

**NEW YORK STATE HIGHER EDUCATION CAPITAL
MATCHING (HECap) GRANT PROGRAM**

BOARD MEETING AGENDA

June 26th, 2024

2:00 pm

- I. Election of Chair of the Board
- II. Adoption of Minutes of September 27, 2023 Meeting
- III. Summary of the Previous Non-Competitive and Competitive Rounds
- IV. HECap Request for Grant Application Competitive Round 6 Awards
- V. HECap Request for Grant Application Competitive Round 7

A RESOLUTION OF THE NEW YORK STATE HIGHER EDUCATION CAPITAL
MATCHING (HECap) GRANT PROGRAM BOARD ELECTING THE CHAIR

BE IT RESOLVED by the Members of the New York State Higher Education Capital Matching (HECap) Grant Program Board that, Michael Smingler, Member, be elected Chair of the HECap Board and shall hold such office until the next Annual Meeting of the HECap Board or until his successor is elected.

This resolution shall take effect immediately.



Michael Smingler
Member



Honorable Patricia Fahy
Member

Honorable Toby Ann Stavisky
Member

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The New York State Higher Education Capital Matching Grant (“HECap”) Board Meeting was held in person and by videoconference technology among DASNY’s Offices in New York City, 28 Liberty Plaza, New York, New York and 515 Broadway, Albany, New York on Wednesday, September 27, 2023.

CALL TO ORDER / ROLL CALL

The Meeting was called to order by Michael Smingler at 1:00p.m. and a quorum was declared present. Mr. Smingler welcomed the Members, DASNY Staff and Members of the Public to the Meeting. The following Board Members and Staff were present:

HECap Board Members Present

Michael Smingler, Board Member
Honorable Patricia Fahy, Board Member
Honorable Toby Anne Stavisky, Board Member

DASNY Staff Present

Karen Hunter, Director of Grants Administration
Ann Shaw, Assistant Director, Grants Administration
Deanne DiPace, Senior Grant Administrator
Sean Rosney, Grant Administrator II
Danielle DiSorbo, Grant Administrator II
Ryan Brooks, Grant Program Assistant
Michaela Mullen, Grant Program Assistant

Various Members of DASNY and the public also were present and via Zoom

Election of the Chair of the HECap Board and Adoption of Minutes of the July 14, 2022 Meeting

Assemblymember Fahy made a motion to elect Michael Smingler as Chair to the HECap Board. Senator Stavisky seconded the motion and the following entitled resolution was unanimously adopted:

A RESOLUTION OF THE NEW YORK STATE HIGHER EDUCATION CAPITAL
MATCHING (HECap) GRANT PROGRAM BOARD ELECTING THE CHAIR

The minutes of the July 14, 2022 Board Meeting were reviewed and unanimously approved by the Members.

Adoption of by-Laws

Chair Smingler asked the members if there were any questions or concerns regarding the by-laws.

Senator Stavisky stated she may have some comments in two of the succeeding parts of the agenda she may have comments that reflect upon the bylaws, but thinks the bylaws, as presented, should be approved.

Chair Smingler acknowledged Senator Stavisky's statement and asked for a motion to approve the by-laws.

Upon a motion from Assemblymember Fahy and a second from Senator Stavisky, the HECap by-laws were adopted unanimously.

Summary of the Previous Non-Competitive and Competitive Rounds

Chair Smingler asked Ms. Hunter to provide a status update on the previously awarded grants and a summary of the applications received in the fifth request for grant applications.

Ms. Hunter summarized the HECap program, stating ahead of Round 1 all eligible projects have been paid in full and Round 1 is officially closed. For Round 2, approximately \$67 million dollars was awarded to 39 institutions in 2018, and of those projects 25 have fully executed Grant Disbursement Agreements (GDA's), 12 grantees withdrew their projects from the program, and 2 are still in process.

Ms. Hunter stated that for Competitive Round 3, approximately \$21.2 million dollars was awarded to 35 institutions in 2019 and of those projects, 10 have fully executed GDA's, 8 have withdrawn, and 17 are still in process.

Ms. Hunter advised for Competitive Round 4, approximately \$57.2 million dollars was awarded to 35 institutions in 2021 for projects to be completed between September 1, 2020 and September 1, 2024, and noted that the end date for those awards was extended due to COVID. She added that of those projects, 7 have fully executed grant disbursement agreements, 9 have withdrawn, and 19 are still in process.

Ms. Hunter stated this brings us to our Competitive Round 5, which the Board is considering today and reported that we have \$65 million dollars available from this request for grant applications, which was issued September 16, 2022, with projects to be completed between September 1, 2022 and September 1, 2025,

Ms. Hunter reported that we received 34 applications totaling \$66.8 million dollars. A team of 5 DASNY grant unit staff reviewed those project applications for eligibility and financial readiness. She informed the members that applications that receive a minimum score of 70 were to be awarded grants and that there were 30 applicants who achieved a 70 or above, with projects totaling approximately \$63.6 million dollars, and these awards are for the board's consideration today.

Award of HECap Grants – Resolution

Mr. Smingler addressed the members stating before us is a resolution to award HECap grants to the institution set forth in the resolution before the members for their consideration and if there were any questions regarding this resolution.

Assemblymember Fahy inquired about the numbers that were withdrawn in previous years, if that was due to COVID complications, with supply chain delays, or was that somewhat expected.

Ms. Hunter responded stating there is a variety of reasons why they might choose to withdraw. In some cases it was COVID, projects that were envisioned prior to COVID just were not going to be going forward as envisioned, and those institutions made the decision to make that withdrawal. We also have some institutions that decide not to utilize the funds because of the prevailing wage requirement. Just a variety of reasons.

Assemblymember Fahy added it has always had the prevailing wage requirement correct.

Ms. Hunter stated that is has and it adds financially so they have decided they are not going to go forward. She added that they also have to meet the MWBE requirements, that is something we can help them with, and we do offer waivers if they make best efforts and prove to us what they have tried to do. We have had withdrawals over each round, and those funds go back into the program for subsequent awards.

Assemblymember Fahy thanked Ms. Hunter for clarifying.

Chair Smingler asked if there were any further questions.

Senator Stavisky stated in the past she has ascertained the fact that the unappropriated funds are reappropriated for future awards, adding it was previously stated that of a \$65 million dollar award amount, approximately \$62 million was awarded so the difference is to be reappropriated for next year's matching group HECap money.

Ms. Hunter explained that the difference that was not utilized for Round 5 is included in the Round 6 amount that we will be offering.

Senator Stavisky advised there were two points that troubled her. Number one being the number of round 2 approvals where the contract is not closed. And for a variety of reasons, in round 2 and round 3 there are institutions, some of whom are receiving grants today if so approved, and that is a concern because it seems to me once they are appropriated and there is an expiration date, that is it. She added in Albany, she understands it is called "Pencils down" which seems appropriate and feels we should make an effort to clean up the round 2 and round 3 appropriations even if they have been spent, the book should be able to be closed on that.

Senator Stavisky stated her second point which was more troublesome referred to a story in today's New York Times, about how two institutions dealt with property taxes. Her concern is about one of the statements in the Times which said that a reduced percentage of the applicants are from New

York State and she feels we should take a look and include need as well as the other points in the formula for awarding the funds in the future.

Senator Stavisky added that if legislation is necessary, if it is in the statute, she would be happy to work with DASNY and others as she believes we can help the smaller institutions and Independent Colleges with smaller endowments from going under, as some are on the brink of closure and she feels they deserve the same opportunity and stated she has raised this concern on many occasions.

Assemblymember Fahy asked to clarify if this applies to the terms of some of the grant awards, or giving a special consideration when there is financially a college that has a smaller endowment proportion.

Senator Stavisky agreed and stated that was one way of dealing with. There must be other ways as well. In the way we award TAP, it is a “needs based” award based on family income, etc. and that concept, not the specifics but the idea that institutions have special needs and she feels that needs to be addressed. She added she is not in any way attacking the two institutions at all as she is a big fan of both institutions, but she is a bigger fan of trying to help the smaller institutions survive who may have a problem meeting the 3 to 1 match, or even those that are not in financial difficulty adding we need to even out the score so to speak.

Assemblymember Fahy inquired if that is something that is legislated in terms of scoring criteria or is there any consideration given to the strength of the institution or the size of the institution.

Ms. Hunter stated there would need to be a statutory change.

Assemblymember Fahy added that Senator Stavisky has raised some excellent points and she would be happy to follow up with her as well.

Assemblymember Fahy stated she may need to recuse herself on some items adding her husband is on the faculty at RPI, Rensselaer Polytechnic Institute. She indicated she did not see RPI on this list and was not certain if that was a moot point or not.

Ms. Hunter advised it was a moot point for this round.

Assemblymember Fahy moved the adoption of the following entitled resolution:

A RESOLUTION OF THE HIGHER EDUCATION CAPITAL MATCHING GRANT PROGRAM (HECap) BOARD AWARDING HECAP GRANTS

Senator Stavisky seconded the motion, and the Resolution was unanimously adopted.

HECap Request for Grant Application Competitive Round 6 – Resolution

Chair Smingler asked Ms. Hunter to provide a summary of process for the sixth competitive request for grant applications.

