		<u>Commodity</u>	
<u>Code</u>	<u>Commodity Description</u>	<u>Code</u>	<u>Commodity Description</u>	<u>Code</u>	<u>Commodity Description</u>
40603	Wheel Loaders	45001	Turf Utility Vehicles	73003	Temporary Personal Servic
40604	Skid Steer Loaders	46000	Pumps	73004	Consulting, Computer Trai
40605	Fork Lifts (Rough Terrain	47100	Sand Blasting Equipment	73007	Building Commissioning
40606	Farm Tractors	49600	Boats	73011	Occupational Safety & Hea
40700	Power Plant Equipment	49606	Marine Supplies	73012	Consulting, Systems Integr
40710	Incinerators	49608	Life Preservers	73013	Environmental Consulting
40900	Snowremoval Equipment	50041	Engraving System	73014	Consultant, Energy
41100	Cranes, Pedestal Mounted	50042	Maps & Globes	73100	Printing Forms Etc.
41101	Rigging & Hauling	50050	Printing Equipment	75000	Svs.-Spec.(secur.Maint.PI
41101A	Buckets, Clam Shell Etc.	53333	Aluminum Grille Covers	75002	Sys/Periph,Hdwr - Ncr
41102	Trench Diggers	55511	Batteries, Automotive	75003	Sys/Periph, Hdwr - Bull H
41103	Hydraulic Excavators	55551	Salary Reimbursement	75005	Sys/Periph, Hdwr-Digital
41104	Trench Filling Machines	55555	Expansion Joints	75006	Sys/Periph, Hdwr-Hewlett
41105	Cranes, Pedestal Mounted	55666	Let Layup for Waste Heat	75008	Sys/Periph, Hdwr - IBM
41200	Drilling Equipment	60000	Telephone Systems	75011	Sys/Periph, Hdwr - Micro
41400	Air Compressors	60001	Telecommunications, Consu	75016	Sys/Periph, Hdwr - Sun
41600	Sweeper, Rotary	60002	Consultant - Audio Visual	75020	Sys/Periph, Hdwr - Xerox
42200	Snow Vehicles	60003	Cable & Fiber Optics	75031	Micro Computer Hdwr - Dell
42201	All-Terrain Vehicles	60004	Video Educational TV Syst	75300	Micro Computer Hdwr - Ast
42300	Trailers, Heavy Hauling	60005	Planetariums	75301	Micro Computer Hdwr - Comp
42301	Trailers, Home-Field Offi	60006	Electrical Substations	75302	Micro Computer Hdwr - Dell
42302	Modular Buildings - Trail	63636	Fuses	75303	Micro Computer Hdwr-Digit.
42800	Furnace, Heat Treating	65000	Law Enforcement Equip.	75304	Micro Computer Hdwr - Gate
42900	Brush & Wood Chippers	66666	Bus Duck Riser	75305	Micro Computer Hdwr-Hewlet
43000	Ice Resurfacing Machines	70000	Artwork	75306	Micro Computer Hdwr - IBM
43100	Hoists	71002	Carpet Installation	75307	Micro Computer Hdwr - Nec
43101	Hydraulic Jacks	71004	Elevator Maint. & Repairs	75308	Micro Computer Hdwr - Tosh
43200	Tools, Power	71006	Fire Extinguisher (Portab	75309	Micro Computer Hdwr - Zeni
43201	Tools, Machine	71007	HVAC Equip. Maint/Repair	75310	Micro Computer Hdwr - Hert
43202	Tools, Machine, Metal For	71008	Janitorial Services	75311	Micro Computer Hdwr - Cube
43203	Tools, Pneumatic	71009	Landscaping	75312	Micro Computer Hdwr - Css
43204	Tools - Electric Drills	71010	Pest Control Thru Integ.M	75313	Micro Computer Hdwr -Evere
43205	Jointers, Wood (Motorized	71011	Security Services	75314	Micro Computer Hdwr - Appl
43206	Lathes	71012	Snow Plowing/Removal	75315	Micro Computer Hdwr - Sire
43221	Paint Spraying Equipment	72001	Automobile/Truck Rental	75316	Micro Computer Hdwr - Acer
43222	Paint Spraying Equipment	72002	Automobile/Truck Repair	75317	Micro Computer Hdwr-Packar
43223	Welding Equipment	73000	Office Supplies, Clients	75318	Micro Computer Hdwr-Misc H
43229	Saws, Chain (Gasoline)	73001	Consultant, Computer	75320	Micro Computer Hdwr-Bernou
44500	Post Hole Diggers	73002	Office Supplies, DASNY	75321	Micro Computer Hdwr-Texas

Commodity Codes

APPENDIX C

Commodity

<u>Code</u>	<u>Commodity Description</u>
75323	Micro Compuer Hdwr-Bitwis
75324	Micro Compuer Hdwr-Comput
75325	Micro Compuer Hdwr - Sidu
75326	Micro Compuer Hdwr - Data
75327	Micro Compuer Hdwr - Unis
75500	Printers, Hdwr - Genicom
75501	Printers, Hdwr - Epson
75502	Printers, Hdwr-Hewlett Pa
75503	Printers, Hdwr - Nec
75506	Printers, Hdwr - Panasoni
75507	Printers, Hdwr - Qms
75508	Printers, Hdwr - Tektroni
75509	Printers, Hdwr - Canon
75511	Printers, Hdwr - Xerox
75512	Printers, Hdwr - Pennant
75513	Printers, Hdwr - Brother
75517	Printers, Hdwr-Advan. Mat
75518	Printers, Hdwr - Data Pro
75521	Printers, Hdwr - Printron
75522	Printers, Hdwr - Texas In
75700	Adaptive Aids for Disabil
76003	Software Sys - Oracle
76019	Software Sys - Financial
76021	Software Sys - Helpdesk S
76030	Software Sys - Gloval Sof
76040	Consulting & Train, Softw
76050	Software Sys - Miscellane
76060	Network,Hdwr&Softwr - Veritas
76301	Software, Micro - Borland
76303	Software, Micro - Map Inf
76304	Software, Micro - Microso
76306	Software, Micro - Symante
76309	Software, Micro - Filenet
76310	Software, Micro - Mcafee
76311	Software, Micro - Autodes
76313	Software, Micro - Discove
76400	Software, Micro-Secur/Ant
76401	Software, Micro-Secur/Fir
77001	Data Communications

Commodity

<u>Code</u>	<u>Commodity Description</u>
77003	Interactive Tele Voice Re
77004	Electronic Tele Key&Stati
77006	Video Teleconference
77007	Cable & Fiber Optics
77008	Cellular Telephone Servic
77009	Pbx (100-1000 Ports)
77010	Long Distance Carrier Ser
77011	Local Exchange Carrier (L
77012	Isdn Termination Equipmen
77013	Paging Equipment Rental
77015	Internet Access Services
77020	Data Communications - Mot
77022	Data Communications - Par
77023	Data Communications - Rac
77024	Data Communications - Tel
77025	Data Communications -Newb
77026	Data Communications - Lar
77050	Telemangement Software
77500	Network, Hdwr&Softwr - Ba
77501	Network, Hdwr&Softwr-Cabl
77503	Cisco, Networking
77504	Network, Hdwr&Softwr - Ma
77505	Network, Hdwr&Softwr - Pr
77506	Network,Hdwr&Softwr-Bay N
77507	Network,Hdwr&Softwr - 3-C
77508	Network,Hdwr&Softwr - Xyp
77509	Network,Hdwr&Softwr-Netwk
77510	Network,Hdwr&Softwr - Int
77511	Network,Hdwr&Softwr-Ub Ne
77513	Network,Hdwr&Softwr -Lano
77515	Network,Hdwr&Softwr-Std.
77517	Network,Hdwr&Softwr-Comp
77518	Network,Hdwr&Softwr - Net
77520	Network,Hdwr&Softwr - Oli
77521	Network,Hdwr&Softwr - Xyl
77522	Network,Hdwr&Softwr -Wall
77523	Network,Hdwr&Softwr - For
77524	Network,Hdwr&Softwr-Secur
77530	Network,Hdwr&Softwr - Mis

Commodity

<u>Code</u>	<u>Commodity Description</u>
75322	Micro Compuer Hdwr-Upst W
77777	Special Agencies
78013	Sys/Peri -Hdwr Maint-Xero
78018	Sys/Peri -Hdwr Maint- Nor
78020	Sys/Peri -Hdwr Maint- Sun
78100	Client Serv Maint - Nor
78101	Client Serv Maint - Data
78102	Client Serv Maint -Digita
78103	Client Serv Maint -Hewlet
78104	Client Serv Maint - IBM
78105	Client Serv Maint -Silico
78106	Client Serv Maint - Sun
78107	Client Serv Maint - Tektr
78108	Client Serv Maint - Seque
78109	Client Serv Maint - Bull
78110	Client Serv Maint - Unisy
78250	Micro Computer - Ast
78251	Micro Computer - NCR
78252	Micro Computer - Compaq
78253	Micro Computer - Data Gen
78254	Micro Computer - Dell
78255	Micro Computer - Digital
78256	Micro Computer - Gateway
78257	Micro Computer - Hertz
78258	Micro Computer - Hewlett
78259	Micro Computer - IBM
78261	Micro Computer - Nec
78262	Micro Computer - Toshiba
78263	Micro Computer - Unisys
78264	Micro Computer - Zenith
78265	Micro Computer - Apple/Ma
78280	Maint for Pc's &Peri/Brea
78400	Printer (Hdwr-Maint) Geni
78401	Printer (Hdwr-Maint) Epso
78402	Printer (Hdwr-Maint)Hewle
78403	Printer (Hdwr-Maint) Nec
78407	Printer (Hdwr-Maint) Qms
78408	Printer (Hdwr-Maint) Tekt
78409	Printer (Hdwr-Maint) Cano

Commodity Codes

APPENDIX C

Commodity

<u>Code</u>	<u>Commodity Description</u>
77002	Digital Centrex Equipment
78412	Printer (Hdwr-Maint) IBM
78414	Printer (Hdwr-Maint) Unis
78416	Printer (Hdwr-Maint) Brot
78550	Comm Equip/Maint/Repair
78551	Telecomm Equip Refurbish
78552	Comm Equip/Maint-Racal-Da
79001	Energy Conserv./Managment
79004	Moving/Trucking Services
79006	Travel Services
79007	Lodging
79008	Bank Card Services
79009	Transcriptions
79010	Info Subscrip Svs&On-Line
79011	Overnight Mail
79012	Mail Presort
79013	Waste Collection & Dispos
79014	Contract Hearing Report&T
79015	Telecommunications Audit
79016	Internet/Web Services
79018	Mail Presorting/Bar Codin
79020	Recycling (paper)
79021	Towing
79022	Cost Recovery Services
79027	Payroll Services
79031	Record Ctr Storage & Retr
79034	Telephone Debit Card
79501	Microfilming
79503	Security Sys Maint/Repair
79504	Power Supply (Uninterrupt
79505	Educational Prod (Hdwr&St
79507	Scanning Products
79508	Tape Drives
79509	Imaging
79512	Ticket Dispensing System
79513	Plotters
79514	Digitizers
79515	Memory (Technology) Misc
79518	Software (Microcomp) - Mi
79519	Disk Drives

Commodity

<u>Code</u>	<u>Commodity Description</u>
79520	X-Terminals
79521	Electronics Switch
79522	PC Sharing Devices/Tech -
79523	Optical Mark Readers
79524	Maint Tracking Stwr Tech-
79525	Time Mgmt/Acc Control Hdw
79526	Project Mgmt Sfwr/Tech-Mi
79527	Edi Value Added Network/T
79528	Hardware & Software - Mis
79529	Telecomm On-Site Train -
79530	Comp Point of Sale Equip-
79531	Intel Char Recog Sftw Tec
79532	Forms Process Sftw Tech -
79533	Edi Sftw Tech - Misc
79534	Tech Misc - Tsr Consultin
79535	Tech Misc - Bus Records C
80000	Building Maintenance, DAS
80010	Building Supplies, DASNY
80020	Office Supplies, DASNY
80030	Ergonomics, DASNY
80040	Projection Systems/Equip
80060	Equip Ribbons/Printer DAS
80070	Equip Repairs, DASNY
80100	Artwork, Framing
80101	Artwork, Installation
80102	Artwork, Fabrication
80103	Artwork, Storage
80104	Artwork, Appraisal
80105	Artwork, Transportation
80106	Artwork, Honorarium
80107	Artwork, Consultant
81000	Finance Contracts
81010	Audit/Accounting
81020	Investment Banking
81030	Trustee Custody Fees
81040	Lodging - Hotels & Motels
81050	Insurance Premiums & Fees
81060	Letter of Credit Fees
81070	Rating Agencies Bond Clos
92000	General Construction Cont

Commodity

<u>Code</u>	<u>Commodity Description</u>
78411	Printer (Hdwr-Maint) Xero
81080	Rating Agencies Commerc P
81090	Claims Handling
81100	Remarketing Fees
81110	Mortgage Servicing
81120	Bond Insurance Fees
81130	Floor Ceiling Fees
81140	Fees, Final Endorsement
81150	Administrative Fees
81160	SHELF Rebates
81300	Background Check for Empl
82000	Pre-Design Phase Contract
82010	Soil/Boring Testing
82020	Environmental Studies
82030	Surveying
82040	Real Estate
82050	Programming Planners
82060	Appraiser
82070	Abstract Company
82080	Land Survey Company
82090	Real Estate Acquisition
82100	Lease Renovations
83000	Design Phase Contracts
83010	Architect
83020	Design/Build
83030	Engineering
83040	Interior Design
83050	Landscape Design
84000	Construction Contracts
84001	Honorarium
84010	Clerk-of-the-Works
84020	Construction Management
84030	Controlled Inspections
84040	Estimator - Estimating
84050	Field Representative
85000	Miscellaneous Contracts
85010	Artists
85020	Asbestos
85030	Custody & Safekeeping
85035	Bridge/Street Franchises

Commodity Codes

APPENDIX C

<u>Commodity</u>	<u>Commodity Description</u>	<u>Commodity</u>	<u>Commodity Description</u>	<u>Commodity</u>	<u>Commodity Description</u>
85040	Data Processing	92010	Acoustical Ceilings	95010	Fire Suppression System
85050	Equipment Maintenance	92020	Carpentry	95020	Pools
85060	Garage Maintenance	92030	Concrete	95021	Pool Tiles/Repairs
85070	Management Consultant	92040	Decks, Metal	95030	Storage Tanks
85075	Consultant Services	92050	Drywall	95040	Utility Control Systems
85080	MBE Consultant	92060	Fabrications	95041	Automated Watering System
85090	Printing	92070	Flooring	95050	Elevators
85100	Security	92080	Glazing	95051	Elev. Car Position Indica
85110	Telecommunications	92090	Insulation	95060	Asbestos Abatement
85120	Photography	92100	Masonry	96000	Trailer Rental, DASNY Fie
85130	Training Consultant	92110	Ornamental Metal	96010	Office Equipment, DASNY F
85131	Seminars	92120	Painting	96020	Computer Equipment DASNY
85132	Training Coordinator	92130	Partitions	96030	Heat Light & Power DASNY
85135	Caterer	92140	Plaster	96040	Telephone, DASNY Field
85140	Building & Office Rent	92150	Roofing	96050	Water, DASNY Field
85150	Licensing & Fees - Govern	92160	Sealants & Caulking	96060	Postage/Messenger, DASNY
85160	Garage Operations	92170	Sheetmetal	96070	Office Supplies, DASNY Fi
85170	Memberships	92180	Siding	96080	Progress Photos, DASNY Fi
85180	Building Operating Expens	92190	Signs	96090	Parking Expenses, DASNY F
85190	Real Estate Tax Escalatio	92200	Wall Covering	96100	Misc. Expenses, DASNY Fie
85200	Freight	92210	Waterproofing	97000	Telecommunications Expens
85210	Courier Service	92220	Windows	98000	Parking Rental
85220	Misc. Building Expenses	93000	Electrical Contracts	98010	Cable Television
85230	Site Safety Inspections	93010	Communications	98020	Gasoline Expense
86000	Legal Contracts	93020	Controls	98030	Express Mail
86010	Litigation Counsel	93030	Instrumentation	98040	Utilities
86020	Real Estate Counsel	93040	Lighting	98050	Postage
86030	Special Counsel	93041	Reflectors	99000	Accountant Fees, COIS
86040	Bond Counsel	93050	Power Distribution	99100	Advertising Fees, COIS
86050	UCC Filings	94000	Mechanical Contracts	99110	DASNY Construction Fee, C
86060	Contract Claim Settlement	94010	Air Conditioning	99120	DASNY Financing Fee
90000	Equip Replacemet Direct P	94020	Drainage	99130	DOH Con Fee, COIS
91000	Site Work Contracts	94030	Fire Protection	99140	FHA Counsel Fee, COIS
91010	Demolition	94040	Heating	99150	Financial Consultant, COI
91020	Excavation	94050	Plumbing	99160	Floor Ceiling Fees, COIS
91030	Piped Utilities	94060	Temperature Controls	99170	Institution Attorney, COI
91040	Landscaping	94070	Ventilating	99180	LOC Attorney Fees, COIS
91050	Paving	95000	Misc. Construction Contra	99190	LOC Fees, COIS
91060	Surfacing			99200	Miscellaneous 2%, COIS

Commodity Codes

APPENDIX C

Commodity

Code

Commodity Description

99210	Mortgage Banking Fee, COI
99220	Municipal Bond, COIS
99230	Printing/Documents/Bonds,
99240	Surety Bond Fees, COIS
99250	Title Insurance, COIS
99260	Trustee Acceptance Cou, C
99270	Trustee Administrative, C
99280	Underwriter Discount, COI
99290	Verification Refunding, C
99300	Bond Issuance Fees, COIS

Commodity

Code

Commodity Description

Commodity

Code

Commodity Description

Trade/Service Codes

APPENDIX D

<u>Trade/Service</u> <u>Category Code</u>	<u>Category Code Description</u>	<u>Trade/Service</u> <u>Category Code</u>	<u>Category Code Description</u>	<u>Trade/Service</u> <u>Category Code</u>	<u>Category Code Description</u>
100	Finance Contracts	411	Telecommunications	621	Waterproofing
101	Auditing/Accounting	412	Training Consultant	622	Windows
102	Investment Banking	413	Photography	700	Electrical
103	Letter of Credit Banking	415	Training Coordinator	701	Communications
104	Trustee Banking	416	Building & Office Rent	702	Controls
105	Lodging-Hotels/Motels	417	Licensing & Fees-Gov't	703	Instrumentation
106	Insurance Premiums	450	Legal	704	Lighting
200	Pre-Design Phase Contracts	451	Litigation Counsel	705	Power Distribution
201	Soil/Boring Tests	452	Real Estate Counsel	800	Mechanical
202	Environmental Studies	453	Special Counsel	801	Air Conditioning
203	Surveying	454	Bond Counsel	802	Drainage
204	Real Estate	500	Site Work	803	Fire Protection
205	Programming/Planners	501	Demolition	804	Heating
250	Design Phase Contracts	502	Excavation	805	Plumbing
255	Architect	503	Piped Utilities	806	Temperature Controls
260	Design Build	504	Landscaping	807	Ventilating
265	Engineer	505	Paving	900	Misc. Construction
266	Energy Performance Contracting	506	Surfacing	901	Fire Suppression Systems
270	Interior Design	600	General Construction	902	Pools
275	Landscape	601	Acoustical	903	Storage Tanks
300	Construction Phase Contracts	602	Carpentry	904	Utility Control Systems
301	Clerk of the Works	603	Concrete	905	Elevators
302	Construction Management	604	Decks	906	Asbestos Abatement
303	Controlled Inspections	605	Drywall	907	Building Management System
304	Estimator	606	Fabrications		
305	Field Representative	607	Flooring		
306	General Conditions	608	Glazing		
307	Construction Manager at Risk	609	Insulation		
400	Misc. Professional Svcs	610	Masonry		
401	Artist	611	Ornamental Metal		
402	Asbestos Consultant	612	Painting		
403	Printing	613	Partitions		
404	MBE Consultant	614	Plaster		
405	Security	615	Roofing		
406	Data Processing	616	Sealants		
407	Management Consultant	617	Sheet Metal		
408	Custody and Safekeeping	618	Siding		
409	Equipment Maintenance	619	Signs		
410	Garage Maintenance	620	Wall Coverings		