Ms. Hunter addressed the members stating the Board is being asked to authorize a Request for Grant Applications (RGA) to solicit and award HECap grants for the sixth competitive round. The draft RGA was provided to members for their review and it is substantially similar to prior request for grant applications just with changes to reflect the amounts available.

Ms. Hunter advised this RGA will be up to \$50 million in available funds for HECap projects to be completed between September 1, 2023 - September 1, 2026. She stated that the funding available is comprised of the \$40 Million HECap funds authorized in this year's enacted state budget, as well as rollover amounts from prior rounds. This resolution is before you for your consideration and asked if there were any questions.

Senator Stavisky asked if that takes into account the Institutions who have withdrawn.

Ms. Hunter advised in the affirmative.

Mr. Smingler stated the next item of business is to adopt the sixth request for grant applications and the process.

Assemblymember Fahy moved the adoption of the following entitled resolution:

A RESOLUTION OF THE OF THE NEW YORK STATE HIGHER EDUCATION CAPITAL MATCHING (HECap) GRANT PROGRAM BOARD APPROVING THE HECap REQUEST FOR GRANT APPLICATIONS FOR COMPETITIVE ROUND 6

Senator Stavisky seconded the motion, and the Resolution was unanimously adopted.

Chair Smingler stated this concludes the new business before the Board and asked if there was any other business to come before the Board today.

Assemblymember Fahy announced she did not have any questions but is happy to follow up on the Senators recommendations if possible.

Chair Smingler thanked the Board Members and DASNY Staff for their work done to date.

Assemblymember Fahy moved to adjourn the meeting, Senator Stavisky seconded the motion, and the meeting was adjourned at approximately 1:20 p.m.

Respectfully submitted,

HECap Summary May 31, 2024

Round Summary

HECap Round	Amount Appropriated	RGA Amount	Amount Awarded	Withdrawn	Adjustments**	Net Grant Amount	Executed Grant Disbursement Agreements	Grants In Process	Paid to Grantees
Non-Competitive*	\$150,000,000	NA	\$150,000,000	0	(\$11,133,140)	\$138,866,860	\$135,850,251	\$3,016,609	\$135,850,251
Total	\$150,000,000		\$150,000,000	0	(\$11,133,140)	\$138,866,860	\$135,850,251	\$3,016,609	\$135,850,251

HECap Round	Amount Appropriated	RGA Amount	Amount Awarded	Withdrawn	Adjustments**	Net Grant Amount	Executed Grant Disbursement Agreements	Grants In Process	Paid to Grantees
Competitive Round 1	\$30,000,000	\$40-44,000,000	\$35,458,980	(\$11,698,842)	(\$800,689)	\$22,959,449	\$22,959,449	\$0	\$22,959,448.53
Competitive Round 2	\$60,000,000	\$80,000,000	\$67,128,101	(\$18,251,736)	(\$1,546,753)	\$47,329,612	\$47,329,612	\$0	\$43,178,305.91
Competitive Round 3	\$30,000,000	\$36,000,000	\$21,289,377	(\$5,200,205)	(\$9,476)	\$16,079,696	\$11,883,314	\$4,196,382	\$9,812,398.00
Competitive Round 4	\$30,000,000	\$65,000,000	\$57,246,636	(\$10,639,360)	(\$322,060)	\$46,285,216	\$20,557,140	\$25,728,076	\$18,248,291.00
Competitive Round 5	\$45,000,000	\$65,000,000	\$63,612,805	(\$5,000,000)	\$0.00	\$58,612,805	\$0.00	\$58,612,805	\$0.00
Competitive Round 6	\$40,000,000	\$50,000,000	\$37,998,984			\$37,998,984			
Competitive Round 7	\$40,000,000	TBD							
Total	\$275,000,000		\$282,734,883	(\$50,790,143)	(\$2,678,978)	\$229,265,762	\$102,729,515	\$88,537,263	\$94,198,443
Total All Rounds	\$425,000,000		\$432,734,883	(\$50,790,143)	(\$13,812,118)	\$368,132,622	\$238,579,766	\$91,553,872	\$230,048,695

*Maximum amount of \$150M was allocated to institutions. Adjusted amount reflects difference between amount allocated and final grant amounts.

**Adjustments reflect amounts made available for future rounds due to difference between amount awarded and final grant amount.

***Amount awarded column reflects awards pending approval at the next Board meeting

Statutory Authorization

Total Authorized Since Inception	\$425,000,000
Grant Amount Committed	(\$368,132,622)
Amount Available to date for Round 7	\$56,867,378



HECap Summary May 31, 2024

Round Summary

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Competitive Round 6	\$40,000,000	\$50,000,000	\$37,998,984			\$37,998,984			
Competitive Round 7	\$40,000,000	TBD							
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**Adjustments reflect amounts made available for future rounds due to difference between amount awarded and final grant amount.

***Amount awarded column reflects awards pending approval at the next Board meeting

Statutory Authorization

Total Authorized Since Inception	\$425,000,000
Grant Amount Committed	(\$368,132,622)
Amount Available to date for Round 7	\$56,867,378

HECap Competitive Round 3 Status Report as of 5/31/24												
Project ID	Grant Status	Grantee County	Grant Type	Competitive Round	Grantee	Project Description	Current Status	Award Amount	Grant Amount	Disbursed	Balance	Date GDA Signed by OSC
19161	Withdrawn by Sponsor	Nassau County	HECap-CR	CR3	Adelphi University	Renovation of Six Student Housing Facilities	Initial Submission	\$1,000,000.00	\$1,000,000.00	0	\$1,000,000.00	
19162	Closed	Albany	HECap-CR	CR3	Albany College of Pharmacy and Health Sciences	Renovation of the Biology Laboratory for Health Sciences Education	GDA Execution	\$253,820.00	\$253,820.00	\$253,820.00	\$0.00	12/08/23
19163	Withdrawn by Sponsor	Erie	HECap-CR	CR3	Canisius University of Buffalo, New York	Replacement of the Roof of the Science Building	In Process	\$278,350.00	\$278,350.00	0	\$278,350.00	
19164	Active	Saint Lawrence	HECap-CR	CR3	Clarkson University	Renovation of the Educational Resources Center Atrium, including the Purchase and Installation of Equipment	In Process	\$750,000.00	\$750,000.00	0	\$750,000.00	
19166	Closed	New York	HECap-CR	CR3	Cooper Union for the Advancement of Science and Art, The	Technology Upgrades at The Cooper Union	GDA Execution	\$181,582.00	\$181,582.00	\$181,582.00	\$0.00	02/15/24
19167	Withdrawn by Sponsor	Erie	HECap-CR	CR3	Damen University	Phase 8 Upgrades to the Science Facilities	In Process	\$282,072.00	\$282,072.00	0	\$282,072.00	
19168	Active	Erie	HECap-CR	CR3	D'Youville University	Creation of an Incubator for Nursing and Interprofessional Care, including the Purchase of Equipment and Furniture	GDA Execution	\$76,750.00	\$70,916.00	\$0.00	\$70,916.00	10/13/23
19169	Withdrawn by Sponsor	Bronx	HECap-CR	CR3	Fordham University	Renovation of Open Space, including a New Front Entrance and Community Park	In Process	\$1,000,000.00	\$1,000,000.00	0	\$1,000,000.00	
19170	Closed	Nassau	HECap-CR	CR3	Hofstra University	Renovation and Upgrades to Two Student Residential Facilities	GDA Execution	\$715,000.00	\$715,000.00	\$715,000.00	\$0.00	04/22/21
19171	Closed	Westchester	HECap-CR	CR3	Iona University	Renovation of the Hynes Athletic Center East	GDA Execution	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00	\$0.00	04/05/21
19172	Closed	Nassau	HECap-CR	CR3	Long Island University	Construction of a Confocal Microscopy Core Laboratory	GDA Execution	\$618,250.00	\$618,250.00	\$618,250.00	\$0.00	01/29/24
19173	Active	Bronx	HECap-CR	CR3	Manhattan College	Phase 4 Renovation to the Leo Engineering Building	GDA Execution	\$1,000,000.00	\$1,000,000.00	\$0.00	\$1,000,000.00	12/11/23
19174	Closed	Albany	HECap-CR	CR3	Maria College	Creation of New Campus Entrances and Accessibility Upgrades to Two Buildings	GDA Execution	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00	\$0.00	02/28/22
19175	Withdrawn by Sponsor	Dutchess	HECap-CR	CR3	Marist College	Renovation of an Office Space as well as Purchase and Installation of Equipment	In Process	\$744,398.00	\$744,398.00	0	\$744,398.00	
19176	Closed	New York	HECap-CR	CR3	Marymount Manhattan College	Modernization of Elevators at the New York City Campus	GDA Execution	\$437,500.00	\$437,500.00	\$437,500.00	\$0.00	02/06/23
19177	Withdrawn by Sponsor	Erie	HECap-CR	CR3	Medaille University	Construction of Baseball/Softball Fields	In Process	\$1,000,000.00	\$1,000,000.00	0	\$1,000,000.00	
19178	Closed	Westchester	HECap-CR	CR3	Mercy College	Purchase of Equipment and Furniture for the Mercy Manhattan Campus	GDA Execution	\$750,000.00	\$750,000.00	\$750,000.00	\$0.00	06/22/22
19179	Closed	Nassau	HECap-CR	CR3	Molloy University	Construction of a Residence Hall	GDA Execution	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00	\$0.00	02/03/22
19180	Active	Monroe	HECap-CR	CR3	Nazareth College of Rochester	Replacement of Windows in Smyth Hall	GDA Phase Grantee	\$312,500.00	\$312,500.00	0	\$312,500.00	
19181	Active	New York	HECap-CR	CR3	New York Academy of Art	Purchase of Safety, Technology and Classroom Equipment	GDA Phase Grantee	\$59,375.00	\$55,733.00	0	\$55,733.00	
19182	Closed	Westchester County	HECap-CR	CR3	New York Medical College	Expansion of the Bio Inc. Facility	GDA Execution	\$450,000.00	\$450,000.00	\$450,000.00	\$0.00	08/16/22
19183	Closed	Niagara	HECap-CR	CR3	Niagara University	Upgrades to the Kiernan Center	GDA Execution	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00	\$0.00	10/23/23
19184	Active	New York	HECap-CR	CR3	Pace University	Renovation of the Sixth Floor Classroom at One Pace Plaza West	GDA Execution	\$1,000,000.00	\$1,000,000.00	0	\$1,000,000.00	01/24/24
19185	Withdrawn by Sponsor	Franklin	HECap-CR	CR3	Paul Smith's College of Arts and Sciences	Renovation and Upgrades to the Freer Science Building including Furniture and Equipment	In Process	\$75,385.00	\$75,385.00	0	\$75,385.00	
19186	Active	Monroe	HECap-CR	CR3	Rochester Institute of Technology	Expansion and Upgrade of RIT's Semiconductor and Microsystems Fabrication Laboratory	In Process	\$1,000,000.00	\$1,000,000.00	0	\$1,000,000.00	
19187	Closed	Westchester	HECap-CR	CR3	Sarah Lawrence College	Purchase and Installation of a new HVAC System	GDA Execution	\$712,500.00	\$712,500.00	\$712,500.00	\$0.00	04/25/22
19188	Active	Cattaraugus	HECap-CR	CR3	St. Bonaventure University	Purchase of Equipment for Francis Hall	In Process	\$1,000,000.00	\$1,000,000.00	0	\$1,000,000.00	
19189	Withdrawn by Sponsor	Kings	HECap-CR	CR3	St. Francis College	Phase 2 Upgrades to the College Library	In Process	\$50,000.00	\$50,000.00	0	\$50,000.00	
19190	Closed	Queens	HECap-CR	CR3	St. John's University, New York	Technology Upgrades at the Staten Island Campus	GDA Execution	\$381,035.00	\$381,035.00	\$381,035.00	\$0.00	03/09/21
19191	Withdrawn by Sponsor	Kings	HECap-CR	CR3	St. Joseph's University, New York	Renovations to the Dillon Center Observation Room as well as Construction of Bathrooms	In Process	\$170,000.00	\$170,000.00	0	\$170,000.00	
19192	Active	Rockland	HECap-CR	CR3	St. Thomas Aquinas College	Purchase of Equipment and Furniture	In Process	\$78,149.00	\$78,149.00	0	\$78,149.00	
19165	Closed	New York	HECap-CR	CR3	Trustees of Columbia University in the City of New York, The	Construction of an Open Space, including a Series of Plazas, Streetscapes and Green Spaces	GDA Execution	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00	\$0.00	10/20/23
19193	Active	Monroe County	HECap-CR	CR3	University of Rochester	Expansion of Helen Wood Hall	In Process	\$1,000,000.00	\$1,000,000.00	0	\$1,000,000.00	
19194	Closed	Oneida	HECap-CR	CR3	Utica University	Campus-Wide Improvements to Safety and Security Equipment	GDA Execution	\$312,711.00	\$312,711.00	\$312,711.00	\$0.00	02/28/22
19195	Withdrawn by Sponsor	Richmond	HECap-CR	CR3	Wagner College	Construction of an Athletic Facility	In Process	\$600,000.00	\$600,000.00	0	\$600,000.00	

HECap Competitive Round 4													
Status Report as of 5/31/24													
Project ID	Grant Status	Grantee County	Grant Type	Competitive Round	Grantee	Project Description	Current Status	Award Amount	Grant Amount	Disbursed	Balance	Date GDA Signed by OSC	
24266	Active	Albany	HECap-CR	CR4	Albany College of Pharmacy and Health Sciences	Renovations to the O'Brien Building	In Process	\$259,121.00	\$259,121.00	0	\$259,121.00		
24267	Active	Dutchess	HECap-CR	CR4	Bard College	Video Communication Technology Equipment Acquisition and Upgrades	GDA Execution	\$146,000.00	\$146,000.00	\$0.00	\$146,000.00	03/23/22	
24268	Withdrawn by Sponsor	Erie	HECap-CR	CR4	Canisius University of Buffalo, New York	Renovation of the Science Hall Building	In Process	\$4,398,329.00	\$4,398,329.00	0	\$4,398,329.00		
24269	Active	Saint Lawrence	HECap-CR	CR4	Clarkson University	Renovation of the Science Center	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		
24270	Active	Bronx	HECap-CR	CR4	College of Mount Saint Vincent	Purchase and Installation of Equipment and Furniture for the School of Nursing	GDA Execution	\$467,739.00	\$145,679.00	\$0.00	\$145,679.00	09/23/22	
24271	Withdrawn by Sponsor	New York	HECap-CR	CR4	Cooper Union for the Advancement of Science and Art, The	Renovations and Upgrades to the Foundation Building	In Process	\$239,696.00	\$239,696.00	0	\$239,696.00		
24272	Withdrawn by Sponsor	Tompkins	HECap-CR	CR4	Cornell University	Renovations to Expand the CIS (Computing Information Sciences) Program	In Process	\$639,437.00	\$639,437.00	0	\$639,437.00		
24273	Active	Erie	HECap-CR	CR4	Daemen University	Construction of the Daemen Sports and Recreation Center	In Process	\$2,374,328.00	\$2,374,328.00	0	\$2,374,328.00		
24274	Closed	Rockland	HECap-CR	CR4	Dominican University New York	Construction of a New Athletic Complex	GDA Execution	\$573,250.00	\$573,250.00	\$573,250.00	\$0.00	01/20/23	
24275	Closed	Bronx	HECap-CR	CR4	Fordham University	Renovation of the McGinley Center	GDA Execution	\$5,000,000.00	\$5,000,000.00	\$5,000,000.00	\$0.00	11/29/22	
24277	Active	Erie	HECap-CR	CR4	Hilbert College	Equipment Upgrades to the William E. Swan Auditorium	GDA Execution	\$114,967.00	\$114,967.00	0	\$114,967.00		
24278	Active	Ontario	HECap-CR	CR4	Hobart and William Smith Colleges	Purchase and Installation of Equipment to Create Innovative Classrooms	In Process	\$115,376.00	\$115,376.00	0	\$115,376.00		
24279	Active	Nassau	HECap-CR	CR4	Hofstra University	Renovations and Upgrades to the Main Library	In Process	\$1,500,000.00	\$1,500,000.00	0	\$1,500,000.00		
24280	Active	Westchester	HECap-CR	CR4	Iona University	Upgrades to the Murphy Center	Initial Submission	\$500,000.00	\$500,000.00	0	\$500,000.00		
24281	Closed	Tompkins	HECap-CR	CR4	Ithaca College	Construction and Renovation for the Physician's Assistant Program	GDA Execution	\$1,580,627.00	\$1,580,627.00	\$1,580,627.00	\$0.00	12/19/23	
24282	Active	Nassau	HECap-CR	CR4	Long Island University	Construction of a Drug Discovery Platform	In Process	\$378,000.00	\$378,000.00	0	\$378,000.00		
24283	Active	Bronx	HECap-CR	CR4	Manhattan College	Phase 5 Renovations to the Leo Engineering Building	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		
24284	Withdrawn by Sponsor	New York	HECap-CR	CR4	Manhattan School of Music	Creation of a Student Union	In Process	\$100,000.00	\$100,000.00	0	\$100,000.00		
24285	Active	Albany	HECap-CR	CR4	Maria College	Improvements to the Campus including Property Acquisition	In Process	\$522,918.00	\$522,918.00	0	\$522,918.00		
24286	Closed	New York	HECap-CR	CR4	Marymount Manhattan College	Renovation of Carson Hall to Create the Judith Mara Carson Center for Visual Arts	GDA Execution	\$4,000,000.00	\$4,000,000.00	\$4,000,000.00	\$0.00	06/16/22	
24287	Closed	Orange	HECap-CR	CR4	Mount Saint Mary College	Guzman Hall Renovation	GDA Execution	\$1,042,414.00	\$1,042,414.00	\$1,042,414.00	\$0.00	12/19/22	
24288	Active	Nassau	HECap-CR	CR4	New York Institute of Technology	Construction of a Wastewater Treatment Plant & Bioengineering Lab	In Process	\$2,000,000.00	\$2,000,000.00	0	\$2,000,000.00		
24289	Active	Westchester County	HECap-CR	CR4	New York Medical College	Modernization of Laboratory Space	In Process	\$2,219,031.00	\$2,219,031.00	0	\$2,219,031.00		
24301	Active	Niagara	HECap-CR	CR4	Niagara University	Upgrades to the Kiernan Center	In Process	\$250,000.00	\$250,000.00	0	\$250,000.00		
24290	Closed	New York	HECap-CR	CR4	Pace University	Lienhard Hall Classroom and Lab Renovation	GDA Execution	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00	\$0.00	11/03/23	
24291	Active	Kings	HECap-CR	CR4	Pratt Institute	Creation of a Research Facility	In Process	\$794,335.00	\$794,335.00	0	\$794,335.00		
24292	Active	Monroe	HECap-CR	CR4	Rochester Institute of Technology	Saunders College of Business Expansion	In Process	\$4,700,000.00	\$4,700,000.00	0	\$4,700,000.00		
24293	Withdrawn by Sponsor	Westchester	HECap-CR	CR4	Sarah Lawrence College	Bates Dining Hall Renovation	In Process	\$128,065.00	\$128,065.00	0	\$128,065.00		
24294	Withdrawn by Sponsor	Cattaraugus	HECap-CR	CR4	St. Bonaventure University	Plassman Hall Renovations	In Process	\$2,875,000.00	\$2,875,000.00	0	\$2,875,000.00		
24295	Closed	Monroe	HECap-CR	CR4	St. John Fisher University	Purchase of Furniture and Equipment to Create Innovative Learning Spaces	GDA Execution	\$52,000.00	\$52,000.00	\$52,000.00	\$0.00	12/27/21	
24296	Closed	Queens	HECap-CR	CR4	St. John's University, New York	Construction of a Health Science Center	GDA Execution	\$5,000,000.00	\$5,000,000.00	5,000,000.00	\$0.00	01/16/24	
24297	Active	Onondaga	HECap-CR	CR4	Syracuse University	Center for Science and Technology Infrastructure Improvements	GDA Execution	\$2,017,170.00	\$2,017,170.00	\$0.00	\$2,017,170.00	10/19/23	
24276	Withdrawn by Sponsor	Oneida	HECap-CR	CR4	Trustees of Hamilton College	Burke Library Renovation	In Process	\$554,020.00	\$554,020.00	0	\$554,020.00		
24298	Withdrawn by Sponsor	Oneida	HECap-CR	CR4	Utica University	Upgrades and Expansion of the Athletic Field Facilities	In Process	\$865,656.00	\$865,656.00	0	\$865,656.00		