Trade/Service Codes

APPENDIX D

<u>Trade/Service</u> <u>Category Code</u>	<u>Category Code Description</u>	<u>Trade/Service</u> <u>Category Code</u>	<u>Category Code Description</u>	<u>Trade/Service</u> <u>Category Code</u>	<u>Category Code Description</u>
AIR	Air Conditioners	DIR	Direct Pay Invoice	LCD	Video Electronic Equip
ALA	Fire/Smoke Alarm Systems	DOF	Dormitory Furniture	LEG	Legal Contracts
APP	Appliances	DRA	Draperies	LIG	Lighting Equipment, General
ART	Artwork	ELC	Electrical Contracts	LOC	Lockers & Shelving
ATH	Athletic Equipment	ELE	Electrical Supplies, General	LOO	looms, Weaving
AUD	Audio & Video Electronics	ENE	Energy Conserv/Management Sys	LUM	Lumber
AUT	Batteries, Automotive	ENG	Engineering Instruments	MAI	Maint. & Repairs
BAR	Barber Shop Equip	ERE	Equipment Repairs, DASNY	MAP	Maps & Globes
BED	Bed	ERG	Ergonomics, DASNY	MAR	Marine Supplies
BLI	Blinds	ERI	Equip Ribbons/Printer DASNY	MAT	Mattress
BMA	Building Maintenance, DASNY	EXT	Fire Extinguishers	MCN	Misc. Construction Contracts
BOI	Boilers & Heating Equipment	FAB	Fabric, Upholstery	MCO	Miscellaneous Contracts
BOO	Books	FAN	Fans	MEC	Mechanical Contracts
BRU	Brush Cutters, Tractor-drawn	FAX	Facsimile	MED	Medical Supplies
BSU	Building Supplies, DASNY	FEN	Fencing	MIS	Miscellaneous
BUI	Building Materials, General	FIE	DASNY Field	MOW	Mowing Equipment
BUL	Bulletin Boards	FIL	Files	MUS	Musical Instruments
CAB	Cabinets	FIN	Finance Contracts	NET	Networking Hardware & Software
CAF	Cafeteria Line Equipment	FLA	Flags	OFF	Office Equipment
CAR	Carts	FLM	Film	OSU	Office Supplies, DASNY
CAS	Casework	FLO	Carpet Cleaning Equipment	PAR	Parking Gate Systems
CHA	Chairs	FLU	Fluid, Transmission	PER	Periodicals
CLO	Clocks	FOO	Food	PHO	Photographic Equipment
COI	Fees	FUE	Fuel, Heating	PLU	Plumbing Supplies, General
COM	Air Compressors	FUR	Furniture	POO	Pool Ladders & Railings
CON	Consultant Services	FRT	Freight	POW	Power Plant Equip
COO	Water Coolers	GAR	Garage Equipment, General	PRD	Pre-Design Phase Contracts
COP	Copiers	GEN	General Construction Contracts	PRE	Offset Presses
COS	Construction Contracts	HAN	Handicap	PRI	Printing Equipment
COV	Floor Covering	HDW	Systems/Peripherals-Hardware	PRO	Projection Systems/Equip DASNY
CUR	Cubical Curtains	HMR	Background Check	PUB	Publications
DEG	Design Phase Contracts	HOO	Coat Hooks	RAD	Radios, Portable, Hand-Held
DEN	Dental Equipment	HOS	Hospital Supplies	REC	Waste Receptacles
DES	Desks	ICE	Ice Makers	REF	Refrigerator
DET	Metal Detectors, Walk-Through	INS	Carpet Installation	RUG	Rugs and Carpets
REN	Parking Rental	IRO	Flatworm Ironed Pads & Covers	RSK	Ins.Prem. & Fees/Claims Handling
RIS	Risers, Band	LAB	Laboratory Equipment	SAF	Safes
RLP	Appraisals/Survey/Real Estate/Lease	LAD	Ladders	SCH	School
SAL	Salary	LAM	Lamps, Table & Floor	SCQ	Scientific Equipment

Trade/Service Codes

APPENDIX D

<u>Trade/Service Category Code</u>	<u>Category Code Description</u>
SEC	Security
SEM	Seminars
SEW	Sewing Machines
SHA	Shades
SHE	Shelving, Cafeteria & Refrig
SIG	Signage
SIT	Site Work Contracts
SNO	Snowblowers
SOF	Software Sys, Purchase & Maint
SVS	Services
TAB	Tables, Picnic
TEC	Memory Technology
TEL	Telephone Systems
THE	Photo, Cine, & Theater Equip
TIC	Ticket Dispensing Systems
TOO	Tools
TRA	Transformers
TVS	Television Sets
TYP	Typewriters
UPS	Power Supply (Uninterruptable)
VAC	Vacuums
VEH	Automobiles
VID	Tape Recorders, Video
XRA	X-Ray Equipment
XTA	X-Ray

<u>Trade/Service Category Code</u>	<u>Category Code Description</u>
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<u>Trade/Service Category Code</u>	<u>Category Code Description</u>
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AMENDMENTS TO THE PROCUREMENT CONTRACT GUIDELINES DURING FISCAL YEAR 2015-16

As part of its annual compliance obligations, Public Authorities Law Section 2879[7] requires that DASNY annually prepare and approve a report on procurement contracts, which shall include the Procurement Contract Guidelines and any amendments thereto, and an explanation of any amendments to the Procurement Contract Guidelines implemented since DASNY's last annual report. The following amendments were adopted by the DASNY Board during FY 2015-16:

- On June 24, 2015, a technical amendment to the Procurement Contract Guidelines were clarified to state that the requirements for the procurement of contracts for professional services in Section 5[A] of the Procurement Contract Guidelines are applicable to the retention of outside counsel and independent auditors, as required by Section 4.4 of the DASNY Bylaws. The purpose of this amendment is to correct a potential inconsistency between DASNY's June 26, 2013 authorization of Discretionary Purchases (Public Authorities Law Section 2879[3]) with the requirement in Section 4.4 of the DASNY Bylaws that contracts for the retention of outside counsel and independent auditors require Board approval. This amendment merely conforms the Procurement Contract Guidelines to DASNY's existing practice, as selections of external counsel and independent auditors since 2013 have been made on a competitive basis.
- On December 9, 2015, the Procurement Contract Guidelines were amended at Section 3[C][5] to include, for purposes of Discretionary Purchases (only), the definition of "Small Business" in State Finance Law Section 160[8]. The practical effect of this modification, which is limited to Discretionary Purchases only, is to conform DASNY's definition of "Small Business" to the definition used by all state agencies, as well as the reporting threshold used by Empire State Development ("ESD") for Discretionary Purchases reporting. The broader definition of "Small Business" in Article 15-A of the Executive Law remains applicable for all other procurement purposes (Guidelines Sections 2, subdivisions [15][f] and [30][f]).

PROCUREMENT POLICY OF THE DORMITORY AUTHORITY
OF THE STATE OF NEW YORK

1. Purpose

It is the policy of the Dormitory Authority of the State of New York (“DASNY”) to procure goods and services in a manner which ensures: (i) compliance with all provisions of law governing procurements by DASNY; (ii) the acquisition of quality goods and services at the lowest possible cost in a timely and efficient manner; (iii) the wise and prudent use of the resources of DASNY, its clients and the taxpayers of the State of New York; (iv) the promotion of the use of qualified Certified Minority and Women-Owned Enterprises in Procurement Contracts in accordance with law; and (v) guards against favoritism, extravagance, fraud and corruption.

2. Competitive Procurement Methods

(a) All procurements of goods and services shall be made by DASNY on a competitive basis except as otherwise provided in this Policy. The competitive methods used to make procurements shall include: (i) sealed competitive bids; (ii) requests for proposals; (iii) written quotations; (iv) verbal quotations; (v) purchases made pursuant to centralized contracts let by other public and private entities pursuant to a competitive process; or (vi) any other competitive method of procurement that is consistent with the purposes of this Policy.

(b) For every contract awarded pursuant to sealed competitive bids, DASNY shall maintain procedures designed to ensure that the contract is awarded to the lowest responsible bidder submitting a bid that meets the specifications. In determining responsibility, staff shall consider factors such as financial responsibility, reliability, skill, past performance by the contractor on DASNY projects, judgment and integrity. The written procedures shall further require, among other things, that: (i) to the extent required by law, there be separate specifications for major categories for work; (ii) all advertisements for sealed bids be published in the manner required by law and in such other publications as are appropriate to ensure reasonable competition; and (iii) the

rationale for awarding the contract to other than the apparent low bidder be documented and retained in the procurement file.

(c) For every contract awarded pursuant to a request for proposal process, DASNY shall maintain procedures governing the solicitation, evaluation and selection of consultants and contractors designed to ensure that the contract is awarded to a responsible contractor evidencing proven experience with projects of the scope, magnitude and complexity of the type that are the subject of the contract and that the contractor has the ability to perform all work required in a professional and timely manner. The written procedures shall require, among other things, that: (i) there be a written request for proposals setting forth the criteria and standards upon which the award is to be based; (ii) the notice for request for proposals be published in the manner required by law and in such other publications as are appropriate to ensure reasonable competition; (iii) the rationale for awarding the contract be documented and retained in the procurement file; and (iv) any competitive negotiations with a proposer be in the best interests of the procurement and documented in the procurement file.

(d) DASNY shall not let a construction contract under which the contractor is to provide both design and construction services ("design/build contract") unless: (i) this method of procurement is permitted under applicable law; and (ii) DASNY and its client concur in writing prior to the commencement of the procurement process that the use of a design/build contract is in the best interests of the project and in support of that determination, identify the specific benefits, such as schedule, financial, or project feasibility, expected to be gained from a design/build method of procurement. All design/build contracts shall be awarded pursuant to a documented request for proposal process.

(e) DASNY shall, in addition to the procedures required above, maintain written procedures for any other method of competitive procurement to be used by DASNY. These procedures shall, among other things: (i) identify the category of procurement to which the procedure relates; (ii) ensure reasonable competition given the cost and type of procurement; and (iii) require written documentation of the rationale for awarding the procurement.