HECap Competitive Round 5 Status Report as of 5/31/24													
Project ID	Grant Status	Grantee County	Grant Type	Competitive Round	Grantee	Project Description	Current Status	Award Amount	Grant Amount	Disbursed	Balance	Date GDA Signed by OSC	
26251	Active	Nassau County	HECap-CR	CR5	Adelphi University	Purchase of Equipment for the Adelphi Center	In Process	\$377,000.00	\$377,000.00	0	\$377,000.00		
26223	Active	Albany	HECap-CR	CR5	Albany College of Pharmacy and Health Sciences	Roof Replacement of the Life Science Innovation Building	In Process	\$249,636.00	\$249,636.00	0	\$249,636.00		
26222	Active	Bronx	HECap-CR	CR5	Albert Einstein College of Medicine	Construction of the School of Nursing	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		
26224	Active	New York	HECap-CR	CR5	Bank Street College of Education	Auditorium and Library Renovations	In Process	\$593,730.00	\$593,730.00	0	\$593,730.00		
26225	Active	Erie	HECap-CR	CR5	Canisius University of Buffalo, New York	Creation of Allied Health Laboratories and Facilities	In Process	\$1,414,330.00	\$1,414,330.00	0	\$1,414,330.00		
26226	Active	Saint Lawrence	HECap-CR	CR5	Clarkson University	Renovation of Walker Arena	In Process	\$383,500.00	\$383,500.00	0	\$383,500.00		
26227	Active	New York	HECap-CR	CR5	Cooper Union for the Advancement of Science and Art, The	Renovation of the Library	In Process	\$1,749,868.00	\$1,749,868.00	0	\$1,749,868.00		
26228	Active	Tompkins	HECap-CR	CR5	Cornell University	Library Basement and First Floor Renovations	In Process	\$4,774,436.00	\$4,774,436.00	0	\$4,774,436.00		
26229	Active	Erie	HECap-CR	CR5	D'Youville University	Construction of an Academic Building	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		
26230	Active	Bronx	HECap-CR	CR5	Fordham University	Renovation of the McShane Center, Phase III	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		
26231	Active	Erie	HECap-CR	CR5	Hilbert College	Design Costs for Athletic Facilities	In Process	\$250,000.00	\$250,000.00	0	\$250,000.00		
26232	Active	Ontario	HECap-CR	CR5	Hobart and William Smith Colleges	Renovations and Upgrades to 9 Residence Halls	In Process	\$650,787.00	\$650,787.00	0	\$650,787.00		
26233	Active	Nassau	HECap-CR	CR5	Hofstra University	Renovations to the Classrooms	In Process	\$1,010,000.00	\$1,010,000.00	0	\$1,010,000.00		
26234	Active	Yates	HECap-CR	CR5	Keuka College	Residence Hall Renovations at Space and Saunders Halls	In Process	\$171,025.00	\$171,025.00	0	\$171,025.00		
26235	Active	Onondaga County	HECap-CR	CR5	Le Moyne College	Reilly Hall Renovations and Upgrades	In Process	\$299,511.00	\$299,511.00	0	\$299,511.00		
26236	Active	Bronx	HECap-CR	CR5	Manhattan College	Horan Hall Renovations	In Process	\$3,076,250.00	\$3,076,250.00	0	\$3,076,250.00		
26237	Active	Albany	HECap-CR	CR5	Maria College	Pine Tree Building Renovations	In Process	\$286,645.00	\$286,645.00	0	\$286,645.00		
26238	Active	Nassau	HECap-CR	CR5	Molloy University	Construction of a Building for the Center for Health Care Workforce Development	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		
26239	Active	Orange	HECap-CR	CR5	Mount Saint Mary College	Renovation of the Athletics and Recreation Center	In Process	\$2,042,688.00	\$2,042,688.00	0	\$2,042,688.00		
26240	Active	Monroe	HECap-CR	CR5	Nazareth College of Rochester	Residence Hall Renovation	In Process	\$3,137,500.00	\$3,137,500.00	0	\$3,137,500.00		
26241	Active	New York	HECap-CR	CR5	New York Academy of Art	Design and Construction of East Core Project	In Process	\$91,750.00	\$91,750.00	0	\$91,750.00		
26242	Active	Niagara	HECap-CR	CR5	Niagara University	Bigrove Hall Renovation	In Process	\$500,000.00	\$500,000.00	0	\$500,000.00		
26243	Active	Monroe	HECap-CR	CR5	Rochester Institute of Technology	HVAC Improvements at Gosnell, Booth and Gannett Halls	In Process	\$2,750,000.00	\$2,750,000.00	0	\$2,750,000.00		
26244	Active	Albany	HECap-CR	CR5	Siena College	Science Complex Equipment Purchase	In Process	\$750,000.00	\$750,000.00	0	\$750,000.00		
26246	Active	Monroe	HECap-CR	CR5	St. John Fisher University	Library Renovations to Create the Student Center for Success	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		
26245	Active	Queens	HECap-CR	CR5	St. John's University, New York	Renovation of the Taffner Basketball Complex	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		
26247	Active	Rockland	HECap-CR	CR5	St. Thomas Aquinas College	Residence Hall Renovation	In Process	\$425,000.00	\$425,000.00	0	\$425,000.00		
26248	Active	Onondaga	HECap-CR	CR5	Syracuse University	Laboratory Renovation at Link Hall	In Process	\$3,120,191.00	\$3,120,191.00	0	\$3,120,191.00		
26249	Withdrawn by Sponsor	New York	HECap-CR	CR5	Trustees of Columbia University in the City of New York, The	Student Housing Renovation	In Process	\$5,000,000.00	\$5,000,000.00	0	\$5,000,000.00		


A RESOLUTION OF THE HIGHER EDUCATION CAPITAL MATCHING GRANT
PROGRAM (HECap) BOARD AWARDING HECAP GRANTS

Be it resolved that the HECap Board hereby awards HECap Grants to the Institutions set forth in the attached exhibit for the purpose of funding the projects described in the respective application materials submitted by each Institution in response to HECap Request for Grant Applications RFP #7600; and

Be it further resolved that each award is conditional, pending completion of review by the Dormitory Authority of the State of New York ("DASNY"), which review shall include, but need not be limited to, a legal review for compliance with the HECap Program and other applicable laws and regulations; a financial review to verify that sufficient funding sources have been committed to complete each project as described; and the completion of a SEQR review for each project in compliance with the New York State Environmental Quality Review Act ("SEQRA"), 6 N.Y.C.R.R. Part 617; and

Be it further resolved that DASNY is hereby authorized to forward award letters to the Institutions set forth in the attached exhibit; undertake the reviews described above; and take all necessary steps, as staff to the HECap Board, to administer the HECap Grants set forth in the attached exhibit.

This Resolution shall take effect immediately.



Michael Spingler
Member



Honorable Patricia Fahy
Member

Honorable Toby Ann Stavisky
Member

**A RESOLUTION OF THE HIGHER EDUCATION CAPITAL MATCHING GRANT
PROGRAM (HECap) BOARD AWARDING HECAP GRANTS**

Be it resolved that the HECap Board hereby awards HECap Grants to the Institutions set forth in the attached exhibit for the purpose of funding the projects described in the respective application materials submitted by each Institution in response to HECap Request for Grant Applications RFP #7600; and

Be it further resolved that each award is conditional, pending completion of review by the Dormitory Authority of the State of New York ("DASNY"), which review shall include, but need not be limited to, a legal review for compliance with the HECap Program and other applicable laws and regulations; a financial review to verify that sufficient funding sources have been committed to complete each project as described; and the completion of a SEQR review for each project in compliance with the New York State Environmental Quality Review Act ("SEQRA"), 6 N.Y.C.R.R. Part 617; and

Be it further resolved that DASNY is hereby authorized to forward award letters to the Institutions set forth in the attached exhibit; undertake the reviews described above; and take all necessary steps, as staff to the HECap Board, to administer the HECap Grants set forth in the attached exhibit.

This Resolution shall take effect immediately.

Michael Smingler
Member

Honorable Patricia Fahy
Member


Honorable Toby Ann Stavisky
Member

Higher Education Capital Matching Program (HECap)
Competitive Round 6 Approved Projects

Institution	Project Description	Amount Awarded
Bard College	Purchase of equipment for the Bito Conservatory Performance Space	\$50,006.00
Barnard College	Residence Hall HVAC System Replacement	\$2,004,907.00
Canisius University	Construction of a Student Success Center	\$1,000,000.00
Daemen University	Purchase of Furniture and Equipment for the Gross Anatomy Lab	\$76,423.00
Dominican University	Purchase of Healthcare Technology Equipment	\$54,296.50
D'Youville	Construction of a hazardous non-sterile compounding space at Vital Pharmacy	\$50,000.00
Fordham University	Creation of the Fordham Road Community Center	\$3,000,000.00
Hamilton College	Construction of the Hamilton Innovation Center	\$3,000,000.00
Hartwick College	Purchase of Equipment for an Athletic Training Facility	\$92,370.74
Hofstra University	Renovation of the Unispan Pedestrian Bridge	\$625,000.00
Iona University	Purchase of Equipment to Upgrade the Television Studio	\$255,750.00
Keuka College	Purchase of Educational Technology Equipment	\$101,331.95
LeMoyne College	Purchase of Athletic Equipment	\$705,692.00
Long Island University	Construction of an Engineering Fabrication Laboratory	\$1,344,625.00
Manhattan College	Renovations to Hayden Hall	\$3,000,000.00
Maria College	Purchase and Installation of Information Technology Equipment	\$84,720.00
Marymount Manhattan College	Purchase and Installation of LED Lighting	\$100,000.00
Nazareth University	Renovation of the Smyth Building	\$1,500,000.00
New School, The	Renovation of the Facility to Create a Light and Energy Lab	\$98,123.99
New York Institute of Technology	Renovations to the Rockefeller Building	\$2,100,000.00
New York Medical College	Expansion of the Clinical Skills and Simulation Center	\$900,000.00
New York Studio School	Renovations to the Building to improve Accessibility	\$200,000.00
Niagara University	Renovation of the Marian House to Create the Compass Nursing Center for Innovation and Learning	\$325,000.00
Pratt Institute	Renovation of the facility to Create a Health and Wellness Center	\$3,000,000.00
Rensselaer Polytechnic Institute	Renovation and Technology Upgrades to the Microelectronics Facilities	\$1,900,021.00
Rochester Institute of Technology	Renovation of the Electrical Infrastructure	\$1,500,000.00
Siena College	Purchase of Furniture and Equipment for the Residence Halls	\$355,252.00
St. John's University	Construction of a Basketball Practice Facility	\$3,000,000.00
St. Thomas Aquinas College	Renovation of the Athletic and Fitness Infrastructure	\$359,375.00
Syracuse University	Creation of the Quantum Technology Center	\$3,000,000.00
Trocaire College	Purchase of equipment for the Veterinary Science and Healthcare Programs	\$216,090.00
Trustees of the Columbia University in the City of New York	Renovation of the Studebaker Building to create the CZ Biohub	\$3,000,000
University of Mount Saint Vincent	Renovation and Purchase of Equipment to Create Seton College	\$1,000,000


\$37,998,984.18

A RESOLUTION OF THE NEW YORK STATE HIGHER EDUCATION CAPITAL
MATCHING (HECap) GRANT PROGRAM BOARD APPROVING THE HECap
REQUEST FOR GRANT APPLICATIONS FOR COMPETITIVE ROUND 7

Be it resolved as follows:

1. That the HECap Board approves in substantial form the Draft Request for Grant Applications for Competitive Round 7 (RGA), dated, June 26, 2024, and hereby authorizes an Authorized Officer of the Dormitory Authority of the State of New York ("DASNY"), as staff to the HECap Board, to make such additions or deletions as is necessary to finalize the RGA including but not limited to determining Grant Funding Levels and Key Dates; and
2. That DASNY is hereby authorized to release the RGA for the purpose of soliciting proposals for HECap projects to be considered by the HECap Board for grant awards upon determination of the Grant Funding Levels and Key Dates.
3. That an Authorized Officer of DASNY, as staff to the HECap Board, may determine the total amount of funds available to be awarded pursuant to the RGA, based upon information in DASNY's possession, which total amount shall be no less than \$56,000,000.

This Resolution shall take effect immediately.



Michael Smingler
Member



Honorable Patricia Fahy
Member

Honorable Toby Ann Stavisky
Member

**A RESOLUTION OF THE NEW YORK STATE HIGHER EDUCATION CAPITAL
MATCHING (HECap) GRANT PROGRAM BOARD APPROVING THE HECap
REQUEST FOR GRANT APPLICATIONS FOR COMPETITIVE ROUND 7**

Be it resolved as follows:

1. That the HECap Board approves in substantial form the Draft Request for Grant Applications for Competitive Round 7 (RGA), dated, June 26, 2024, and hereby authorizes an Authorized Officer of the Dormitory Authority of the State of New York ("DASNY"), as staff to the HECap Board, to make such additions or deletions as is necessary to finalize the RGA including but not limited to determining Grant Funding Levels and Key Dates; and
2. That DASNY is hereby authorized to release the RGA for the purpose of soliciting proposals for HECap projects to be considered by the HECap Board for grant awards upon determination of the Grant Funding Levels and Key Dates.
3. That an Authorized Officer of DASNY, as staff to the HECap Board, may determine the total amount of funds available to be awarded pursuant to the RGA, based upon information in DASNY's possession, which total amount shall be no less than \$56,000,000.

This Resolution shall take effect immediately.

Michael Smingler
Member

Honorable Patricia Fahy
Member


Honorable Toby Ann Stavisky
Member

DORMITORY AUTHORITY OF THE
STATE OF NEW YORK (DASNY)

on behalf of the

HIGHER EDUCATION CAPITAL MATCHING (HECap) GRANT
PROGRAM BOARD

REQUEST FOR
GRANT APPLICATIONS #TBD

June 26, 2024

KEY DATES

Eligible Project Dates	September 1, 2024 – September 1, 2029
Issuance of RGA	December 13, 2024
Deadline for RGA Questions	January 10, 2025
Post Responses to RGA Questions (not earlier than)	February 7, 2025
DASNY Webinar (application process/Q&A Summary)	February 28, 2025
Application Due By	March 28, 2025
Awards Expected (not earlier than)	May 30, 2025

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Section 1. Program Overview

The Higher Education Capital (“HECap”) Matching Grant Program (the “HECap Program”) (established by Part U of Chapter 57 of the Laws of 2005, as amended by Chapter 63 of the Laws of 2005, as amended, together the “HECap Act,” attached to this RGA) provided \$150 million in matching capital grants (“HECap Grants”) to finance capital projects and equipment purchases by independent institutions of higher learning in New York State (each a “College”). Since that time, the HECap Program has been extended and additional funding has been added to the HECap Program to be awarded in future Competitive Rounds (as defined below).¹

Although certain provisions of the HECap Act are summarized in this Request for Grant Applications (“RGA”), Colleges are strongly encouraged to review the HECap Act itself for additional information. Please note that compliance with Executive Law Section 15-A (MWBE) and Articles 8, 9 and 10 of the Labor Law (payment of prevailing wage) are required and no waivers or exemptions from the provisions set forth in this RGA will be granted.

The HECap Program is governed by the HECap Board (the “Board”). The Board is comprised of three persons appointed by the Governor, one of whom is appointed upon the recommendation of the Temporary President of the Senate, and one of whom is appointed upon the recommendation of the Speaker of the Assembly. The HECap Act empowers and directs the Board to approve or deny Applications received from Colleges for the HECap Grant Program and to award such Grants.

The HECap Program awards matching capital Grants to Colleges for the design, acquisition, construction, reconstruction, rehabilitation, or equipping of a facility on or near a college campus within the State, including critical academic facilities, economic development and/or high technology projects, and urban renewal and/or historical preservation projects that would enhance the programmatic offerings or the student life at the College or provide economic development benefits to the area surrounding such college campus.