(f) DASNY shall implement and maintain a process for reviewing inquiries from unsuccessful bidders and proposers. Such process shall ensure that unsuccessful bidders and proposers are treated in a fair and equitable manner.

3. Non-Competitive Procurement Methods

(a) DASNY, subject to the provisions of paragraph b of this Section, shall not be required to use a competitive method of procurement in the following instances:

(i) Selection By Client. DASNY may enter into contracts with contractors selected by clients of DASNY in appropriate instances where the client has provided adequate written rationale justifying the selection.

(ii) Emergency Contract. In the case of an emergency arising out of an accident or other unforeseen occurrence or condition whereby circumstances affecting property or other interests of DASNY or life, health or safety require immediate action, the President or his or her designee may authorize the award of a contract on other than a competitive basis. For each such procurement, there shall be a written record setting forth the basis for concluding that there was an emergency and the methods used to identify the selected contractor.

(iii) Discretionary Purchases. DASNY may purchase commodities or services from small business concerns or Minority or Women-Owned Business Enterprises, or commodities or technology that are recycled or remanufactured, in an amount not exceeding two hundred thousand dollars without a formal competitive process.

(iv) Preferred Sources. Goods or materials may be obtained from any provider, such as the Department of Correctional Services Industries Program and qualified charitable agencies for the blind, which, by law, are exempt from competitive bidding or similar requirements.

(v) Single Source. The President or his or her designee may authorize the award of a contract on other than a competitive basis, even though two or more firms can supply the required service, good, or material, provided that DASNY determines that either: (a) one particular firm has unique knowledge

or expertise with respect to the required service, good or material rendering the use of competitive procedures impractical; or (b) other material or substantial reasons exist for awarding the contract on other than a competitive basis. For each such procurement, there shall be a written record setting forth the basis for concluding that a single source procurement was in the best interests of the project and the methods used to identify the selected contractor.

(vi) Sole Source. When there is only one source for a required service, good or material, the President or his or her designee may the authorize the award of a contract for that service, good or material on other than a competitive basis.

(vii) Miscellaneous Exempt Contracts. Other types of goods or services for which a competitive procurement process is impractical may be awarded on other than a competitive basis. Such exempt contacts may include: tuition and other expenses incurred for employee training; services provided by monopolies, utilities and hotels; transportation services; contracts for computer or telephone hardware, software or services where current Authority license or use restrictions render competition impractical; and contracts for the completion of existing contracts that have been the subject of contractor default, termination or the exercise of owner's right to do work. Miscellaneous Exempt Contracts may be awarded without the approval of the President

(b) All procurements made pursuant to this Section 3 shall be done in accordance with law and in furtherance of the purposes enumerated in Section 1 of this Policy. In addition, even if procurements need not be made on a competitive basis under this Policy, it is still the policy of DASNY to seek competition to the maximum extent practicable under the circumstances.

4. Procurement Guidelines.

In furtherance of this Policy and section 2879 of the Public Authorities Law, the Board of DASNY hereby adopts the attached Procurement Guidelines. The Members of DASNY shall annually review this Policy and the attached Guidelines, together with the procurement report required by section 2879.

June 26, 2013



NEW YORK
STATE OF
OPPORTUNITY™

DASNY

**DORMITORY AUTHORITY
STATE OF NEW YORK**

PROCUREMENT CONTRACT GUIDELINES

AMENDED 6/27/01
9/22/04
9/27/06
9/22/10
6/22/11
6/26/13
1/14/15
6/24/15
12/9/15

**DORMITORY AUTHORITY OF THE STATE NEW YORK
PROCUREMENT CONTRACT GUIDELINES**

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Section 1. STATEMENT OF PURPOSE

These Guidelines are adopted pursuant to the provisions of the Act (as defined below), Section 2879 of the Public Authorities Law, as amended, and the Procurement Policy of the Dormitory Authority of the State of New York (“DASNY”). These Guidelines are designed to ensure the wise and prudent use of the resources of DASNY, its Clients and taxpayers; to guard against favoritism, extravagance, fraud and corruption; and, to facilitate the efficient and timely acquisition of goods and services for DASNY and its Clients. To help ensure that the purposes of these Guidelines are met and that there is compliance with applicable law and procedures governing procurements by DASNY, each operating division within DASNY shall involve the Procurement Unit within the Division of Construction in all purchases of goods and services by DASNY.

Section 2. DEFINITION OF TERMS

Definitions. The following terms shall, for purposes of these Guidelines, have the following meanings unless the context shall clearly indicate otherwise:

- (1) “Act” shall mean the Dormitory Authority Act.
- (2) “Alternative Project Delivery System” shall mean any project delivery system, including (but not limited to) Construction Manager at Risk and Design/ Build, pursuant to which one or more contracts for the provision of design or construction services are awarded pursuant to an open, objective and competitive method of procurement.
- (3) “Authority” shall mean the Dormitory Authority of the State New York.
- (4) “Client” shall mean those entities for which DASNY is authorized to perform work under the Act or by other statute.
- (5) “Goods” shall mean tangible goods, materials, supplies, products or other standard articles of commerce other than technology and printing. For purposes of these Procurement Guidelines, the term “goods” shall also include “commodities” as defined elsewhere in applicable law and/or DASNY policies and procedures.
- (6) “Construction Manager At Risk” shall mean a method of procurement whereby: (i) a construction manager serves as part of a team in conjunction with the owner in the design phase of the project; (ii) during the construction phase, acts as general contractor for agreed upon compensation as set forth in the construction manager at risk agreement; and (iii) assumes the risk of construction costs exceeding an amount specified in the Construction Manager at Risk agreement.
- (7) “Counsel” shall mean an attorney in the regular employment of DASNY.

- (8) “Competitive Basis” shall mean any of the Source Selection Methods enumerated in Paragraph B of Section 3 of these Guidelines.
- (9) “Completion Contract” shall mean contracts for the completion of existing contracts that have been the subject of contractor default, termination or the exercise of owner’s right to do work.
- (10) “Critical Contracts” shall mean Procurement Contracts which must be awarded within a specific time period because delay of award would have a serious adverse effect on DASNY that outweighs the benefits of advertising or reporting in the Contract Reporter as determined by the President or his or her designee.
- (11) “Design/Build” shall mean a Procurement Contract under which the contractor is to provide both design and construction services under a single contract but further requires that any professional services regulated by articles one hundred forty-five, one hundred forty-seven and one-hundred forty eight of the education law be performed by a professional licensed in accordance with such articles.
- (12) “Diversity Practices” shall mean the contractor’s practices and policies with respect to:
 - a. utilizing certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers on contracts awarded by DASNY to the contractor; and
 - b. entering into partnerships, joint ventures or other similar arrangements with certified Minority and Women-Owned Business Enterprises.
- (13) “Exempt Contracts” shall mean: (i) any written agreement for goods or services for which a procurement process is impractical, including but not limited to, tuition and other expenses incurred for employee training; services provided by monopolies, utilities and hotels; transportation services; contracts for computer or telephone hardware, software or services where current Authority license or use restrictions render competition impractical; and (ii) Completion Contracts-.
- (14) “Foreign Business Enterprise” shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which offers for sale, lease or other form of exchange, goods sought by DASNY and which are substantially produced outside New York State, or services sought by DASNY and which are substantially performed outside New York State. For purposes of construction services, a Foreign Business Enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside New York State.

- (15) “Minority-Owned Business Enterprise” shall have the same meaning ascribed thereto by Article 15-A of the Executive Law and shall include any business enterprise, including a sole proprietorship, partnership or corporation that is:
- a. at least fifty-one percent owned by one or more of the following minority groups: Blacks, Hispanics, Asians, Pacific Islanders or Native Americans, or, in the case of a publicly-owned business, at least fifty-one percent of the common stock or other voting interests of which is owned by Blacks, Hispanics, Asians, Pacific Islanders or Native Americans;
 - b. an enterprise in which the minority ownership is real, substantial and continuing;
 - c. an enterprise in which the minority ownership has, and exercises, the authority to control independently the day-to-day business decisions;
 - d. an enterprise authorized to do business in New York State, independently owned and operated, and not dominant in its field;
 - e. an enterprise owned by an individual or individuals, whose ownership, control and operation are relied upon for certification, with a personal net worth that does not exceed three million five hundred thousand dollars, as adjusted annually on the first of January for inflation according to the consumer price index of the previous year; and
 - f. an enterprise that is a Small Business as defined in Article 15-A of the Executive Law.
- (16) “New York State Business Enterprise” shall mean a business enterprise, including a sole proprietorship, partnership, or corporation, which offers for sale or lease or other form of exchange, goods which are sought by DASNY and which are substantially manufactured, produced or assembled in New York State, or services which are sought by DASNY and which are substantially performed within New York State. For purposes of construction services, a New York State Business Enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation that has its principal place of business in New York State.
- (17) “New York Resident” shall mean a natural person who maintains a fixed, permanent and principal home located within New York State and to which such person, whenever temporarily located, always intends to return.
- (18) “Officer” shall mean any person so defined in the By-Laws of DASNY.

- (19) “Procurement Contract” shall mean any written agreement to which DASNY is a party for the acquisition of goods or services of any kind in the actual or estimated amount of five thousand dollars (\$5,000) or more.
- (20) “Procurement Contractor” shall mean any contractor, consultant or vendor who enters into a Procurement Contract with DASNY.
- (21) “Procurement Contracts for Goods” shall mean any written agreement entered into by DASNY primarily for the acquisition of goods in the actual or estimated amount of five thousand dollars (\$5,000) or more unless the goods are procured as part of a Procurement Contract for Non-Professional Services.
- (22) “Procurement Contracts for Non-Professional Services” shall mean any written agreement entered into by DASNY for the acquisition of services (other than Professional Services) and related goods, including construction contracts and Alternative Project Delivery System contracts, in the actual or estimated amount of five thousand dollars (\$5,000) or more.
- (23) “Procurement Contract for Professional Services” shall mean any written agreement for services involving the exercise of discretion, knowledge or expertise that are performed for fee, commission or other compensation by persons or organizations not providing such services in their capacities as officers or employees of DASNY or of any state agency or public corporation in the actual or estimated amount of five thousand dollars (\$5,000) or more. Professional Services include, but are not limited to, management consulting, investment banking, financial services, insurance, planning, training, statistical, research, public relations, architectural, engineering, surveying or any other services of a consulting, technical or professional nature. Professional Services shall not include any contract for the retention of outside counsel or independent auditors, which are procured separately and shall remain subject to independent approval of the DASNY Board of Directors in accordance with the DASNY By-Laws. Professional Services that may be required by DASNY include without limitation:
- a. Intentionally deleted.
 - b. Appraisal - independent appraisals of properties or art work.
 - c. Art Work - provide selected art objects for display to enhance the aesthetic appearance of Authority projects.
 - d. Construction and Design Consulting.
 - i. Architectural - professional architectural services related to the design and

construction of Authority and Client-owned facilities.

- ii. Construction Management - management services on certain designated Authority projects including on-site inspections and overall coordination of all prime construction contracts.
 - iii. Controlled Inspection - professional engineering services to assure conformance to certain requirements of New York State and City Building Code.
 - iv. Engineering - professional engineering services related to the design and construction of Authority and Client-owned facilities.
 - v. Scheduling - construction Critical Path Method (CPM) scheduling services or other scheduling services as may be required.
 - vi. Surveying - surveys of Authority and Client-owned, or to be acquired, properties to establish boundaries, utility locations, etc.
 - vii. Test Boring - test borings services for the purpose of analyzing sub-surface soil conditions on Authority construction sites.
 - viii. Testing - technical testing services on various construction projects to assure adherence to quality of materials and design intent.
- e. Credit Facility - municipal bond insurance, letters of credit, surety bonds or other contracts that secure the repayment of obligations issued by DASNY, constitute part of a debt service reserve fund or provide liquidity for obligations rendered for purchase or redemption.
 - f. Custody and Safekeeping Services - custody and safekeeping services to secure Authority investments and receive and evaluate underlying collateral.
 - g. Data Processing Services - including report generating and printing services for DASNY which are not programmed to be run on site, programming services as may be required and systems maintenance and support.
 - h. Equipment Maintenance - maintenance for the routine service and repair of office, data processing or other equipment.
 - i. Financial Advice - independent advice with regard to Authority investments and the structure of Authority debt instruments.
 - j. Legal - bond and other outside counsel retained by DASNY.

- k. Investment Banking - purchase of Authority bonds, including assistance in the preparation, marketing, distribution and sale of Authority debt issues.
 - l. Management Consulting - analysis and recommendations concerning DASNY's structure and operation, including but not limited to, data processing and telecommunications services and training.
 - m. Minority Business Enterprise Consultants - technical assistance services to Minority Owned Business Enterprises to facilitate their participation in DASNY's construction program
 - n. Mortgage Servicing - services involving the collection of monthly mortgage payments on insured mortgages, maintaining escrow accounts, transmittal of mortgage insurance premiums to the insurer, submission of advances for insurance, filing of notices, and in the event of default, processing claims for benefits to the insurer.
 - o. Trustee Banking Services - banking services to monitor the timely receipt of debt service payments, compliance with reserve requirements, retirement of debt, collateral evaluations, and other services as required by the various bond resolutions.
 - p. Training - supervisory and special skills training to Authority employees.
 - q. Typographical/Printing – financial printing services based upon specifications and detail developed by DASNY; technical printing services relative to the reproduction of construction documents for the purpose of securing completion; graphic, layout, and printing services in connection with production of DASNY's annual report and any special reports that may be required.
 - r. Such other Professional Services DASNY determines to be necessary pursuant to paragraph A of Section 5 hereunder.
- (24) "Procurement Policy" shall mean the Procurement Policy of DASNY that was developed by the Board of DASNY pursuant to which these Guidelines were adopted.
- (25) "Procurement Record" shall mean: (i) the documentation of the deliberative process by which a Procurement Contractor is selected and the approach taken in the procurement process; and (ii) the documentation pertaining to each contract amendment to any Procurement Contract.

- (26) “Re-bid Contracts” means contract opportunities being re-bid or re-solicited within forty-five business days after bids or proposals were originally due pursuant to publication in the Contract Reporter.
- (27) “Small Business” shall have the same meaning ascribed thereto by Article 15-A of the Executive Law and shall include a business which has a significant business presence in the State of New York, is independently owned and operated, not dominant in its field and employs, based on its industry, a certain number of persons as determined by the Director of the Division of Minority and Women’s Business Development, but not to exceed three hundred, taking into consideration factors which include, but are not limited to, Federal small business administration standards pursuant to 13 CFR part 121 and any amendments thereto.
- (28) “Source Selection Method” shall mean any method of procurement set forth in Paragraphs B and C of Section 3 of these Guidelines.
- (29) “The 2010 Disparity Study” shall refer to the disparity study conducted by the Director of the Division of Minority and Women-Owned Business Development regarding the participation of Minority and Women-Owned Business Enterprises in the state contracting marketplace.
- (30) “Women-Owned Business Enterprise” shall have the same meaning ascribed thereto by Article 15-A of the Executive Law and shall include any business enterprise, including a sole proprietorship, partnership or corporation that is:
- a. at least fifty-one percent owned by one or more United States citizens or permanent resident aliens who are women, or, in the case of a publicly-owned business, at least fifty-one percent of the common stock or other voting interests of which is owned by United States citizens or permanent resident aliens who are women;
 - b. an enterprise in which the women ownership is real, substantial and continuing;
 - c. an enterprise in which the women ownership has, and exercises, the authority to control independently day-to-day business decisions;
 - d. an enterprise authorized to do business in New York State, independently owned and operated, and not dominant in its field;

- e. an enterprise owned by an individual or individuals, whose ownership, control and operation are relied upon for certification, with a personal net worth that does not exceed three million five hundred thousand dollars, as adjusted annually on the first of January for inflation according to the consumer price index of the previous year; and
- f. an enterprise that is a Small Business as defined in Article 15-A of the Executive Law.

Section 3. SOURCE SELECTION METHODS

- A.
 - 1. All Procurement Contracts shall be awarded using a Competitive Basis unless these Guidelines, the Procurement Policy and any applicable provisions of law permit the use of another Source Selection Method. Such contracts shall be awarded: (i) in accordance with the Act, other applicable provisions of law, the Procurement Policy, these Guidelines and other applicable DASNY procedures, including without limitation any procedure relating to the solicitation of quotes from qualified vendors; (ii) on terms most favorable to DASNY and its Clients; and (iii) be supported by a written Procurement Record.
 - 2. Prior to using any other Source Selection Method, DASNY must, in accordance with the requirements of section 162 of the State Finance Law insofar as it applies to public authorities, first ascertain whether the goods or services are required to be obtained from a Preferred Source as provided in Section 3.C.6 of these Guidelines.

B. SOURCE SELECTION METHODS (COMPETITIVE BASIS): Subject to the requirements of these Guidelines, Procurement Contractors may be selected using one of the following competitive Source Selection Methods:

- 1. Centralized Contracts. Services, goods or materials may be obtained through contracts or other arrangements of the State of New York (e.g., New York State Office of General Services) or the United States General Services Administration or contracts or other arrangements of any health care, educational institution or local governmental Clients provided that the procurement complies with applicable procurement laws, regulations and guidelines for the use of such contracts.
- 2. Comparative Pricing. Solicitation of qualified potential contractors and analysis of the cost of each good, material or service required.

3. Competitive Bid. Solicitation of price bids by advertising for specified services (other than Professional Services), goods, materials, to be awarded to the lowest responsible bidder after the submission of a responsive bid.
4. Competitive Negotiations. Solicitation of qualified potential contractors who have submitted materials for a Procurement Contract not subject to Competitive Bid, which permits further negotiation of their proposals, including prices for contract award, on the basis of a formal evaluation of the characteristics, quality and cost of such proposals.
5. Mental Hygiene and SUNY Dormitory Projects Under \$80,000. DASNY may award Procurement Contracts valued at eighty thousand dollars (\$80,000) or less (or such other monetary limit as shall be specified in applicable law) utilizing Comparative Pricing for authorized Mental Hygiene and SUNY Dormitory projects.
6. Job Order Contracts. DASNY may award Procurement Contracts or work orders to Procurement Contractors who have been selected, pursuant to a Competitive Basis, to participate in the Job Order Contract program. A Job Order Contract consultant shall be selected to develop contract documents necessary to procure Job Order Contractors, and to implement execution procedures and training.
7. Requests for Proposals. Solicitation of specific proposals to determine the proposer's understanding of identified financial, organizational, logistical and technical requirements and/or problems and detailing elements of performance including techniques and procedures as well as prices. Award of a Procurement Contract using this method is made on the basis of a formal evaluation of the qualifications of the proposers and the characteristics, quality and cost of such proposals.
8. Requests for Qualifications. A solicitation used in connection with any Procurement Contract to be awarded on a Competitive Basis for which DASNY is authorized by law to establish a pre-qualified list of Procurement Contractors in order to retain a qualified pool of Procurement Contractors.
9. Term Contracts. Procurement Contracts entered into by DASNY on a Competitive Basis for a specific period of time for either (i) Professional Services or (ii) other than Professional Services.
10. Other State Authority Procurement Contracts – Procurement Contracts of

other state authorities as defined in section 2 of the Public Authorities Law shall be deemed a Procurement Contract of DASNY subject to:

- (i) written approval from the President, in his or her sole discretion, which approval shall include a statement that the use of the applicable state authority Procurement Contract is necessary to address a DASNY and/or DASNY client need; and
- (ii) a written determination by DASNY's General Counsel that:
 - (a) the subject matter of the other state authority Procurement Contract is not covered by another valid and existing DASNY procurement (in lieu of which, the DASNY procurement shall apply); and
 - (b) the selected vendor has been procured by the other state authority on a competitive basis in a manner which is substantially consistent with DASNY's Guidelines and consistent with all other applicable law.