For the non-competitive round of Grants, the HECap Act required Colleges to provide notification of their intent to apply for a HECap Grant to DASNY no later than March 31, 2008, and to have applied for the HECap Grant no later than March 31, 2009. The HECap Act provided that in the event that any College that did not apply for HECap Grants by March 31, 2009, or in the event they apply for and are awarded but did not use the full amount of such Grants, then the unused funds associated with the non-competitive Round of such Grants must thereafter be awarded to Colleges on a competitive basis in accordance with certain priorities as described in more detail below. It is currently anticipated that the funding availability no less than \$56,000,000 (fifty six million dollars) for this seventh Competitive

¹ The attached provisions of law represent unofficial reproductions of the legislation establishing the HECap Program (Part U of chapter 57 of the laws of 2005, as added by chapter 63 of the laws of 2005), including a series of amendments to the initial legislation.

Please note that although the legislation enacting the HECap program was originally codified in the Public Authorities Law and still appears in its original form in the Public Authorities Law and on some legal research websites, that legislation was supplanted by Part U of chapter 57 of the laws of 2005, as added by Chapter 63 of the Laws of 2005. Neither the original legislation in 2005 nor any of the subsequent amendments were codified in the Consolidated Laws of New York. Therefore, the HECap Act exists as a series of chapter laws, which show deletions or additions of language from previous chapters or codified laws that are being amended. The chapter laws that have been provided as an attachment to this RGA have preserved the strikethroughs, underlining and highlighting.

Round. The actual amount may be less, depending upon when Colleges that were awarded Grants in the prior HECap Rounds (non-competitive or competitive) complete and close out previously approved projects. The amount to be awarded in the seventh Competitive Round will be determined prior to the application due date of March 28, 2025.

PLEASE NOTE: The HECap Act requires all Colleges receiving a HECap Grant to pay Prevailing Wage on the entire project and comply with MWBE requirements. Please see the HECap Act for additional information. Colleges that do not comply with these provisions will not receive HECap Grant funds. Neither the HECap Board nor DASNY, acting as staff to the HECap Board, has any discretion to waive or modify this statutory requirement.

Section 2. Competitive Round for Eligible HECap Projects

The Board is now seeking Applications from Colleges for the seventh Competitive Round of HECap Grants (Higher Education Capital Matching (HECap) Grant Program Standard Application or the “Application”). Pursuant to the HECap Act, and for purposes of this RGA, College means “independent institutions of higher learning, as described in Education Law § 6401(2).” Applicants are advised to consult with their Counsel to determine whether they are eligible to apply for this funding; as well as whether or not the ultimate use of the facility(ies) meets the criteria set forth in the RGA including whether or not the College can meet the requirements outlined in Section 5 of this RGA.

Grant funds awarded pursuant to this RGA shall be used for the purchase, acquisition, design, construction, reconstruction, rehabilitation, or equipping of a facility on or near a college campus within the State, including critical academic facilities, economic development and/or high technology projects, and urban renewal and/or historical preservation projects that would 1) enhance the programmatic offerings at the College; 2) provide economic development benefits to the area surrounding the college campus; and/or 3) enhance student life at the College (the “HECap Project”).

HECap Grants may be used to renovate or equip property owned by or leased by the College so long as the term of the lease is for a term extending at least ten years from the date of Application.

There is no requirement that the project be shovel ready, with all plans and permits approved by the Application due date. There is also no requirement that the HECap project be located at a single site, as long as the nature of the project necessitates improvements to be made at multiple locations. **Please note, however, that the HECap program is intended to support a single capital project located in the State of New York to benefit New Yorkers, and not a series of unrelated campus-wide facility renovations or projects in other States.**

If an Applicant is trying to determine if a Phased project is acceptable, the Applicant is encouraged to consult with their design and construction professional in order to determine whether it is possible to phase the project which complies with the requirements outlined in the RGA.

Please note that equipping a facility does NOT include building system components that are an integral component to the construction, reconstruction, or rehabilitation of a facility (i.e., HVAC, elevator, etc.). Such systems are deemed construction and will therefore need to comply with MWBE and Prevailing Wage Requirements.

Ineligible uses of HECap funds include, but are not limited to: working capital, rent, utilities, supplies, advances, deposits, down payments, lease payments, maintenance agreements, training, the repayment of existing long-term debt, payment of legal fees and other non-capital costs as determined by DASNY and DASNY's bond and tax counsel.

HECap Grants awarded in the seventh Competitive Round must be used for HECap Projects commenced on or after September 1, 2024, and which are expected to be completed by September 1, 2029. HECap Projects that were started prior to this date, including equipment purchased prior to September 1, 2024, may not be funded with this HECap Grant. Design, engineering and other preliminary planning activities may commence prior to September 1, 2024, however, costs incurred prior to this date may not be reimbursed from grant funds.

It is currently anticipated that the funding availability of no less than \$56,000,000 (fifty six million dollars) for this seventh Competitive Round. The actual amount may be more or less, depending upon when Colleges that were awarded Grants in the prior HECap Rounds (non-competitive or competitive) complete and close out previously approved projects. The amount to be awarded in the seventh Competitive Round will be determined prior to the application due date of March 28, 2025.

Each College may apply for **one (1)** HECap Grant at **one (1)** of the Grant Funding Levels set forth in Section 3 of this RGA. If multiple Applications are submitted by a College, only the first received will be considered. Each Application will be reviewed, evaluated and scored on the basis of the information provided in the Application and the extent to which the Project complies with the criteria set forth in this RGA. The RGA does not set forth a preference for particular project types.

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Section 3. Grant Funding Levels and Award Allocation

Grants shall be awarded based on the criteria set forth in Sections 7 and 9 of this RGA. ***Each College may apply for one HECap Grant at one of the Grant Funding Levels set forth below.*** The amount of a Grant award to a College shall be based upon the total cost of the HECap Project to be funded with the HECap Grant. Please note that the total project cost must be at least four (4) times the amount of the HECap Grant award requested in order to comply with the 3:1 Match Requirement.

Documentation must be provided with the College’s HECap Application to support the total cost of the HECap Project. It is anticipated that Grants will be funded in the following funding amounts (each a “Grant Funding Level”):

Grant Funding Level	HECap Project Cost	Maximum Total Funding per Grant Funding Level*
\$3,000,001 - \$5,000,000	\$12,000,004 - \$20,000,000	\$25,000,000
\$1,500,001- \$3,000,000	\$6,000,004 - \$12,000,000	\$15,000,000
\$500,001 - \$1,500,000	\$2,000,004 - \$6,000,000	\$5,000,000
\$50,000 - \$500,000	\$200,000 - \$2,000,000	\$2,000,000
Total Amount of Awards by Grant Funding Level:		\$47,000,000
Base Pool Amount Allocable to Grant Applications based upon Score:		Est. \$9,000,000

****Maximum Total Funding column assumes a no less than amount of \$56,000,000 will be available for this Competitive Round. If additional funds become available, the Maximum Total Funding per Grant Funding Level amounts shall be increased such that the amount awarded to Applicants by Grant Funding Level is equal to 80% of the total amount of funds available; and the Base Pool Amount (“Base Pool”) allocable to Grant Applications based upon score, after the grants awarded by Grant Funding Level have been awarded, is 20% of the total amount available for competitive HECap awards.***

The total number of Grants awarded will depend upon the amount of funds available; the number of Applications received at each Grant Funding Level; and the score awarded to each application based upon responsiveness to the criteria set forth in this RGA. During the grant evaluation process, a panel of reviewers will review each Application and assign a score to each. In order to receive a HECap grant, an application must receive a minimum average score of 70. The Applications with the highest scores at each Grant Funding Level shall be awarded a HECap grant, up to the Maximum Total Funding per Grant Funding Level.

Once the highest scoring Applications at each Grant Funding Level have been awarded without exceeding the Maximum Total Funding for that Grant Funding Level, any residual funds remaining at

that Grant Funding Level shall be added to the Base Pool and used to make additional HECap grant awards. Grants from the Base Pool shall be awarded to those Applications receiving the next highest scores across all Grant Funding Levels; provided, however, that in order to achieve a broader distribution of HECap Grant funds throughout the State, the HECap Board may award a HECap Grant to an application from another region so long as the average score of the application is 70 or above, in order to achieve regional diversity across the State.

Section 4. Matching Requirement

The HECap Act requires Eligible Applicants to contribute Non-State matching funds towards the HECap Project in a 3:1 ratio. Therefore, for every HECap Grant dollar received, the College must contribute at least three dollars of Non-State matching funds towards the HECap Project (“Matching Funds”). Eligible Applicants must also demonstrate the ability to provide the remainder of the funds necessary to complete the Project if the total Project budget exceeds the sum of the HECap Grant and the Matching Funds contributed by the College (“Completion Funds”). There is no requirement that matching funds be secured by September 1, 2024, but Colleges do need to demonstrate the ability to provide the remainder of the funds necessary to complete the Project. The degree to which matching funds have been secured will be a factor in scoring. No Grant Disbursement Agreement will be entered into until all Matching Funds and Completion Funds have been secured. No exceptions or waivers will be granted. Therefore, if a College is uncertain about raising the required Matching and Completion Funds, it is encouraged to apply for a smaller grant to fund a lower cost project.

PLEASE NOTE: For purposes of this RGA, “Non-State funds” shall mean “funds except funds requested or obtained from the State of New York and accessible by the College for the HECap Project, including but not limited to federal funds, local funds, private funds, and in-kind contributions.” Therefore, State and Municipal Facilities Program (SAM) grants, Community Capital Assistance Program (CCAP) grants, or other State grants cannot be used towards the 3:1 match.