C. SOURCE SELECTION METHODS (NON-COMPETITIVE BASIS):

Subject to the requirements of these Guidelines, Procurement Contractors may be selected using one of the following non-competitive Source Selection Methods:

1. Assignment. DASNY may acquire contracts through assignment from Clients or their legal subsidiaries. The assignor shall certify that such contracts were awarded pursuant to applicable procurement laws, regulations and guidelines and, if required, that a competitive process was employed.
2. Completion Contract. DASNY may enter into contracts for the completion of existing contracts that have been the subject of contractor default, termination or the exercise of owner's right to do work. Except as otherwise required by any law or regulation, DASNY shall seek competition to the extent required by procedures adopted by DASNY.
3. Designation. DASNY may enter into Procurement Contracts with Procurement Contractors that are designated by Clients or their legal subsidiaries, provided that such designation is not inconsistent with any legal requirement applicable to DASNY. The designator, in the case of public clients, shall certify that such Procurement Contractors were selected pursuant to applicable procurement laws, regulations and guidelines and, if required, that a competitive process was employed.

4. Emergency Contract. In the case of an emergency arising out of an accident or other unforeseen occurrence or condition whereby circumstances affecting property or other interests of DASNY or life, health or safety require immediate action, the President or an Officer designee may award a Procurement Contract without the use of a Competitive Basis. A record setting forth the circumstances under which such contract was let shall be maintained with the contract.

5. Certain Discretionary Purchases: Public Authorities Law §2879[3][b][i] authorizes the Board of Directors to define the circumstances under which DASNY may facilitate (a) the purchase of goods or services from Small Businesses or certified Minority or Women-Owned Business Enterprises, and (b) goods or technology that are recycled or remanufactured, in an amount not exceeding two hundred thousand dollars without a formal competitive process. In accordance with this authority, DASNY shall establish a Discretionary Purchase Procedure setting forth the parameters under which procurements for such goods or services may be made. Such procedure shall at a minimum contain provisions relating to: the development and maintenance of a list of qualified Small Business and certified Minority of Women-Owned Business Enterprises; the provision of notice and compliance with State publication requirements; and the duty to maintain a written Procurement Record in accordance with these Guidelines. Such Discretionary Purchases shall not exceed two hundred thousand dollars and shall comply with applicable law (including section 162 of the State Finance Law insofar as it applies to public authorities). Notwithstanding the definition of “small business” contained in Section 2(27) hereof, for purposes of certain discretionary purchases, “small business” shall mean a business that is resident in this State, independently owned and operated, not dominant in its field, and employs not more than one hundred people.

6. Preferred Sources. Goods or services may be available from any provider who has been afforded Preferred Source status in accordance with section 162 of the State Finance Law, including the Department of Correctional Services Industries Program and qualified charitable agencies for the blind. If a Preferred Source has goods or services available in the form, function and utility consistent with the needs of DASNY, such goods or services shall be procured in accordance with the requirements of State Finance Law section 162. If a good or service is not available in form, function and utility consistent with the needs of DASNY from a Preferred Source, DASNY may then exercise its authority to utilize any of the Source Selection Methods set forth in these Guidelines.

7. Single Source. When DASNY determines, and the President approves in writing, that a Procurement Contract may be awarded on other than a Competitive Basis because, even though two or more firms can supply the required goods or services, one particular firm has unique knowledge or expertise with respect to the required goods or services rendering the use of a Competitive Basis method of selection impractical or other material or substantial reasons exist for awarding the Procurement Contract on other than a Competitive Basis.
8. Sole Source. When DASNY determines, and the President approves in writing, that there is only one source for required goods or services, a Procurement Contract for that good or service may be awarded on other than a Competitive Basis. In addition, Exempt Contracts, other than Completion Contracts, may also be procured on a Sole Source basis without the approval of the President.

Section 4. PUBLICATION REQUIREMENTS FOR SOURCE SELECTION METHODS

The following publication requirements shall apply to Procurement Contracts:

- A. Methods of obtaining Bids and Proposals. The solicitation of bids, proposals or submissions of qualification data or offers for Procurement Contracts shall be made by DASNY in a manner determined by DASNY to be the most cost effective method of providing reasonable competition. For Procurement Contracts that must be awarded on a Competitive Basis, the requirements may include advertisement in appropriate newspapers or trade journals and may also include direct mailings to firms considered qualified and such other outreach mechanisms as are consistent with the policy of these Guidelines, including the Minority and Women-Owned Business Enterprise provisions herein.
- B. Procurement Opportunities Newsletter – Contracts Under \$50,000. In the case of Procurement Contracts having a value greater than five thousand dollars (\$5,000) but less than fifty thousand dollars (\$50,000), DASNY will provide a quarterly listing of such Procurement Contracts for publication in the Procurement Opportunities Newsletter (“Contract Reporter”) published by the New York State Department of Economic Development to the extent permitted or required by law.
- C. Procurement Opportunities Newsletter – Contracts \$50,000 or More. In the case of Procurement Contracts in the actual or estimated amount of fifty thousand dollars (\$50,000) or more, the Authority will place a notice of all such opportunities in the Contract Reporter.

- D. Publication on Authority's Website - All competitive Procurement Contracts should be made available on DASNY's website concurrent with or after publication in the NYS Contract Reporter.
- E. Exemptions from Publication Requirement - The requirements in Paragraphs B, C and D above relative to publications in the Contract Reporter and on DASNY's website shall not apply to Procurement Contracts awarded pursuant to the following method
1. Assignment;
 2. Centralized Contracts;
 3. Critical Contracts;
 4. Designation;
 5. Emergency Contracts;
 6. Exempt Contracts;
 7. Preferred Sources;
 8. Re-bid Contracts;
 9. Single Source; or,
 10. Sole Source.
- F. Post-Award Publication Requirements- Whenever a Procurement Contract is let or awarded as a Critical Contract, Emergency Contract, Single Source or Sole Source and a notice has not been published in the Contract Reporter prior to the award or letting of the contract as permitted by Paragraph E above, DASNY shall, after the award or letting of the Procurement Contract, publish a notice of such award or letting stating the basis for not publishing advance notice of the procurement.

Section 5. REQUIREMENTS REGARDING THE SELECTION OF CERTAIN PROCUREMENT CONTRACTORS

- A. Procurement Contracts for Professional Services. DASNY shall award Procurement Contracts for Professional Services on a Competitive Basis unless the Procurement Contract is an Exempt Contract or is awarded using any of the non-competitive Source Selection Methods. Before entering into a Procurement Contract for Professional Services, DASNY should consider whether the contemplated services are best provided by Authority staff or external consultants. Capacity, expertise and cost shall be considered in making the determination. In addition, DASNY shall assure that the award of such contract is made to a Procurement Contractor evidencing proven experience with projects of the scope, magnitude and complexity that are the subject of the contract and that such contractor has the ability to perform all work required in a professional and timely manner. DASNY shall also consider factors such as financial responsibility, reliability, skill, past performance on Authority projects, judgment and integrity.

As part of the competitive process, DASNY shall:

1. solicit proposals from several firms known to be qualified in the area of the service to be provided;
2. evaluate those proposals received on the basis of the proposers' qualifications and other appropriate factors recited in the Request for Proposals. Contract price and fee may be the subject of Competitive Negotiation;
3. establish a Procurement Record;
4. in the procurement of architectural, engineering, construction management and surveying services with respect to Authority projects, DASNY may request such professional firms to submit statements of their qualifications (RFQ's) and performance data and rank each professional firm submitting such statements and performance data on the basis of its demonstrated competence and qualifications for the type of professional services rendered. DASNY may utilize Competitive Negotiations for architectural, engineering construction management and surveying services with the highest qualified professional firm at a fee determined by DASNY to be fair and reasonable;
5. DASNY shall not refuse to negotiate with an architecture, engineering or surveying firm solely because the ratio of the "allowable indirect costs" to direct labor costs of such professional firm or the hourly labor rate in any labor category of such professional firm exceeds a limitation generally set by DASNY in the determination of the reasonableness of the estimated cost of services to be rendered by such professional firm, but rather DASNY should also consider the reasonableness of cost based on the total estimated cost of the service of such professional firm which should include, among other things, all the direct labor costs of such professional firm for such services plus all "allowable indirect costs," other direct costs, and negotiated profit of such professional firm. "Allowable indirect costs" of such a professional firm are defined as those costs generally associated with overhead which cannot be specifically identified with a single project or contract and are considered reasonable and allowable under specific State contract or allowable limits;
6. maintain written procedures to be used in connection with Requests for Proposals and Competitive Negotiations that are consistent with these Guidelines and that contain provisions relating to the solicitation, evaluation and selection of service contractors to which Procurement Contracts for Professional Services are to be awarded. These procedures

shall also provide for, among other things, the promotion of Minority and Women-Owned Business Enterprises, New York State Business Enterprises, New York State Residents and Small Businesses and an assessment of the Diversity Practices of Procurement Contractors in accordance with the provisions of Article 15-A of the Executive Law and any regulations promulgated thereunder; and

7. request contractors to submit completed New York State Vendor Responsibility Questionnaires which shall be processed according to the procedures adopted by the Council of Contracting Agencies pursuant to Executive Order No. 125 in accordance with the procedures adopted by DASNY pursuant to these Guidelines. In addition, DASNY may request additional information from contractors deemed necessary to assist in making determinations to award Procurement Contracts.

The requirements of this subsection shall also apply to the award of contracts for the retention of outside counsel and independent auditors.

- B. Procurement Contracts for Non- Professional Services- Construction. The award of construction contracts by DASNY is authorized by legislation that may or may not require contracts to be awarded pursuant to Competitive Bids and the drawing of separate specifications for the major categories of work, i.e., plumbing, electrical and heating, ventilating, and air conditioning work. In those program areas where Competitive Bids are not required by statute, DASNY shall select its construction contractors using a Competitive Basis (including Competitive Bids) unless the Procurement Contract is an Exempt Contract or is made using any of the following Source Selection Methods: Assignment, Designation, Emergency Contract, Single Source or Sole Source. In addition, all Authority-held construction contracts must require compliance by contractors and subcontractors with the provisions of the Labor Law requiring the payment of prevailing wage rates.

Any method of procurement employed for any construction contract shall assure that the work is done by qualified and experienced contractors at the lowest price to DASNY. If a contract is awarded on a Competitive Basis, DASNY shall assure that the award of such contract is made to a contractor evidencing proven experience with projects of the scope, magnitude and complexity of the facilities that are the subject of the contract and that such contractor has the ability to perform all work required in a professional and timely manner. In addition, DASNY shall consider factors such as financial and other responsibility, reliability, skill, past performance on Authority projects, judgment and integrity. Determinations of the responsibility of bidders shall be made consistent with the guidelines established by Executive Order No. 170.1.

For construction contracts of ten thousand dollars (\$10,000) or more, Procurement Contractors shall submit completed New York State Vendor Responsibility Questionnaires which shall be processed according to the procedures adopted by the Council of Contracting Agencies pursuant to Executive Order No. 125. In addition, DASNY may request additional information from Procurement Contractors deemed necessary to assist in making determinations to award Procurement Contracts.

DASNY shall maintain written procedures consistent with these Guidelines concerning the solicitation, evaluation and selection of Procurement Contractors to which Procurement Contracts for construction services are to be awarded. These procedures shall also provide for, among other things, the promotion of Minority and Women-Owned Business Enterprise, New York State Business Enterprises, New York State Residents and Small Businesses and an assessment of the Diversity Practices of Procurement Contractors in accordance with the provisions of Article 15-A of the Executive Law and any regulations promulgated thereunder.

All Alternative Project Delivery System contracts shall be awarded pursuant to a Request for Proposals Source Selection Method. Alternative Project Delivery System contracts shall not be utilized unless: (i) this method of procurement is permitted under applicable law; and (ii) DASNY and its client concur in writing prior to the commencement of the procurement process that the use of an Alternative Project Delivery System contract is in the best interests of the project and in support of that determination, identify the specific benefits, such as schedule, financial, or project feasibility, expected to be gained from an Alternative Project Delivery System method of procurement.

C. Procurement Contracts for Goods and Other Non-Professional Services. DASNY shall award Procurement Contracts for goods (including but not limited to, materials, fixtures, furnishings and equipment) and services (other than Professional Services and construction services) in the following manner:

1. Consult with the Client to establish a realistic furnishings and equipment budget based upon that Client's program.
2. Prepare, when required, Competitive Bid documents and comply with advertisement requirements or, if Competitive Bidding is not required by statute, utilize a Competitive Basis (including Competitive Bids) unless the Procurement Contract is an Exempt Contract or is made using one the following Source Selection Methods: Assignment, Centralized Contracts, Designation, Emergency Contract, Preferred Source, Discretionary Purchases, Single Source or Sole Source.

3. Evaluate Competitive Bids received or analyze Comparative Pricing costs and make recommendations to the client.
4. Prepare contracts and/or purchase orders.
5. Monitor vendors for quality control and timely deliveries.
6. Assist the Client in the receipt and placing of furnishings and equipment.
7. Verify the quantities received and the quality of the products in light of the specifications, and monitor the vendor invoices for timely payments.
8. DASNY shall maintain written procedures that are consistent with these Guidelines concerning the solicitation, evaluation and selection of vendors and suppliers to which Procurement Contracts for goods and materials shall be awarded. These procedures shall provide for, among other things, the promotion of Minority and Women-Owned Business Enterprises, New York State Business Enterprises, New York State Residents and Small Businesses and an assessment of the Diversity Practices of Procurement Contractors in accordance with the requirements of Article 15-A of the Executive Law and any regulations promulgated thereunder.

D. Review of Unsuccessful Bids (Debriefing Requirements). In the event that an unsuccessful bidder or proposer requests DASNY to review the award of a Procurement Contract to a bidder or proposer other than itself, DASNY shall afford the unsuccessful bidder or proposer the opportunity to review its bid or proposal with DASNY. In addition, DASNY shall provide the reasons to the unsuccessful bidder or proposer for not receiving the award of the Procurement Contract. DASNY shall ensure that in the process of reviewing the bid or proposal of an unsuccessful bidder or proposer that such bidder or proposer is treated in a fair and equitable manner.

E. Promotion of Minority and Women-Owned Business Enterprises. It is the goal of DASNY to (a) promote and assist participation by Certified Minority and Women-Owned Business Enterprises in competition for Procurement Contracts and to (b) award a fair share of Procurement Contracts to Certified Minority and Women-Owned Business Enterprises. It is also DASNY's goal to award contracts to those contractors who have evidenced compliance with the laws of the State of New York prohibiting discrimination in employment. DASNY recognizes that this goal may be achieved by awarding Procurement Contracts to those firms who have demonstrated that they do not discriminate in employment. Furthermore, if the performance of any contract permits or requires the use of a subcontractor, said contract shall require the contractor to act affirmatively to secure participation by Certified Minority and Women-Owned Business Enterprises in

such subcontract and to report the nature and extent of such efforts to DASNY. All Procurement Contracts, where required, shall be in compliance with Article 15-A of the Executive Law.

1. In furtherance of the above, and to promote the use of Certified Minority and Women-Owned Enterprises in Procurement Contracts, DASNY shall:
 - i. establish appropriate goals for participation of Minority and Women-Owned Business Enterprises in Procurement Contracts and for the utilization of Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having Procurement Contracts with DASNY in accordance with Article 15-A of the Executive Law and any regulations promulgated thereunder.
 - ii. solicit offers from Minority and Women-Owned Business Enterprises known to have experience in the area of the goods or service to be provided, regardless of the type of contract.
 - iii. provide notice of any procurement to appropriate professional organizations that serve Minority and Women-Owned Business Enterprises so that members of these organizations are apprised of potential opportunities to contract with DASNY.
 - iv. maintain a database of Minority and Women-owned Business Enterprises that have expressed interest in doing business with DASNY and ensure that such entities receive direct notice of any impending procurements.
 - v. consult any list maintained by any State agency or department known to DASNY, including the Department of Economic Development, which identifies Minority and Women-Owned Business Enterprises by area of expertise and shall contact appropriate Certified Minority and Women-Owned Business Enterprises listed therein to solicit their offers.
 - vi. designate appropriate staff to oversee DASNY's programs established to promote and assist: (i) participation by certified Minority or Women-owned Business Enterprises in DASNY's procurement opportunities and facilitation of the award of procurement contracts to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having procurement contracts with DASNY; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other

entities having procurement contracts with DASNY. Such staff shall be familiar with the procurement of the types of construction, financial, legal or professional services utilized by DASNY, report directly to DASNY's President and either directly or through their designees participate in the procurement process.

- vii. Establish requirements to conduct procurements in a manner that will enable DASNY to achieve the maximum feasible portion of the goals established pursuant to subparagraph (i) of this subsection and that eliminates barriers to participation by Minority and Women-Owned Business Enterprises on Procurement Contracts. Such procedures shall, in accordance with the requirements of section 2879 of the Public Authorities Law, include, without limitation:
 - a. a requirement that each contract solicitation document accompanying each solicitation provide the expected degree of Minority and Women-Owned Business Enterprise participation;
 - b. provisions relating to joint ventures, under which a bidder may count toward meeting its Minority Business Enterprise Participation goal, the Minority and Women-Owned Business Enterprise portion of the joint venture;
 - c. provisions under which DASNY may waive obligations of the contractor relating to Minority and Women-Owned Business Enterprise participation after a showing of good faith efforts to comply with the requirements of these Guidelines and all applicable laws pursuant to the waiver provisions contained in Article 15-A of the Executive Law; and
 - d. verification that Minority and Women-Owned Business Enterprises listed in a successful bid are participating to the extent listed on the project for which the bid was submitted

- 2. In implementing the provisions of this Section E, DASNY shall:
 - a. Consider, where practicable, the severability of construction projects and other bundled contracts;
 - b. Implement a program that will enable it to evaluate each contract to determine the appropriateness of the established goal;
 - c. Consider compliance with the requirements of any federal law concerning opportunities for Minority and Women-Owned Business

Enterprises which effectuates the purpose of this section; and

- d. Consult the most recent disparity study pursuant to Article 15-A of the Executive Law.

F. Promotion of New York State Business Enterprises and New York State Residents. It is the goal of DASNY to promote the participation of New York State Business Enterprises and New York State Residents in Procurement Contracts. Accordingly, the following procedures shall apply:

1. DASNY shall collect and consult the specifications of New York State Business Enterprises in developing specifications for any Procurement Contract for the purchase of goods where possible, practicable, feasible and consistent with open bidding. DASNY shall, where feasible, make use of the stock item specification forms prepared by the New York State Commissioner of General Services, and where necessary, consult with said Commissioner in developing such specifications and making such determinations.
2. DASNY shall, with the cooperation of the Department of Economic Development and through cooperative efforts with contractors, provide for the notification of New York State Business Enterprises of opportunities to participate as subcontractors and suppliers on Procurement Contracts let by DASNY in an amount estimated to be equal to or greater than one million dollars and promulgating procedures which will assure compliance by contractors with such notification as a condition of awarding bids. Such contractors shall, as supplementary materials to their bids, document their efforts to encourage the participation of New York State Business Enterprises as suppliers and subcontractors on Procurement Contracts equal to or greater than one million dollars; attest to compliance with the federal Equal Employment Opportunity Act of 1972 (P.L. 92-261) as amended; and document their efforts to provide notification to New York State Residents of employment opportunities through listing any such positions with the community service division of the New York State Department of Labor or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.
3. DASNY shall include in all bid documents provided to potential bidders a statement that information concerning the availability of New York State subcontractors and suppliers is available from the New York State Department of Economic Development; a statement notifying potential bidders located in foreign countries that DASNY may assign or otherwise transfer offset credits created by such Procurement Contracts to third parties located in New York State, and it is the policy of New York State

to encourage the use of New York State subcontractors and suppliers, and to promote the participation of Certified Minority and Women Owned Business Enterprises where possible, in the procurement of goods and services.