A financial analysis will be undertaken in connection with each Application. The financial analysis will verify that sufficient funds in excess of the Grant amount have been secured in the amount necessary to complete the HECap Project, and that such funds meet all applicable criteria set forth in the HECap Act and this RGA. Documentation to support the committed financial resources must be included with the Application and may include, but is not limited to, any or all of the following:

- Bank account and investment account statements
- Donor pledges, agreements and receipts
- Grant award letters, agreements and contracts
- Loan agreements, commitment letters, terms and conditions
- Bond documents
- Personal service contracts
- Bills of sale and paid invoices evidencing payment by the College for an eligible cost. **In order to qualify as a matching cost, the payment must have been in furtherance of a component of the HECap Project that complied in all respects with the requirements of the statute (prevailing wage, MWBE, etc.); and**

- If the College will be using institution funds for any part of the Non-State match, the most recent audited financials should be provided with the Application.

The following guidelines should be utilized by the College to assess whether funds qualify as Matching Funds:

- Private funds consist of donations, contributions, grants and loans from private sources (including persons, corporations, foundations and not-for-profit entities). Private donations, contributions and grants are received when they are actually in the accounts of the College (“in hand”) AND available to spend by the College (i.e. - all restrictions have been satisfied).
- If a condition of grant receipt requires that the College expend funds and be subsequently reimbursed for such expenditures, the grant is received when there is documentation of a final and irrevocable award of grant funds. Private loans are received when they are available for an immediate draw by the College.
- Local funds consist of grants and loans from local municipal entities and other political subdivisions of the State that are not private funds. The provisions set forth above with respect to private funds would apply to local funds.
- Federal funds consist of grants and loans from the Federal government. The provisions set forth above with respect to private funds would apply to Federal funds.
- Borrowed funds consist of any form of College contractual indebtedness. Borrowed funds are received when all requirements have been met for the funds to be available for an immediate draw down by the College to finance an eligible HECap Project.
- Own source funds consist of any funds provided by the College from its own financial resources, interest earnings free from any restrictions and cash from current operations which have been specifically dedicated to eligible HECap Projects by the College as evidenced by a Board resolution. Own source funds are received when they are earned and on deposit in one or more accounts of the College the date that they are earned.
- Eligible HECap Project expenditures already made by the College may be counted as Non-State matching funds contributed by the College so long as such expenditures did not occur prior to September 1, 2024. However, the funds used to make the expenditures must be sourced back to one or more categories of Matching Funds and documented for each source of funds.

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PLEASE NOTE: that pledges or award letters with unsatisfied contingencies, pending loan applications, and other non-final commitments will not be considered to be secured Matching or Completion Funds in the evaluation process. Applications demonstrating that Matching Funds and Completion Funds have been secured shall be evaluated more favorably than Applications that do not provide evidence of committed funding sources necessary to complete the HECap Project.

Section 5. Special Conditions of Award

As a condition of receiving a HECap Grant, the HECap Act requires Colleges to execute an undertaking to voluntarily comply with the State Finance Law (“SFL”) Article 9 (except SFL § 135); Executive Law Article 15-A; and Labor Law Article 8, Article 9 and Article 10 (“Standard Clauses for Higher Education Capital Matching Grant Program Contracts”, attached to this RGA), insofar as those provisions would be applicable to the contracts of public colleges. The HECap Act also states that “[e]ach contract entered into by a college...shall be subject to the approval of the comptroller and, as to form and manner of execution, by the attorney general of the state of New York.”

DASNY will forward each contract to the New York State Attorney General (“Attorney General”), whereby Appendix B will be appended to each, to be approved as to form and then the College will be required to certify that DASNY has done so on behalf of the College by providing a signed Appendix C.

The HECap Board and DASNY recognize their obligation to promote opportunities for the maximum feasible participation of certified Minority and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of administering the HECap Program. Accordingly, in furtherance of the goals of New York State Executive Law Article 15-A, a participation goal of 18% for Minority-owned Business Enterprises (“MBE”) and a participation goal of 12% for Women-owned Business Enterprises (“WBE”) is required on: (i) any award resulting from this solicitation in excess of \$25,000 for commodities and services or (ii) any award resulting from this solicitation in excess of \$100,000 for construction.

By submitting an Application, the College agrees that if awarded a grant it will comply with the requirements above and provide supporting documentation in such form as shall be required by DASNY. Each College must document its good faith efforts to provide meaningful opportunities for participation by MWBEs as subcontractors and suppliers in the performance of the project to be described in each Application and subsequently the Grant Disbursement Agreement. The College understands that payment may be withheld pending receipt of the required MWBE documentation. The directory of MWBEs can be viewed at: <https://ny.newnycontracts.com>. DASNY will utilize the “good faith efforts” set forth in 5 NYCRR § 142.8 as guidance to assess whether the Grantee has exercised “good faith efforts” to obtain MWBE participation.

In accordance with 5 NYCRR § 142.13, each College acknowledges that if, upon audit, it is found to have willfully and intentionally failed to comply with the MWBE participation goals set forth, such finding constitutes a breach of contract, and the State may seek damages.

Additionally, the College may be required to submit the following documents and information as evidence of compliance with the foregoing:

- a) An MWBE Utilization Plan, which shall be submitted in conjunction with the review process and subsequently the execution of the Grant Disbursement Agreement. DASNY will review the submitted MWBE Utilization Plan and advise the College of acceptance or issue a notice of deficiency within 30 days of receipt. Any modifications or changes to the MWBE Utilization Plan must be reported on a revised MWBE Utilization Plan and submitted to DASNY for review.
- b) If a notice of deficiency is issued, the College will be required to respond to the notice of deficiency within seven (7) business days of receipt by submitting to DASNY a written remedy in response to the notice of deficiency. If the written remedy that is submitted is not timely or is found to be inadequate, DASNY shall notify the College and direct the College to submit, within five (5) business days, a request for a partial or total waiver of MWBE participation goals. Failure to file the waiver form in a timely manner may be grounds for cancellation of the grant award.

A Grant Disbursement Agreement shall not be provided to the College, or may be terminated, if DASNY becomes aware that the College:

- a) fails to submit a MWBE Utilization Plan;
- b) fails to comply with the MWBE Utilization Plan;
- c) fails to submit a written remedy to a notice of deficiency;
- d) fails to submit a request for a waiver;
- e) fails to document good faith efforts; or
- f) did not pay Prevailing Wage on the entire Project.

The College will be required to attempt to utilize, in good faith, any MBE or WBE identified within its MWBE Utilization Plan, during the performance of the project. Requests for a partial or total waiver of established goal requirements may be made at any time during the term of the project, but must be made no later than prior to the submission of a request for final payment under the Grant Disbursement Agreement.

Upon DASNY's request, each College may be required to submit a Quarterly MWBE Contractor Compliance & Payment Report to DASNY, over the term of the project, in such form and at such time as DASNY shall require, documenting the progress made toward achievement of the MWBE goals established for the project.

Colleges that do not comply with the above-referenced provisions will not be able to receive HECap Grant funds. The directory of MWBEs can be viewed at: <https://ny.newnycontracts.com>.

Section 6. Grant Notification and Grant Disbursement Agreement

Upon notification by the Board to DASNY of the award of a HECap Grant in this seventh Competitive Round, DASNY will forward an award letter to the College outlining the reviews that will need to be undertaken prior to sending a Grant Disbursement Agreement (GDA – the contract between the College and the HECap Board) to the College.

Once a GDA is sent to the College and returned to DASNY for processing, the GDA will be reviewed for completeness and accuracy, which will include verification that the budget has not changed (or that a valid rationale is given for the change and the change does not materially affect the approved HECap Project, timeline or budget), the exhibits are properly completed, an appropriate opinion of counsel has been provided, and no other issues have arisen that would affect the status of the Grant. Once this review is complete, DASNY will arrange for execution of the GDA by all parties to the GDA, which includes the HECap Board, the Attorney General, and the New York State Comptroller (“Comptroller”).

The GDA will become effective only upon receipt by DASNY of the fully executed document and will be effective for five (5) years from the date the HECap Board awarded the grant.

Once the GDA is fully executed, one fully executed GDA will be returned to the College. The College may then begin to requisition funds using the forms included as exhibits to the GDA. The College must forward requisitions directly to DASNY’s Accounts Payable Department.

DASNY will review and verify each requisition received from the College. A complete requisition in proper form will include, but shall not be limited to: verification that proper documentation has been received, verification that the named College is the legal entity responsible for paying the project costs, and confirmation that the costs to be paid from the HECap Grant are consistent with the HECap Act. DASNY will then recommend payment by the Comptroller and subsequently the Comptroller will pay the College.

Section 7. Overview of Review Process

Each College seeking a HECap Grant in in response to this RGA must submit an application using the “Higher Education Capital Matching (HECap) Grant Program Standard Application (the “Application”). Each Application will be initially screened to verify that it is a complete Application, and then evaluated based upon the quality of the responses to the required Application components.

Please be sure that all sections of the Application are completed and all requested information and supporting documentation is provided. While some of the information provided in the Application will not be scored, if an Application is missing critical elements, it may be rejected without further notice to the Applicant.