4. DASNY, with the cooperation of the community service division of the New York State Department of Labor and through cooperative effort with contractors, shall provide for the notification of New York State Residents of employment opportunities arising in New York State out of Procurement Contracts let by DASNY in an amount estimated to be equal to or greater than one million dollars, and shall require contractors to submit post-award compliance reports documenting their efforts to provide such notification through listing any such positions with the community service division, or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.
 5. DASNY shall notify the New York State Commissioner of Economic Development of the award of a Procurement Contract for the purchase of goods from a Foreign Business Enterprise in an amount equal to or greater than one million dollars simultaneously with notifying the successful bidder therefore. DASNY shall not thereafter enter into a Procurement Contract for said goods until at least fifteen days has elapsed, except for Procurement Contracts awarded on an emergency or critical basis. The notification to the Commissioner of Economic Development shall include the name, address and telephone and facsimile number of the Foreign Business Enterprise, the amount of the proposed Procurement Contract and the name of the individual at the Foreign Business Enterprise or acting on behalf of the same who is principally responsible for the proposed Procurement Contract.
 6. DASNY shall comply with the provision of paragraphs (b), (c) and (d) of subdivision five of section 2879 of the Public Authorities Law in relation to dealing with discriminatory jurisdictions as such term is defined therein.
- G. Approval and Annual Review of Certain Contracts. DASNY may enter into Procurement Contracts for Professional Services for periods of longer than one year in accordance with these Guidelines provided such contracts are presented to the Board of DASNY for approval and review annually as part of the approval of the Annual Report on Procurement Contracts. Such Procurement Contracts may also be terminated by the Board of DASNY.
- H. Contracts Requiring Approval of the Board of DASNY. All Procurement Contracts for the general corporate purposes of DASNY, other than Exempt Contracts, that are in excess of three hundred thousand dollars (\$300,000.00), or

are for the retention of outside legal counsel or independent auditors must be approved by the Board of DASNY.

- I. Requirement that Procurement Contracts be in Writing. All Procurement Contracts shall be in writing, and except as provided in the DASNY By-Laws or separate Board resolution, approved and executed by an authorized officer of DASNY.
- J. Limitations on Contracts with Former Officers and Employees. DASNY shall not enter into a Procurement Contract with a former officer or employee of DASNY or any entity in which such officer or employee has an interest (including a position of employment with such entity) unless there has been compliance with these Guidelines and the applicable provisions of the Public Officers Law.
- K. Joint Source Selections. No provision of these Guidelines shall prohibit DASNY from undertaking any Source Selection Method (Competitive Basis) pursuant to Section 3[B] hereof for one or more Procurement Contracts jointly with one or more state authorities as defined in section 2 of the Public Authorities Law, provided however, that each requirement of such Source Selection Method (Competitive Basis) shall be satisfied with respect to any contractor selected by DASNY under a Joint Source Selection procurement, and that no other state authority shall be a party to any specific Procurement Contract authorizing the purchase of goods or services by DASNY resulting from such Source Selection Method (Competitive Basis).

Section 6. STANDARD PROVISIONS FOR PROCUREMENT CONTRACTS

- A. Procurement Contracts for Professional Services. Procurement Contracts for Professional Services shall detail the scope of services to be performed and the time frame for performance, the monitoring or reviewing of that performance by Authority personnel and, where appropriate, any permitted use of Authority supplies, facilities or personnel. Such contracts shall also state the compensation for the services, the schedule of payment, the pre-conditions for receiving payment from DASNY, procedures for termination of the contract and any other provisions deemed necessary or appropriate for each particular Contract. In addition, if performance of a particular Procurement Contract for Professional Services will require the use of subcontractors, the contract shall require the contractor to act affirmatively to secure such participation by Certified Minority and Women-Owned Business Enterprises and to report such efforts to DASNY.

- B. Requirements for All Procurement Contracts: All Procurement Contracts shall generally include, without limitation, the following provisions:
1. Scope of Services;
 2. Contract Price or Fee Structure;
 3. Method of Monitoring Work Performed;
 4. Use of Authority Supplies and Facilities;
 5. Use of Authority Personnel;
 6. Method or Basis of Payment;
 7. Compliance with Laws, Rules and Regulations;
 8. Contract Deemed Executory;
 9. Termination of Agreement for Cause and for Convenience;
 10. Suspension or Alteration of Agreement;
 11. Death of Consultant, if such consultant is an individual or partnership;
 12. Laws of New York State Apply;
 13. Creation of Independent Contractor Relationship;
 14. Provisions Required by Law Deemed Inserted;
 15. Certification of Non-Segregated Facilities and Non-Discrimination in Employment in Northern Ireland, compliance with Federal Equal Employment Opportunity Act, Commitment to New York State Business Enterprises and Non Collusive Bidding Requirement;
 16. Indemnity and Insurance;
 17. Severability;
 18. Promotion of Certified Minority and Women-Owned Business Enterprises; and
 19. Promotion of New York State Business Enterprises, New York State Residents and Small Businesses.
 20. Integrity Certification.
- C. Compliance with Procedures of the Procurement Unit. All Procurement Contracts shall be awarded in compliance with procedures adopted by the Procurement Unit within the Division of Construction for the applicable type of Procurement Contract.
- D. Compliance with Title 4 of Article 9 of Public Authorities Law. DASNY shall comply with all provisions of Title 4 of Article 9 of the Public Authorities Law as they relate to Procurement Contracts of DASNY; provided however, that DASNY shall not be required to comply with the provisions of subdivision one of section 2603-a of that statute (relating to the use of domestic steel) if the Managing Director of Construction has advised the Board of DASNY that the criteria contained in subdivision two of that section is satisfied. Under Public Authorities Law §2879-a and the regulations promulgated thereunder, Procurement Contracts in excess of one million dollars that either: (i) are to be paid for in whole or in part

from moneys appropriated by the State to DASNY for the purpose of paying such contractual expenditure; or (ii) are not to be awarded after a competitive process (regardless of funding source) are subject to approval by the Office of the State Comptroller (“OSC”) if OSC has notified DASNY in writing that any such contract or category of contracts requires the OSC’s approval. Upon the receipt of any such notice from OSC, DASNY shall include appropriate language in the affected Procurement Contract stating that the OSC’s approval is required for the Procurement Contract to be effective and valid and enforceable against the parties thereto.

E. Compliance with State Finance Law §§ 139-j and 139-k (the Procurement Lobbying Law). In order to comply with the Procurement Lobbying Law, DASNY has established Policy and Procedures pertaining to contacts regarding Procurement Contracts. Consistent with the Procurement Lobbying Law and DASNY’s Policies and Procedures, DASNY shall designate one or more persons who may be contacted by Procurement Contractors regarding a given procurement and shall require disclosure from Procurement Contractors of persons or organizations designated, retained or employed to attempt to influence the procurement process. DASNY shall incorporate a summary of the Policy and Procedures in all solicitation of proposals, bid documents or specifications. Procurement Contracts must contain a certification by the Procurement Contractor that all information provided to DASNY with respect to the Procurement Lobbying Law is complete, true and accurate, and a provision authorizing termination of the Procurement Contract where it is found that the Procurement Contractor intentionally violated the Procurement Lobbying Law. DASNY shall consider as part of its determination of responsibility of a Procurement Contractor whether there has been past or present non-compliance with the Procurement Lobbying Law.

F. Requirements for Procurement Contracts Based on Procurements of Other State Authorities. Where a DASNY contract is procured in accordance with the provisions of Section 3.B.10. hereof, to the extent the other state authority has negotiated a procurement contract with the subject vendor, the terms of the procurement contract of the other state authority shall apply to the DASNY Procurement Contract with said vendor to the extent the terms do not conflict with DASNY policy and standard contract terms. In the event the other state authority has not yet entered into a procurement contract with the subject vendor by the time the subject DASNY Procurement Contract is negotiated, the terms negotiated in the DASNY Procurement Contract shall control, provided however, that to the extent the other state authority subsequently negotiates more favorable terms with the subject vendor, the more favorable terms of the other state authority procurement contract shall be incorporated into DASNY’s Procurement Contract with the subject vendor.

Section 7. REPORT ON PROCUREMENT CONTRACTS

- A. Annual Report. Following each fiscal year, the Board of DASNY shall approve an annual report on Procurement Contracts as required by subdivision 7 of section 2879 of the Public Authorities Law. Such annual report will include: (i) these Guidelines and any amendments hereto; (ii) an explanation of these Guidelines and any amendments hereof; (iii) a list of the year's Procurement Contracts; (iv) a list of the aforesaid contracts entered into with New York State Business Enterprises (as defined in Public Authorities Law, §2879(3)(i)), and the subject matter and value thereof; (v) a list of the aforesaid contracts entered into with Foreign Business Enterprises and the subject matter and value thereof; (vi) a list of contracts exempted from reporting in the Contract Reporter and the basis for each exemption; and, (vii) the total fees, commissions or other compensations, by payee, paid for Professional Services. The percent of contracts awarded, and total compensation paid, to Minority and Women-Owned Business Enterprises as well as all referrals made and penalties imposed pursuant to Executive Law § 316 shall be reported in accordance with the provisions of Article 15-A of the Executive Law and need not be included in the Annual Report required by these Guidelines.
- B. Submission of Annual Report. The annual report on Procurement Contracts, after being approved by the Board of DASNY, shall be submitted to the Division of the Budget with copies to the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee and the Assembly Ways and Means Committee.
- C. Availability to Public. Copies of the annual report on Procurement Contracts shall also be available to the public upon reasonable request at DASNY's main office.
- D. Article 15-A Reports. Reports made pursuant to Article 15-A of the Executive Law, with respect to activities undertaken by DASNY to promote and increase participation by certified Minority and Women Business Enterprise Procurement Contractors, shall also include, without limitation, DASNY's annual goals for contracts with Minority-owned and Women-owned Business Enterprises; the number of actual contracts issued to Minority-owned and Women-owned Business Enterprises; and a summary of all waivers of the requirements of subdivisions 6 and 7 of section 313 of Article 15-A of the Executive Law allowed by DASNY during the preceding year, including a description of the basis of the waiver request and the rationale for granting such waiver. DASNY shall also include in such annual report whether or not it has been required to prepare a remedial plan, and, if so, the plan and the extent to which DASNY has complied with each element of the plan.

Section 8. MISCELLANEOUS PROVISIONS

- A. Amendment of Guidelines. Any modification or amendment of these Guidelines may be made by a Supplemental Resolution adopted at any duly constituted meeting of the Board of DASNY; provided, however, that no such modification or amendment shall abrogate the rights and duties of existing Authority contracts, the terms of which were established pursuant to these Guidelines.
- B. No Recourse Under these Guidelines. No provision contained solely in these Guidelines shall be the basis for any claim based on these Guidelines against any member, officer or employee of DASNY or DASNY itself.
- C. Effect of Noncompliance of Guidelines. Nothing contained in these Guidelines shall be deemed to alter, affect the validity of, modify the terms of or impair any contract or agreement made or entered into in violation of, or without compliance with, the provisions of these Guidelines.

Dated: December 9, 2015