Please also note that in accordance with NYS Comptroller guidelines, the College must maintain an updated Vendor Responsibility Questionnaire (“VRQ”) on file through the New York State VendRep System (<https://portal.osc.state.ny.us/Enrollment/login>). In accordance with the New York State Grants Reform Gateway (<https://grantsreform.ny.gov/>) guidelines as well as DASNY’s Policy, please be sure that the College updates, maintains and updates the College’s

Document Vault and the status of Prequalification or exemption is kept current.

The reviewers will review the following in connection with each Application received:

- Verify that the Application is complete and contains all of the required Application components as set forth in Section 9 and as further described below.
- Verify that the HECap Project as described in the Application meets Federal and State statutory requirements, including compliance with the provisions set forth in Section 5 of this RGA.
- Verify that the HECap Project as described in the Application meets the requirements set forth in the HECap Act and this RGA.
- Verify that the grant funds will not be used in connection with any religious program or to further any particular religion.
- Verify that the Application contains evidence of commitments for the required Matching Funds, meets the statutory requirements and the requirements set forth in this RGA, and have been secured.
- Verify that the Vendor Responsibility Questionnaire (VRQ) has been completed and the Applicant has been prequalified in the Grants Gateway.
- Verify that DASNY bond proceeds may be used to reimburse the State for project costs paid under the HECap Program.

Prior to entering into a GDA with a College, DASNY will also require the completion of the following:

- Verify that a deed, lease or contract of sale has been provided. If the HECap project will take place on leased property, the term of the lease must extend at least (10) ten years from the date of Application. If grant funds will be used to purchase real property, the contract of sale with all evidence that all contingencies have been satisfied must be provided, along with an appraisal meeting all USPAP requirements.
- Verify whether an appropriate SEQR or CEQR review has been undertaken for the HECap Project.
- Verify any other land use or other requirements that must be met prior to construction including, as applicable, ULURP (required in connection with certain actions in New York City); compliance with zoning codes, compliance with section 14.09 of the State Historic Preservation Act, and any other Federal, State and/or Local regulatory approvals necessary to undertake the project.
- Verify (if available) contracts have been submitted and meet the prevailing wage and MWBE requirements as outlined in the RGA.

- Verify that Workers’ Compensation Coverage and Proof of Disability Benefits Coverage is current.
- Verify that the VRQ is current and that the Grants Reform Gateway Document Vault is prequalified throughout the process.

Section 8: Key Events/Dates, General Inquires and Questions

Key Events/Dates

Provided below is a schedule of milestones for this RGA. DASNY reserves the right, on behalf of the HECap Board, to change any or all of these dates as it deems necessary or convenient in its discretion. In the event of any modification, a notice will be posted on DASNY’s website at www.dasny.org. Please refer to DASNY’s website throughout the RGA process for these updates.

Eligible Project Dates	September 1, 2024 – September 1, 2029
Issuance of RGA	December 13, 2024
Deadline for RGA Questions	January 10, 2025
Post Responses to RGA Questions (not earlier than)	February 7, 2025
DASNY Webinar (application process/Q&A Summary)	February 28, 2025
Application Due By	March 28, 2025
Awards Expected (not earlier than)	May 30, 2025

General Inquiries

From the issuance of this RGA until awards are announced, any general inquiries related to the procurement must be directed to the RFP Coordinator. All inquiries regarding this RGA should be addressed to:

RFP Coordinator
 Email: HECapRFPCoordinator@DASNY.org
 Subject: HECap RGA # TBD Inquiry

Questions Relevant to RGA and Applicant Webinar

DASNY has set up a survey tool to handle all questions for the HECap RGA. The program tool requires that the Applicant choose a relevant category from the drop-down box, enter the question and submit. Each question must be entered as a separate entry in the survey. The deadline to submit questions is **4:00 pm on January 10, 2025**. Detailed instructions are provided in the survey tool which may be accessed via the web link below:

<https://www.surveymonkey.com/r/HECap2025>

To maintain a fair and open process, DASNY will only accept questions regarding this RGA received via the survey tool link. Answers to questions of a substantive nature will be posted to the

DASNY website (www.dasny.org) no earlier than **February 7, 2025**. Applicants should note that all clarifications and exceptions are to be resolved prior to the submission of an application.

DASNY will post an **Applicant Webinar on Friday, February 28, 2025**. DASNY will address questions and clarify specific elements of the RGA announcement during this webinar. Potential applicants are strongly encouraged to attend this webinar.

Section 9: Submission of Application and Required Supporting Documentation

Colleges seeking a HECap Grant in this Competitive Round must submit **one complete electronic Application** (including but not limited to drop box, zip files or pdf attachments) along with all backup documentation to HECapRFPCoordinator@DASNY.org **on or before 4:00 p.m. on March 28, 2025**.

It is the responsibility of each Applicant to see that Applications are sent to the e-mail address noted above prior **to 4:00 pm on March 28, 2025**. DASNY will not consider Applications that are late due to delivery delays, technical difficulties on the part of the Applicant, etc.

DASNY reserves the right, on behalf of the HECap Board, to:

- Reject any or all Applications received in response to this RGA.
- If DASNY is unsuccessful in reviewing the Application within an acceptable timeframe because documentation is lacking and the College is nonresponsive, the HECap Board may award the funds to the next most qualified Applicant(s) in order to satisfy the best interests of the State.
- Reject any Application submitted by an Applicant which is not in compliance with all State requirements.

Each Application will be evaluated for responsiveness and the extent to which the HECap Project as described in the Application will further the objectives of the HECap Act, as further set forth below. This evaluation will serve as the basis for determining whether a HECap Grant will be awarded. Therefore, Applicants are strongly encouraged to provide robust narratives, and any necessary backup documentation, that fully and clearly describe the benefits of the proposed HECap Project for the objectives set forth below.

Each Application submitted in response to this RGA shall include the following information. Please provide your response in the same order in which it is requested using numbered side tabs that correspond with each of the numbered tabs below.

TAB 1 APPLICATION

Provide a completed “Higher Education Capital Matching (HECap) Grant Program Standard Application for the Competitive Round (CR-7)” (“Application”) attached to this RGA. The Application must be signed by an individual authorized to bind the College contractually. Indicate the title or position that the signer holds within the College. The Grants Reform Gateway Document Vault has been prequalified and remains to be prequalified throughout the process. DASNY reserves the right to reject submissions that contain an unsigned Application.

TAB 2 PROJECT DESCRIPTION/NARRATIVE

Provide a detailed description of the HECap Project to be undertaken with Grant funds and the goals that are expected to be achieved as a result of undertaking the Project. The description must include a statement confirming that construction had not begun on the HECap Project prior to September 1, 2024 and is expected to be completed no later than September 1, 2029 or, if the HECap Grant is to be used for the purchase of equipment, that such equipment was not purchased prior to September 1, 2024. Applications for HECap Projects that have not yet started, but are expected to be completed by September 1, 2029 are preferred.

The description must discuss how the proposed HECap Project would accomplish one or more of these objectives: 1) enhance the programmatic offerings at the College; 2) provide economic development benefits to the surrounding area; or 3) enhance the student life at the College. Applications should clearly describe the extent to which the project will provide benefits in any or all of the above three categories.

If applicable, detail how undertaking the HECap Project would enhance the programmatic offerings at the College and how many students would benefit. For example, Colleges are encouraged to discuss whether completion of the HECap Project would result in new degree offerings, the hiring of additional faculty, or attract more students to the College.

If applicable, describe whether the HECap Project would provide economic development benefits to the surrounding area and document the basis for quantification of the economic development benefits.

If applicable, describe how undertaking the HECap Project would enhance student life at the College and how many students would benefit. For example, Colleges are encouraged to discuss and document demand for the HECap Project to be funded by the Grant.

TAB 3 PROJECT BUDGET

Provide a project budget setting forth the sources and uses of funds and funds necessary at each stage of project completion (include a separate page from the Application, if necessary) and whether a recurring source of revenue shall be available to support facility operations and maintenance for the HECap Project.

Provide the amount of Grant requested, and the total amount of the HECap Project to be

funded with the HECap Grant. Grant funds are to be utilized for capital costs only, and no Grant funds may be used to supplant any direct or indirect costs of the Grant recipient.

TAB 4 ESTIMATE

Provide a professional estimate(s) describing the HECap Project to be funded with the HECap Grant and setting forth the cost to complete such Project.

TAB 5 FUNDING SOURCES

Provide a detailed description of the type or types of Matching Funds to be utilized to complete the HECap Project, including the source of such funds, and documentation of each committed funding source in one or more of the formats set forth in Section 4 above.

Applications for HECap Projects that have secured Matching Funds and Completion Funds, except for the portion to be funded by the Grant, are preferred.

Applications demonstrating a higher level of Completion Funds or the ability to leverage the HECap Funds to obtain additional funding for the HECap Project are preferred.

Please note that pledges, award letters with unsatisfied contingencies, pending loan applications, and other non-final commitments are not considered to be secured Matching or Completion Funds in the evaluation process.

State whether the HECap Project has the participation and financial support of a consortium of Colleges and/or public or private partnerships and if so, provide a description of such participation and/or financial support and documentation evidencing such support.

In conclusion, once DASNY has undertaken the review and scores the Applications based upon the above criteria, DASNY will provide the Application and relevant information to the HECap Board for its review and selection of Grant recipients.